



eurofidai
— UAR CNRS / ESSEC 3390

EUROPEAN FINANCIAL DATA INSTITUTE

DATA DESCRIPTIONS GUIDE

WORLD DAILY DATABASE MUTUAL FUNDS

User guide

Version: May 2025



The European Financial Data Institute (EUROFIDAI – UAR3390) is a public academic institute attached to the CNRS (French National Center for Scientific Research) and ESSEC Business School

Read Me – December 2024 Update

Database update

The World mutual funds daily database (over-the-counter and organized markets) has been updated through **December 2024** (for prices, net asset values and all information relative to the funds).

Over-the-counter mutual fund database

- The database contains **495 720 mutual funds**. The total number of observations for net asset value is **595 020 359** covering **90 countries** and **86 currencies**.

Organized market mutual fund database

- The database contains **140 080 mutual funds**. The total number of observations for the closing price is **137 844 818** covering **89 countries**.

Points of attention

- Details on mutual fund **investment types** have been added to the present guide (Table 10).

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A. Introduction

The EUROFIDAI World mutual funds daily database is designed for research. It consists of **a large sample of funds with a variety of investment style and country of domicile**. The database includes daily price time series and characteristics of the funds.

Two types of funds, two types of data

Funds are either traded **over-the-counter** (OTC) or on **exchanges**. Each trading line is identified by a **unique EUROFIDAI code**. The database has 2 compartments:

- Funds traded over-the-counter: prices are measured by net asset values, redemption prices and subscription prices.
- Funds listed on organized markets: prices are reported by the opening, closing, lowest and highest prices.

The full list of funds included in the EUROFIDAI World mutual funds database is provided in a csv file available on the EUROFIDAI website (“Data overview” under the “Presentation” tab, or “Code list” under the “Code search”, “Data extraction” and “Further information” tab).

B. Over-the-counter

1. Overall Description

The EUROFIDAI mutual fund database includes 502843 mutual funds traded over-the-counter.

- Total number of observations for the net asset value: 541 921 614
- Trading lines: 534 689.¹ Each trading line is identified by a **unique EUROFIDAI code**.
- Country of domicile of the issuer: 95 countries,
- Currencies: the net asset value of a large majority of funds are expressed in euro. Yet, some funds are denominated in U.S. dollars, pound sterling or 91 other currencies.

¹ A single mutual fund may be traded in various currencies thus generating one trading line by currency.

1.1. Issuer domicile country of mutual funds traded over-the-counter

| Table 1 : Statistics on issuer domicile country of mutual funds traded over-the-counter | | | | |
|---|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Aland Islands | 1 | 1 | 2020-06-30 | 2020-06-30 |
| Andorra | 101 | 15130 | 2008-07-23 | 2023-12-29 |
| Anguilla | 3 | 6 | 2004-06-30 | 2010-12-31 |
| Antigua and Barbuda | 1 | 4 | 2005-12-31 | 2007-03-31 |
| Argentina | 8 | 5506 | 2019-05-31 | 2023-12-29 |
| Australia | 1206 | 737576 | 1994-01-04 | 2023-12-31 |
| Austria | 7218 | 12032915 | 1993-04-05 | 2023-12-29 |
| Bahamas | 356 | 16841 | 1998-09-30 | 2023-12-31 |
| Bahrain | 6 | 3803 | 2010-11-09 | 2021-09-15 |
| Bangladesh | 4 | 188 | 2011-09-04 | 2022-03-03 |
| Belgium | 7547 | 5550077 | 1991-04-15 | 2023-12-31 |
| Belize | 19 | 16339 | 2011-03-23 | 2023-12-31 |
| Bermuda | 2350 | 205691 | 1996-03-07 | 2023-12-31 |
| Bolivia | 9 | 3409 | 2020-03-10 | 2023-12-29 |
| Brazil | 18 | 18 | 2009-02-26 | 2011-11-14 |
| Bulgaria | 17 | 4456 | 2008-01-14 | 2023-06-30 |
| Canada | 420 | 94715 | 1986-01-17 | 2023-12-29 |
| Cape Verde | 1 | 2090 | 2011-02-22 | 2023-12-29 |
| Cayman Islands | 15990 | 1017830 | 1990-03-05 | 2023-12-31 |
| Chile | 1 | 1 | 2021-01-15 | 2021-01-15 |
| China | 22515 | 18839647 | 2004-08-27 | 2023-12-31 |
| Cook Islands | 27 | 3039 | 2007-07-02 | 2022-05-31 |
| Croatia | 2 | 76 | 2003-09-18 | 2009-10-27 |
| Curacao | 102 | 25259 | 1985-09-01 | 2023-12-31 |
| Cyprus | 19 | 13451 | 1999-01-04 | 2018-01-26 |
| Czech Republic | 28 | 14546 | 1994-12-08 | 2016-02-01 |
| Denmark | 2087 | 1917483 | 1989-12-13 | 2023-12-31 |
| Estonia | 92 | 74337 | 1996-05-09 | 2023-12-31 |
| European Union | 2 | 812 | 2009-02-20 | 2011-10-31 |
| Finland | 2534 | 3992579 | 2002-03-11 | 2023-12-31 |
| France | 39519 | 37450098 | 1980-01-21 | 2023-12-31 |
| Gambia | 1 | 315 | 2001-09-14 | 2003-12-24 |
| Germany | 5889 | 9367735 | 1990-08-20 | 2023-12-31 |

| Table 1 : Statistics on issuer domicile country of mutual funds traded over-the-counter | | | | |
|---|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Gibraltar | 37 | 1019 | 2002-12-31 | 2019-12-31 |
| Greece | 14 | 8241 | 1999-01-04 | 2018-01-26 |
| Guatemala | 10 | 7409 | 1999-01-01 | 2023-12-29 |
| Guernsey | 4765 | 971782 | 1993-03-30 | 2023-12-31 |
| Hong Kong | 860 | 270499 | 1993-11-01 | 2023-12-31 |
| Hungary | 167 | 6498 | 1995-11-16 | 2020-11-20 |
| India | 3106 | 4220708 | 1993-11-03 | 2023-12-29 |
| Indonesia | 148 | 46050 | 2004-04-20 | 2023-12-29 |
| Ireland | 50767 | 54546602 | 1982-01-29 | 2023-12-31 |
| Isle of Man | 836 | 585741 | 2000-09-30 | 2023-12-29 |
| Israel | 17 | 1386 | 2004-01-07 | 2023-12-31 |
| Italy | 9661 | 12160344 | 1993-02-25 | 2023-12-29 |
| Ivory Coast | 5 | 5 | 2020-03-06 | 2021-02-17 |
| Japan | 9338 | 19787496 | 2001-09-14 | 2023-12-29 |
| Jersey | 1909 | 823445 | 1985-02-28 | 2023-12-31 |
| Kiribati | 1 | 1 | 2009-11-10 | 2009-11-10 |
| Kuwait | 2 | 6 | 2010-02-28 | 2010-06-30 |
| Latvia | 55 | 83686 | 2007-01-01 | 2023-12-29 |
| Liechtenstein | 3498 | 2009260 | 1987-04-03 | 2023-12-31 |
| Lithuania | 42 | 26100 | 2007-01-01 | 2023-12-29 |
| Luxembourg | 180743 | 215043420 | 1980-01-08 | 2023-12-31 |
| Malaysia | 805 | 1288210 | 1995-01-03 | 2023-12-29 |
| Malta | 1276 | 490542 | 2000-12-05 | 2023-12-31 |
| Mauritius | 169 | 48745 | 1996-01-22 | 2023-12-31 |
| Mexico | 119 | 4728 | 2004-10-15 | 2023-12-29 |
| Monaco | 70 | 52861 | 1995-07-07 | 2023-12-29 |
| Morocco | 508 | 471694 | 1996-03-29 | 2023-12-29 |
| Netherlands | 1755 | 1442513 | 1986-01-03 | 2023-12-31 |
| Netherlands Antilles | 7 | 6580 | 1985-09-01 | 2011-12-31 |
| New Zealand | 74 | 44336 | 2003-09-01 | 2023-12-29 |
| Norway | 1769 | 1976764 | 1997-04-09 | 2023-12-31 |
| Oman | 2 | 1684 | 2010-11-10 | 2019-01-30 |
| Pakistan | 32 | 14004 | 1994-11-14 | 2006-06-05 |
| Panama | 45 | 6216 | 1985-09-01 | 2023-12-31 |
| Philippines | 3 | 4357 | 1996-02-15 | 2021-12-31 |
| Poland | 228 | 97389 | 1996-11-26 | 2023-12-29 |
| Portugal | 16 | 3955 | 1999-01-04 | 2019-12-27 |
| Puerto Rico | 2 | 170 | 2023-08-31 | 2023-12-29 |

| Table 1 : Statistics on issuer domicile country of mutual funds traded over-the-counter | | | | |
|---|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Qatar | 1 | 18 | 2001-07-05 | 2001-11-22 |
| Romania | 12 | 905 | 2004-09-21 | 2010-05-28 |
| Russian Federation | 226 | 99808 | 2014-03-24 | 2019-12-30 |
| Saint Kitts and Nevis | 1 | 1 | 2008-08-29 | 2008-08-29 |
| Saint Lucia | 3 | 3 | 2020-03-30 | 2020-03-30 |
| Saint Vincent and the Grenadines | 97 | 3110 | 2004-08-10 | 2023-12-31 |
| Seychelles | 3 | 65 | 2012-05-31 | 2016-02-29 |
| Singapore | 625 | 356950 | 1989-03-03 | 2023-12-29 |
| Slovakia | 13 | 1899 | 1995-07-03 | 2017-12-04 |
| Slovenia | 14 | 13111 | 2004-07-14 | 2021-12-10 |
| South Africa | 348 | 8494 | 2006-03-09 | 2018-06-06 |
| South Korea | 27208 | 32477683 | 1992-09-18 | 2023-01-20 |
| Spain | 12085 | 21323471 | 1999-01-01 | 2023-12-31 |
| Sweden | 2730 | 3176830 | 1998-05-18 | 2023-12-31 |
| Switzerland | 9174 | 14265824 | 1980-01-01 | 2023-12-31 |
| Taiwan, Province of China | 5480 | 6454153 | 1992-10-05 | 2023-12-29 |
| Thailand | 78 | 69324 | 1989-03-06 | 2023-12-28 |
| Tunisia | 126 | 156703 | 1995-01-02 | 2020-09-23 |
| Turkey | 4 | 2198 | 2007-09-27 | 2023-12-29 |
| United Arab Emirates | 8 | 6931 | 2010-11-18 | 2023-12-31 |
| United Kingdom | 34970 | 45009932 | 1980-01-01 | 2023-12-31 |
| United States | 54348 | 19533650 | 1985-09-01 | 2023-12-31 |
| Viet Nam | 1 | 469 | 2015-05-20 | 2017-04-04 |
| Virgin Islands, British | 3558 | 362069 | 1990-01-05 | 2023-12-31 |

1.2. Currency denomination of mutual funds traded over-the-counter

| Table 2: Statistics on mutual funds currencies listed on Over-The-Counter | | | | |
|---|------------------------|-------------------------|------------------------|------------|
| Denomination | Number of observations | EUROFIDAI Currency Code | Period of availability | |
| Australian Dollar | 3680060 | 88 | 1990-12-27 | 2024-12-31 |
| Baht | 70055 | 904 | 1989-03-06 | 2024-12-30 |
| Belgian Franc (old) | 2743 | 120 | 1996-12-31 | 1998-12-31 |
| Bermudian Dollar | 1268 | 130 | 1998-01-06 | 2024-12-31 |

| Table 2: Statistics on mutual funds currencies listed on Over-The-Counter | | | | |
|---|------------------------|-------------------------|------------------------|------------|
| Denomination | Number of observations | EUROFIDAI Currency Code | Period of availability | |
| Boliviano | 3855 | 143 | 2021-01-04 | 2024-12-31 |
| Brazilian Real | 24258 | 155 | 2009-11-20 | 2024-12-31 |
| Canadian Dollar | 1108589 | 184 | 1986-01-17 | 2024-12-31 |
| Cayman Islands Dollar | 521 | 202 | 2000-05-31 | 2007-08-31 |
| Chilean Peso | 1190 | 212 | 2011-07-07 | 2017-04-11 |
| Colombian Peso | 1577 | 230 | 2015-06-02 | 2024-12-20 |
| Cuban Peso | 396 | 260 | 2011-06-10 | 2022-11-24 |
| Czech Koruna | 992284 | 898 | 1994-12-08 | 2024-12-31 |
| Danish Krone | 2247634 | 272 | 1989-10-20 | 2024-12-31 |
| Deutsche Mark (old) | 26129 | 47 | 1988-11-16 | 2024-05-23 |
| Dong | 649 | 964 | 2015-05-20 | 2024-12-31 |
| ECU (old) | 8791 | 932 | 1989-12-27 | 2024-07-31 |
| Egyptian Pound | 10 | 290 | 2017-08-21 | 2017-11-20 |
| El Salvador Colon | 1223 | 824 | 2000-09-19 | 2006-03-06 |
| Ethiopian Birr | 671 | 340 | 2017-06-09 | 2020-05-28 |
| Euro | 252421492 | 814 | 1983-12-30 | 2024-12-31 |
| Forint | 308022 | 474 | 1995-11-16 | 2024-12-31 |
| French Franc (old) | 1123921 | 369 | 1980-01-21 | 1998-12-31 |
| Gold | 12 | 993 | 2011-05-27 | 2020-10-14 |
| Hong Kong Dollar | 2067905 | 470 | 1991-06-27 | 2024-12-31 |
| Iceland Krona | 3691 | 514 | 2001-09-26 | 2012-06-11 |
| Indian Rupee | 4352896 | 356 | 1993-11-03 | 2024-12-31 |
| Italian Lira (old) | 5393 | 525 | 1991-07-03 | 1998-12-31 |
| Kroon | 6310 | 933 | 1996-05-09 | 2010-12-29 |
| Kuna | 76 | 977 | 2003-09-18 | 2009-10-27 |
| Kuwaiti Dinar | 44 | 550 | 2010-02-28 | 2010-07-29 |
| Latvian Lats (old) | 1258 | 941 | 2010-11-09 | 2013-12-28 |
| Leu (old) | 145 | 796 | 2004-09-21 | 2005-06-17 |
| Lev | 10434 | 161 | 2008-09-11 | 2024-12-31 |
| Lev (old) | 27 | 160 | 2020-10-13 | 2021-05-14 |
| Lithuanian Litas | 8585 | 939 | 2010-11-18 | 2014-11-28 |

| Table 2: Statistics on mutual funds currencies listed on Over-The-Counter | | | | |
|---|------------------------|-------------------------|------------------------|------------|
| Denomination | Number of observations | EUROFIDAI Currency Code | Period of availability | |
| Luxembourg Franc (old) | 619 | 576 | 1992-04-21 | 1999-04-14 |
| Malaysian Ringgit | 1316475 | 594 | 1995-01-03 | 2024-12-31 |
| Mexican Peso | 119283 | 484 | 2002-04-30 | 2024-12-31 |
| Moroccan Dirham | 473282 | 608 | 1996-03-29 | 2024-12-27 |
| Mvdol | 1155 | 815 | 2020-01-30 | 2024-12-30 |
| Nakfa | 2 | 341 | 2020-10-13 | 2020-10-14 |
| Namibia Dollar | 1277 | 22 | 2017-08-23 | 2022-11-29 |
| Netherlands Guilder (old) | 4687 | 730 | 1993-01-04 | 2024-04-04 |
| Netherl.Antillian Guilder | 53 | 70 | 2000-05-31 | 2007-07-31 |
| New Israeli Sheqel | 38830 | 519 | 2004-01-07 | 2024-12-31 |
| New Taiwan Dollar | 4333446 | 366 | 1992-10-05 | 2024-12-31 |
| New Zealand Dollar | 359963 | 686 | 1998-06-16 | 2024-12-31 |
| Norwegian Krone | 4131218 | 662 | 1997-04-09 | 2024-12-31 |
| Nuevo sol | 12 | 746 | 2012-01-06 | 2012-01-09 |
| Offshore Renminbi Yuan | 706950 | 221 | 2011-12-30 | 2024-12-31 |
| Pakistan Rupee | 14000 | 714 | 1994-11-14 | 2006-06-05 |
| Philippine Peso | 4357 | 748 | 1996-02-15 | 2021-12-31 |
| Pound Sterling | 80031421 | 402 | 1980-01-01 | 2024-12-31 |
| Qatari Rial | 677 | 769 | 2011-04-21 | 2017-12-29 |
| Rand | 731161 | 20 | 1997-05-21 | 2024-12-31 |
| Renminbi Yuan | 24515589 | 220 | 2004-08-27 | 2024-12-31 |
| Rial Omani | 1684 | 618 | 2010-11-10 | 2019-01-30 |
| Romanian Leu | 44688 | 797 | 2004-10-11 | 2024-12-31 |
| Rupiah | 40279 | 500 | 1993-12-17 | 2022-12-07 |
| Russian Ruble | 108005 | 860 | 2009-10-06 | 2024-12-31 |
| Saudi Riyal | 135 | 74 | 2024-05-20 | 2024-12-31 |
| Schilling (old) | 486 | 92 | 1998-03-06 | 1998-12-30 |
| Serbian Dinar | 2 | 893 | 2020-10-13 | 2020-10-14 |
| Singapore Dollar | 4747449 | 846 | 1989-03-03 | 2024-12-31 |
| Slovak Koruna (inactive as of 01.01.09) | 5288 | 899 | 1995-07-03 | 2008-12-12 |

| Table 2: Statistics on mutual funds currencies listed on Over-The-Counter | | | | |
|---|------------------------|-------------------------|------------------------|------------|
| Denomination | Number of observations | EUROFIDAI Currency Code | Period of availability | |
| Solomon Isl. Dollar | 888 | 808 | 2007-07-16 | 2010-12-31 |
| Spanish Peseta (old) | 1481 | 310 | 1995-10-03 | 1998-12-31 |
| St.Helena Pound | 11 | 408 | 2023-12-14 | 2024-01-02 |
| Swedish Krona | 7177087 | 864 | 1986-01-14 | 2024-12-31 |
| Swiss Franc | 28250692 | 1 | 1980-01-03 | 2024-12-31 |
| Taka | 585 | 110 | 2011-09-04 | 2022-03-03 |
| Tala | 1743 | 812 | 2013-04-10 | 2022-01-03 |
| Tenge | 942 | 945 | 2021-01-05 | 2024-12-31 |
| Thai Baht offshore | 583 | 914 | 2009-01-09 | 2010-10-22 |
| Tolar (old) | 725 | 975 | 2004-09-20 | 2006-10-27 |
| Tunisian Dinar | 175683 | 924 | 1995-01-02 | 2020-09-23 |
| Turkish Lira | 33130 | 921 | 1994-12-12 | 2024-12-31 |
| Turkish Lira (old) | 190 | 928 | 2002-08-07 | 2020-10-14 |
| UAE Dirham | 44326 | 105 | 2012-04-20 | 2024-12-31 |
| Unidad de Fomento | 33 | 213 | 2020-11-19 | 2021-06-24 |
| US Dollar | 119822912 | 333 | 1982-01-29 | 2024-12-31 |
| Won | 32501226 | 248 | 1994-07-05 | 2024-12-31 |
| Yen | 27585577 | 534 | 1980-01-01 | 2024-12-31 |
| Zambian kwacha | 2 | 967 | 2020-10-13 | 2020-10-14 |
| Zloty | 1071957 | 753 | 1997-06-16 | 2024-12-31 |
| Zloty (old) | 146 | 752 | 1996-11-26 | 1997-08-07 |

2. Database Content

2.1. EUROFIDAI code

Each trading line is identified by a unique EUROFIDAI code. The EUROFIDAI code is a 15-digit code with the following structure for mutual funds traded OTC

- first three numbers: type of instrument (200 for funds)
- middle seven digits: unique identifier
- last five digits: code of the net asset value's currency for funds quoted OTC.

Example:

The EUROFIDAI code of the fund *BNPP Sm Eur ISR Cl - Parts -Classic C*-invested in equities for specific sectors and countries is **200019130530814**:

- **200** for a fund,
- 0191305 for the fund's unique identifier,
- **30814** for the currency (i.e. Euro).

Notes:

1. Funds whose prices exhibit a change of currency denomination are assigned the currency code corresponding to the last currency denomination. For example, a fund which used to be quoted in French franc and now quotes in euro is assigned a currency code of 30814 (the EUROFIDAI code of the euro currency).
2. For the net asset value of fund (NAV), we specify the currency denomination for every quote.

Tables 3 and **4** provide the list of variables available in the EUROFIDAI OTC mutual funds database respectively obtained from “codes search” and “data extraction”.

2.2. Variables in the EUROFIDAI OTC mutual funds database (Code Search)

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|--|--------------------------------------|
| Variable | Description | |
| DATE FIRST AVAILABLE DATA | First date at which a non-missing price is available for the Eurofidai code. We recommend using this date for data extraction. | |
| DATE LAST AVAILABLE DATA | Last date at which a non-missing price is available for the Eurofidai code. We recommend using this date for data extraction. | |
| EUROFIDAI CODE | Fund's unique identification code attributed by EUROFIDAI. See above for more information on the code structure. | |
| FUND NAME | Name of the fund | |
| FUND STATUS | status of the fund | |
| | 1 | Not yet issued |
| | 4 | Inactive |
| | 7 | In liquidation/dissolution |
| | 8 | Active |
| | 9 | In default |
| INCEPTION DATE | Creation date of the fund. | |
| INVESTMENT TYPE | Type of fund investment. The type of investment briefly describes the class of securities in which the fund is invested. This variable is especially useful in the initial selection of funds. | |
| | 1 | Equities Fund/Equity unit trust |
| | 2 | Fixed interest sec. Fund/ bond Fund |
| | 3 | Money market / Currency Fund |
| | 4 | Convertible bond Fund |
| | 5 | Invest. objective Fund/Balanced Fund |
| | 6 | Hedge Fund |
| | 7 | Real estate investment Fund |
| | 8 | Industry Fund |
| | 9 | Index Fund |
| | 10 | Fund of Funds |
| | 11 | Country / Regional Fund |
| | 12 | Allroundfunds/Mixed Funds |
| | 13 | Equities & industry Fund |
| | 14 | Equities & country/regional Fund |

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|--|--|
| Variable | Description | |
| | 15 | Bond & industry Funds |
| | 16 | Bond & country/regional Funds |
| | 17 | Guarantee fund/insurance Fund |
| | 18 | Pension Fund |
| | 19 | Equity/Bond Fund |
| | 20 | Sector-/Country-& Regional Fund |
| | 21 | Equity/Sector-/Country-& Regional Fund |
| | 22 | Bond/Sector-/Country-& Regional Fund |
| | 23 | Investment foundation claim |
| | 24 | Insurance Funds |
| | 25 | Bond & Equity/Country-& Regional Fund |
| | 26 | Mortgage Fund |
| | 27 | Futures/Options Funds |
| | 28 | Derivative Fund |
| | 29 | ETF |
| | 30 | Private Equity Fund |
| | 31 | Commodity Fund |
| | 32 | Fund of Hedge Funds |
| | 33 | Fund of Private Equity Funds |
| | 34 | Alternative Fund |
| | 35 | Digital Assets |
| | 99 | Other |
| ISIN CODE | Fund's ISIN code (note that some ISIN codes are copyrighted, particularly those with the prefix "AN", "BM", "BS", "CA", "KY "US" or "VG"). | |
| ISIN CODE STATUS | Status of the ISIN code. | |
| ISIN CODE FIRST VALIDITY DATE | First date at which the ISIN code is valid. | |
| ISIN CODE LAST VALIDITY DATE | Last date at which the ISIN code is valid. | |
| | 1 | Reserved |
| | 2 | Active |
| | 3 | Deleted |
| | 4 | Reactivated |
| | 5 | Change pending |

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|--|---|
| Variable | Description | |
| | 6 | ISIN transferred |
| | 99 | Other |
| ISSUER CODE | Fund issuer's code (code_gk). | |
| ISSUER DOMICILE | Fund issuer's country of domicile. | |
| ISSUER EXPIRATION DATE | Date at which the issuer code expired. | |
| ISSUER EXPIRATION REASON | Reason for which the issuer expired. | |
| | 1 | Rectification |
| | 2 | Merger |
| | 3 | Demerger |
| | 4 | Reorganisation |
| | 5 | Forced/debt restructuring liquidation |
| | 6 | Voluntary liquidation |
| | 7 | Change of domicile |
| | 8 | Change of name |
| | 9 | Trial |
| | 10 | Provocative deletion |
| | 11 | Change of legal form |
| | 12 | Duplicate |
| ISSUER LEGAL FORM | Legal form of the fund issuer. | |
| | 1 | Corporation |
| | 2 | Co-operative |
| | 3 | Society/association |
| | 4 | Contract (Mutual Funds, FCP) |
| | 5 | Foundation |
| | 6 | Insti.incorp.under public law |
| | 7 | Co. with fixed capital (SICAF) |
| | 8 | Co. with variable capital (UIT,SICAV,VCC) |
| | 9 | GmbH u. Co. KG / GmbH u. Co. KGaA |
| | 12 | Limited company/Limited liability company |
| | 13 | No proper legal form, branch |
| | 14 | No proper legal form, admin. inst. |

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|--------------------------------|---|
| Variable | Description | |
| | 15 | Limited Partnership |
| | 16 | KgaA Kommanditgesellschaft auf Aktien |
| | 17 | Investment foundation (CH) |
| | 18 | OEIC |
| | 19 | European public limited-liability co. (SE) |
| | 20 | European Cooperative Society (SCE) |
| | 21 | ICAV (Irish Collective Asset-management Vehicles) |
| | 99 | Other type of institution |
| ISSUER NAME | Short name of the fund issuer. | |
| ISSUER STATUS | Status of the fund issuer. | |
| | 1 | In foundation |
| | 2 | In bankruptcy proceedings |
| | 3 | Under administration |
| | 4 | Inactive at SIX Financial Information |
| | 5 | Active |
| | 6 | Inactive (inactive) |
| | 7 | Chapter 7 |
| | 8 | Chapter 11 |
| | 9 | In liquidation |
| | 10 | In debt restructuring liquidation |
| | 11 | In Chapter 11 Liquidation |
| | 12 | Stock exchange for reference purposes only |
| ISSUER TYPE | Type of the issuer. | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|--|-------------------------------------|
| Variable | Description | |
| | 9 | Price information provider |
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 14 | Association |
| | 16 | Branch |
| | 99 | Other |
| ISSUER WEBSITE | Website of the fund issuer. | |
| NAV CURRENCY | Currency of the net asset value. | |
| NUMBER OF OBSERVATIONS | Number of available observations for the fund. | |
| ORIGINAL ISSUER CODE | Code of the original fund issuer. | |
| ORIGINAL ISSUER DOMICILE | Country of domicile of the original fund issuer. | |
| ORIGINAL ISSUER NAME | Short name of the original fund issuer. | |
| SICOVAM CODE | Fund's SICOVAM. This is a five to six digits code assigned to French traded securities. It was abandoned and replaced by the ISIN code in 2003. | |
| VALOREN CODE | Fund's VALOREN code. A VALOREN code is similar to an ISIN code and is assigned to each listed securities and financial instruments in Switzerland. | |
| VALOREN CODE EXPIRATION DATE | Date at which the VALOREN code expired. | |
| VALOREN CODE EXPIRATION REASON | Reason for which the fund expired or will expire . Filled in for inactive institutions (e.g. merger, reorganization, change of name). | |
| | 1 | Rectification |
| | 2 | Maturity |
| | 3 | Call in of claims |
| | 4 | Early Redemption |
| | 5 | Other events |
| | 6 | Capital reduction |
| | 7 | Split |
| | 8 | Reverse split |
| | 9 | Merger |
| | 10 | Demerger |
| | 11 | Separation of units |
| | 12 | Consolidation in units |

| Table 3: Variables in the EUROFIDAI OTC mutual funds database (Code Search) | | |
|---|-------------|--|
| Variable | Description | |
| | 13 | Exchange |
| | 14 | Exchange offer |
| | 15 | Assimilation |
| | 16 | Invalidation/stopping |
| | 17 | Dissolution of company |
| | 18 | Reorganisation |
| | 19 | Change of nominal value |
| | 20 | Repurchase of own securities |
| | 21 | Amortization |
| | 22 | Capital repayment |
| | 23 | Provocative deletion |
| | 24 | Issue withdrawn |
| | 25 | Expiry / Conversion / Exercise |
| | 26 | Offer |
| | 27 | Change of domicile |
| | 28 | Change of name |
| | 29 | Legal proceedings / Trial |
| | 30 | Change of trading currency |
| | 31 | Change of legal form |
| | 32 | Change of market place |
| | 33 | Knocked out |
| | 34 | Correction of trading currency |
| | 35 | Correction of market place |
| | 36 | Obsolete ETD contract spec |
| | 37 | ETD contract spec pending for deletion |
| | 38 | ETD deletion due to adjustment |
| | 39 | ETD deletion without adjustment |

2.3. Variables in the EUROFIDAI OTC mutual funds database (Data Extraction)

| Table 4: Variables in the EUROFIDAI OTC mutual funds database (Data Extraction) | | |
|---|---|--|
| Variable | Description | |
| ADJUSTMENT COEFFICIENT | Adjustment coefficient for corporate actions (events) that have an impact on the net asset value, the subscription price or the redemption price. The adjustment coefficient allows value calculation adjusted for capital operations. It can be used to calculate the fund's return. | |
| DATE | Date | |
| GROSS DIVIDEND | Sum of the distributed dividend and the tax credit | |
| LAST REFERENCE PRICE | During continuous trading, the reference is the last-paid price, and in the pre-opening it is the closing price of the previous trading day | |
| NET ASSET VALUE | Net asset value is equal to the fund's total assets minus total liabilities divided by the number of outstanding shares. Frequency: daily. | |
| NET ASSET VALUE SIX Financial Information | Net asset value (NAV) determined by SIX Financial Information | |
| NET ASSET VALUE 52 WEEK HIGH | Highest recorded or calculated net asset value (NAV) over a period of 52 weeks | |
| NET ASSET VALUE 52 WEEK LOW | Lowest recorded or calculated net asset value (NAV) over a period of 52 weeks | |
| NET ASSET VALUE CURRENCY | Currency of net asset value | |
| NET ASSET VALUE DAILY HIGH | Highest net asset value (NAV) on a given day | |
| NET ASSET VALUE DAILY LOW | Lowest net asset value (NAV) on a given day | |
| NET ASSET VALUE YEARLY CLOSING | Last net asset value (NAV) of a fund for a given calendar year | |
| NET ASSET VALUE YEARLY HIGH | Yearly highest value of a fund's net asset value (NAV) | |
| NET ASSET VALUE YEARLY LOW | Yearly lowest Value of a fund's net asset value (NAV) | |
| NET DIVIDEND | Net dividend distributed | |
| NUMBER OF SHARES | Number of shares outstanding in the fund | |
| REDEMPTION PRICE | Price at which the shareholders sell their shares. Redemption price is defined as the difference between the net asset value and redemption fees (or back-end load fees). Frequency: daily. | |
| SUBSCRIPTION PRICE | Subscription price is the price at which the shareholders purchase their shares. Subscription price is defined as the sum of the net asset value and purchase fees. Frequency: daily. | |
| TOTAL NET ASSETS | Net asset value times the number of shares in the fund. | |

C. Organized Markets

1. Overall Description

The World mutual fund database includes 140 180 mutual funds listed on organized markets.

- Total number of observations for the closing price: 137 844 818.
- Trading lines: 477 568. A single mutual fund may be traded on various stock exchanges thus generating one trading line by stock exchange. Each trading line is identified by a unique EUROFIDAI code.

1.1. Issuer country of mutual funds traded on organized markets

| Table 5: Statistics on issuer domicile country of mutual funds traded on organized markets | | | | |
|--|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Argentina | 11 | 89 | 2022-01-03 | 2024-12-19 |
| Australia | 1413 | 1174530 | 1993-04-05 | 2024-12-31 |
| Austria | 3087 | 681840 | 1985-09-01 | 2024-12-30 |
| Bahrain | 2 | 10 | 2014-07-27 | 2014-08-06 |
| Bangladesh | 13 | 11128 | 2000-09-20 | 2021-05-12 |
| Barbados | 2 | 1248 | 2022-01-19 | 2024-12-31 |
| Belgium | 102 | 121206 | 1996-03-05 | 2024-12-31 |
| Bosnia and Herzegovina | 44 | 33294 | 2009-06-24 | 2024-12-31 |
| Botswana | 4 | 2565 | 2020-06-10 | 2024-08-07 |
| Brazil | 716 | 340831 | 2016-01-04 | 2024-12-30 |
| Bulgaria | 66 | 40063 | 2003-02-14 | 2024-12-27 |
| Canada | 1969 | 994455 | 2016-01-04 | 2024-12-31 |
| Cayman Islands | 7 | 182 | 2021-12-31 | 2024-12-31 |
| Chile | 1211 | 170798 | 2016-01-04 | 2024-12-30 |
| China | 3271 | 3279520 | 2005-10-10 | 2024-12-31 |
| Colombia | 48 | 13985 | 2016-02-22 | 2024-12-30 |
| Croatia | 20 | 15912 | 2003-10-02 | 2024-12-30 |
| Cyprus | 17 | 25108 | 1997-05-22 | 2024-12-31 |
| Czech Republic | 52 | 21596 | 2000-06-08 | 2024-12-30 |

| Table 5: Statistics on issuer domicile country of mutual funds traded on organized markets | | | | |
|--|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Denmark | 1315 | 1885214 | 1990-03-28 | 2024-12-30 |
| Ecuador | 5 | 110 | 2022-01-13 | 2024-12-23 |
| Egypt | 2 | 807 | 2022-01-02 | 2024-12-31 |
| El Salvador | 35 | 343 | 2016-01-13 | 2024-12-18 |
| Estonia | 3 | 4186 | 1998-06-11 | 2024-12-30 |
| Finland | 4 | 6252 | 2002-02-11 | 2024-12-30 |
| France | 15555 | 7738058 | 1986-06-24 | 2024-12-31 |
| Germany | 124141 | 151320475 | 1987-02-02 | 2024-12-31 |
| Ghana | 1 | 1101 | 2020-05-28 | 2024-12-31 |
| Greece | 6 | 10897 | 2004-10-08 | 2024-12-31 |
| Guernsey | 7 | 4272 | 2021-01-04 | 2024-12-31 |
| Hong Kong | 886 | 645313 | 1992-11-05 | 2024-12-31 |
| Hungary | 1074 | 228680 | 2006-02-24 | 2024-12-31 |
| Iceland | 4 | 2907 | 1998-07-15 | 2024-12-30 |
| India | 2531 | 723818 | 1999-12-30 | 2024-12-31 |
| Indonesia | 106 | 79182 | 2007-12-18 | 2024-12-30 |
| Ireland | 3909 | 971650 | 1995-05-18 | 2024-12-31 |
| Isle of Man | 255 | 229263 | 2014-01-07 | 2024-12-31 |
| Israel | 668 | 289907 | 2018-12-17 | 2024-12-31 |
| Italy | 6880 | 4132970 | 1997-09-19 | 2024-12-30 |
| Jamaica | 2 | 1296 | 2019-09-03 | 2024-12-31 |
| Japan | 1187 | 959922 | 1986-01-08 | 2024-12-31 |
| Jersey | 91 | 67270 | 2017-09-05 | 2024-12-31 |
| Kazakhstan | 39 | 22851 | 2010-01-27 | 2024-12-31 |
| Lebanon | 5 | 631 | 1998-09-30 | 2013-04-22 |
| Lithuania | 7 | 7439 | 2011-02-03 | 2024-12-30 |
| Luxembourg | 23352 | 20281942 | 1985-09-01 | 2024-12-31 |
| Malaysia | 57 | 57237 | 1994-07-07 | 2024-08-22 |
| Malta | 37 | 10020 | 2000-10-11 | 2024-09-12 |
| Mauritius | 6 | 2318 | 2020-06-03 | 2023-11-10 |

| Table 5: Statistics on issuer domicile country of mutual funds traded on organized markets | | | | |
|--|--------------------------------------|------------------------|------------------------|------------|
| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
| Mexico | 6617 | 1627759 | 2016-01-04 | 2024-12-31 |
| Montenegro | 6 | 2244 | 2021-01-12 | 2024-12-31 |
| Namibia | 12 | 8539 | 2020-06-17 | 2024-12-31 |
| Nepal | 1 | 510 | 2022-01-17 | 2024-12-29 |
| Netherlands | 4973 | 3146086 | 1986-01-03 | 2024-12-31 |
| New Zealand | 73 | 137783 | 1996-07-01 | 2024-12-31 |
| Nigeria | 2 | 1172 | 2016-01-04 | 2019-02-01 |
| Norway | 20 | 23529 | 2005-03-04 | 2024-12-30 |
| Oman | 4 | 575 | 2022-01-02 | 2024-12-31 |
| Pakistan | 62 | 64378 | 2000-07-14 | 2024-12-31 |
| Panama | 97 | 2767 | 2020-01-24 | 2024-12-30 |
| Peru | 166 | 11284 | 2016-01-04 | 2023-01-18 |
| Philippines | 4 | 10917 | 2003-04-23 | 2024-12-27 |
| Poland | 137 | 97308 | 1997-06-16 | 2024-12-30 |
| Portugal | 34 | 31385 | 1999-01-14 | 2024-12-31 |
| Qatar | 2 | 1097 | 2022-05-17 | 2024-12-31 |
| Romania | 29 | 29965 | 2008-09-29 | 2024-12-30 |
| Russian Federation | 1339 | 499919 | 2007-11-30 | 2024-08-30 |
| Saudi Arabia | 18 | 6514 | 2022-01-02 | 2024-12-31 |
| Serbia | 2 | 194 | 2008-08-21 | 2021-12-13 |
| Singapore | 229 | 179908 | 1990-01-30 | 2024-12-31 |
| Slovakia | 3 | 451 | 2011-03-23 | 2024-12-30 |
| Slovenia | 105 | 10533 | 2003-06-20 | 2024-12-30 |
| South Africa | 259 | 92821 | 2016-01-04 | 2024-12-31 |
| South Korea | 3077 | 1881366 | 2000-07-14 | 2024-12-30 |
| Spain | 9161 | 6305061 | 1993-04-14 | 2024-12-31 |
| Sri Lanka | 2 | 2653 | 2013-06-24 | 2020-11-19 |
| Sweden | 2796 | 281627 | 2000-10-30 | 2024-12-30 |
| Switzerland | 29740 | 10475040 | 1985-09-01 | 2024-12-31 |
| Taiwan, Province of China | 691 | 729011 | 2000-10-07 | 2024-12-31 |

Table 5: Statistics on issuer domicile country of mutual funds traded on organized markets

| Country of domicile of the issuer | Number of mutual funds trading lines | Number of observations | Period of availability | |
|-----------------------------------|--------------------------------------|------------------------|------------------------|------------|
| Thailand | 128 | 135907 | 2000-07-12 | 2024-12-30 |
| Trinidad and Tobago | 7 | 2712 | 2016-01-11 | 2024-12-31 |
| Turkey | 40 | 61522 | 2005-01-14 | 2024-12-31 |
| Ukraine | 272 | 23514 | 2008-04-07 | 2024-12-31 |
| United Arab Emirates | 16 | 5956 | 2014-01-28 | 2024-12-31 |
| United Kingdom | 69174 | 38358935 | 1985-09-01 | 2024-12-31 |
| United States | 154008 | 94701742 | 2009-10-16 | 2024-12-31 |
| Venezuela | 3 | 472 | 2022-01-03 | 2024-12-30 |
| Viet Nam | 34 | 26292 | 2004-11-15 | 2024-12-31 |

1.2. Currency of mutual funds traded on organized markets

Table 6: Statistics on mutual funds currencies listed on organized markets

| Denomination | Number of observations | Period of availability | |
|---------------------|------------------------|------------------------|------------|
| Argentine Peso | 91 | 2022-01-03 | 2024-12-19 |
| Australian Dollar | 1701535 | 1993-04-05 | 2024-12-31 |
| Baht | 157831 | 1999-07-23 | 2024-12-30 |
| Barbados Dollar | 1248 | 2022-01-19 | 2024-12-31 |
| Belgian Franc (old) | 12381 | 1985-09-01 | 1998-12-30 |
| Bolivar Soberano | 472 | 2022-01-03 | 2024-12-30 |
| Brazilian Real | 343109 | 2016-01-04 | 2024-12-30 |
| Burundi Franc | 1 | 2023-06-16 | 2023-06-16 |
| Canadian Dollar | 1046021 | 1990-07-25 | 2024-12-31 |
| CFA Franc BCEAO | 846 | 2021-01-04 | 2024-06-06 |
| Chilean Peso | 143041 | 2016-01-13 | 2024-12-30 |
| Colombian Peso | 13960 | 2016-02-22 | 2024-12-30 |
| Convertible Marks | 33420 | 2009-06-24 | 2024-12-31 |
| Cyprus Pound (old) | 1488 | 1997-05-22 | 2006-10-25 |

| Table 6: Statistics on mutual funds currencies listed on organized markets | | | |
|--|------------------------|------------------------|------------|
| Denomination | Number of observations | Period of availability | |
| Czech Koruna | 137643 | 2000-06-08 | 2024-12-31 |
| Danish Krone | 2235553 | 1990-03-28 | 2024-12-31 |
| Deutsche Mark (old) | 40850 | 1987-02-02 | 1999-01-27 |
| Dong | 27896 | 2004-11-15 | 2024-12-31 |
| ECU (old) | 21882 | 1994-02-22 | 2022-02-24 |
| Egyptian Pound | 807 | 2022-01-02 | 2024-12-31 |
| El Salvador Colon | 1222 | 2000-09-20 | 2006-03-06 |
| Euro | 205336276 | 1999-01-01 | 2024-12-31 |
| Forint | 266336 | 2003-02-19 | 2024-12-31 |
| French Franc (old) | 13093 | 1986-06-24 | 2001-09-28 |
| Ghana Cedi | 1101 | 2020-05-28 | 2024-12-31 |
| Grivnya | 23514 | 2008-04-07 | 2024-12-31 |
| Hong Kong Dollar | 870098 | 1993-02-11 | 2024-12-31 |
| Iceland Krona | 4957 | 1998-07-15 | 2024-12-30 |
| Indian Rupee | 730145 | 1999-12-30 | 2024-12-31 |
| Italian Lira (old) | 88680 | 1996-06-18 | 2001-12-28 |
| Jamaican Dollar | 1518 | 2019-09-03 | 2024-12-31 |
| Kroon | 363 | 1998-06-11 | 2002-02-22 |
| Kuna | 13437 | 2003-10-02 | 2022-12-28 |
| Lebanese Pound | 254 | 2005-06-10 | 2009-02-06 |
| Lev | 20584 | 2003-02-14 | 2024-12-27 |
| Lithuanian Litas | 275 | 2011-02-03 | 2014-08-21 |
| Malaysian Ringgit | 62667 | 1994-07-07 | 2024-08-22 |
| Maltese Lira (old) | 5902 | 2000-10-11 | 2004-05-24 |
| Mauritius Rupee | 765 | 2020-06-03 | 2023-11-10 |
| Mexican Peso | 1701304 | 2013-05-23 | 2024-12-31 |
| Naira | 1195 | 2016-01-04 | 2021-12-20 |
| Namibia Dollar | 2163 | 2011-03-28 | 2022-11-21 |
| Nepalese Rupee | 510 | 2022-01-17 | 2024-12-29 |
| Netherlands Guilder (old) | 73076 | 1986-01-03 | 1998-12-31 |
| New Israeli Sheqel | 303300 | 2011-08-01 | 2024-12-31 |

| Table 6: Statistics on mutual funds currencies listed on organized markets | | | |
|--|------------------------|------------------------|------------|
| Denomination | Number of observations | Period of availability | |
| New Taiwan Dollar | 768658 | 2000-10-07 | 2024-12-31 |
| New Zealand Dollar | 188648 | 1996-07-01 | 2024-12-31 |
| Non-Currency | 16 | 2021-11-29 | 2021-12-22 |
| Norwegian Krone | 371050 | 2000-05-25 | 2024-12-31 |
| Nuevo sol | 1091 | 2016-03-11 | 2023-01-18 |
| Offshore Renminbi Yuan | 59209 | 2015-11-18 | 2024-12-31 |
| Pakistan Rupee | 64378 | 2000-07-14 | 2024-12-31 |
| Philippine Peso | 11297 | 2003-04-23 | 2024-12-27 |
| Pound Sterling | 31873155 | 1985-09-01 | 2024-12-31 |
| Pula | 2310 | 2020-06-10 | 2024-08-07 |
| Qatari Rial | 1097 | 2022-05-17 | 2024-12-31 |
| Rand | 156888 | 2001-10-18 | 2024-12-31 |
| Renminbi Yuan | 3504113 | 2005-07-22 | 2024-12-31 |
| Rial Omani | 575 | 2022-01-02 | 2024-12-31 |
| Romanian Leu | 41251 | 2007-11-16 | 2024-12-30 |
| Rupiah | 84363 | 2007-12-18 | 2024-12-30 |
| Russian Ruble | 321671 | 2007-11-30 | 2024-08-30 |
| Saudi Riyal | 6514 | 2022-01-02 | 2024-12-31 |
| Schilling (old) | 2357 | 1985-09-01 | 1998-12-30 |
| Serbian Dinar | 194 | 2008-08-21 | 2021-12-13 |
| Singapore Dollar | 944029 | 1996-12-20 | 2024-12-31 |
| Spanish Peseta (old) | 6861 | 1993-04-14 | 2004-10-27 |
| Sri Lanka Rupee | 2653 | 2013-06-24 | 2020-11-19 |
| Surinam Dollar | 284 | 2021-04-20 | 2022-12-05 |
| Swedish Krona | 865915 | 1996-06-20 | 2024-12-31 |
| Swiss Franc | 7768454 | 1985-09-01 | 2024-12-31 |
| Taka | 11128 | 2000-09-20 | 2021-05-12 |
| Tenge | 11151 | 2010-01-27 | 2024-12-31 |
| Tolar (old) | 1660 | 2003-06-20 | 2006-12-27 |
| Trinidad & Tobago Dollar | 2689 | 2016-01-11 | 2024-12-31 |
| Turkish Lira | 68358 | 2005-01-14 | 2024-12-31 |

| Table 6: Statistics on mutual funds currencies listed on organized markets | | | |
|--|------------------------|------------------------|------------|
| Denomination | Number of observations | Period of availability | |
| UAE Dirham | 11346 | 2011-03-31 | 2024-12-31 |
| US Dollar | 88687059 | 1987-01-05 | 2024-12-31 |
| Won | 1978922 | 2000-07-14 | 2024-12-30 |
| Yen | 2057971 | 1992-10-05 | 2024-12-31 |
| Zloty | 233051 | 1997-06-16 | 2024-12-31 |

2. Database Content

2.1. EUROFIDAI code

Each trading line is identified by a unique EUROFIDAI code. The EUROFIDAI code is a 15-digit code with the following structure for mutual funds listed on organized markets:

- first three numbers: type of instrument (200 for funds)
- middle seven digits: unique identifier
- last five digits: code of the exchange for funds listed on organized markets.

Example:

The EUROFIDAI code of the fund Lyxor CAC 40 (DR) UCITS ETF – Dist is **200**0079639**10025**:

- **200** for a fund,
- 0079639 for the fund's unique identifier,
- **10025** for the exchange (i.e. Euronext Paris).

Tables 7 and 8 provide the list of variables available in the EUROFIDAI organized market mutual funds database respectively obtained from “Codes Search” and “Data Extraction”.

2.2. Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search)

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | |
|---|---|
| Variable | Description |
| CODE SICOVAM | Fund's SICOVAM code. This is a five to six digits code was assigned to French traded securities. It was abandoned and replace by the ISIN code in 2003. |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|---|--------------------------------|
| Variable | Description | |
| CODE VALOREN | Fund's VALOREN code. The VALOREN code is similar to an ISIN code assigned to each listed securities and financial instruments in Switzerland. | |
| CODE VALOREN EXPIRATION DATE | Date at which the valoren code expired. | |
| CODE VALOREN EXPIRATION REASON | Reason for which the VALOREN code expired. | |
| | 1 | Rectification |
| | 2 | Maturity |
| | 3 | Call in of claims |
| | 4 | Early Redemption |
| | 5 | Other events |
| | 6 | Capital reduction |
| | 7 | Split |
| | 8 | Reverse split |
| | 9 | Merger |
| | 10 | Demerger |
| | 11 | Separation of units |
| | 12 | Consolidation in units |
| | 13 | Exchange |
| | 14 | Exchange offer |
| | 15 | Assimilation |
| | 16 | Invalidation/stopping |
| | 17 | Dissolution of company |
| | 18 | Reorganisation |
| | 19 | Change of nominal value |
| | 20 | Repurchase of own securities |
| | 21 | Amortization |
| | 22 | Capital repayment |
| | 23 | Provocative deletion |
| | 24 | Issue withdrawn |
| | 25 | Expiry / Conversion / Exercise |
| | 26 | Offer |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|--|--|
| Variable | Description | |
| | 27 | Change of domicile |
| | 28 | Change of name |
| | 29 | Legal proceedings / Trial |
| | 30 | Change of trading currency |
| | 31 | Change of legal form |
| | 32 | Change of market place |
| | 33 | Knocked out |
| | 34 | Correction of trading currency |
| | 35 | Correction of market place |
| | 36 | Obsolete ETD contract spec |
| | 37 | ETD contract spec pending for deletion |
| | 38 | ETD deletion due to adjustment |
| | 39 | ETD deletion without adjustment |
| CREATION DATE | Inception date of the fund. | |
| DATE FIRST AVAILABLE DATA | First date at which a non-missing price is available for the corresponding identification code. We recommend using this date for data extraction. | |
| DATE LAST AVAILABLE DATA | Last date at which a non-missing price is available for the corresponding identification code. We recommend using this date for data extraction. | |
| EUROFIDAI CODE | Fund's unique identification code attributed by EUROFIDAI. See Section 2.1 for EUROFIDAI mutual funds' code structure. | |
| FUND NAME | Name of the fund. | |
| FUND STATUS | Fund's status. | |
| | 1 | Not yet issued |
| | 4 | Inactive |
| | 7 | In liquidation/dissolution |
| | 8 | Active |
| | 9 | In default |
| INVESTMENT TYPE | Type of fund investment. The type of investment briefly describes the class of securities in which the fund is invested. This variable is especially useful in the initial selection of funds. | |
| | 1 | Equities Fund/Equity unit trust |
| | 2 | Fixed interest sec. Fund/ bond Fund |
| | 3 | Money market / Currency Fund |
| | 4 | Convertible bond Fund |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|-------------|--|
| Variable | Description | |
| | 5 | Invest. objective Fund/Balanced Fund |
| | 6 | Hedge Fund |
| | 7 | Real estate investment Fund |
| | 8 | Industry Fund |
| | 9 | Index Fund |
| | 10 | Fund of Funds |
| | 11 | Country / Regional Fund |
| | 12 | Allroundfunds/Mixed Funds |
| | 13 | Equities & industry Fund |
| | 14 | Equities & country/regional Fund |
| | 15 | Bond & industry Funds |
| | 16 | Bond & country/regional Funds |
| | 17 | Guarantee fund/insurance Fund |
| | 18 | Pension Fund |
| | 19 | Equity/Bond Fund |
| | 20 | Sector-/Country-& Regional Fund |
| | 21 | Equity/Sector-/Country-& Regional Fund |
| | 22 | Bond/Sector-/Country-& Regional Fund |
| | 23 | Investment foundation claim |
| | 24 | Insurance Funds |
| | 25 | Bond & Equity/Country-& Regional Fund |
| | 26 | Mortgage Fund |
| | 27 | Futures/Options Funds |
| | 28 | Derivative Fund |
| | 29 | ETF |
| | 30 | Private Equity Fund |
| | 31 | Commodity Fund |
| | 32 | Fund of Hedge Funds |
| | 33 | Fund of Private Equity Funds |
| | 34 | Alternative Fund |
| | 35 | Digital Assets |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|--|---------------------------------------|
| Variable | Description | |
| | 99 | Other |
| ISIN CODE | ISIN code identifying the fund (note that some ISIN codes are copyrighted, particularly those with the prefix "AN", "BM", "BS", "CA", "KY "US" or "VG"). | |
| ISIN CODE STATUS | Code for the status of the ISIN code. | |
| | 1 | Reserved |
| | 2 | Active |
| | 3 | Deleted |
| | 4 | Reactivated |
| | 5 | Change pending |
| | 6 | ISIN transferred |
| | 99 | Other |
| ISIN END VALIDITY DATE | Last date at which the ISIN code is valid. | |
| ISIN START VALIDITY DATE | First date at which the ISIN code is valid. | |
| ISSUER CODE | Issuer code (code_gk) | |
| ISSUER DOMICILE | Domicile country of the fund issuer. | |
| ISSUER EXPIRATION DATE | This date indicates when the institution was set to inactive. | |
| ISSUER EXPIRATION REASON | Reason for which the fund issuer expired. | |
| | 1 | Rectification |
| | 2 | Merger |
| | 3 | Demerger |
| | 4 | Reorganisation |
| | 5 | Forced/debt restructuring liquidation |
| | 6 | Voluntary liquidation |
| | 7 | Change of domicile |
| | 8 | Change of name |
| | 9 | Trial |
| | 10 | Provocative deletion |
| | 11 | Change of legal form |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|--|--|
| Variable | Description | |
| ISSUER LEGAL FORM | Legal form of the fund issuer. | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |
| | 9 | Price information provider |
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 99 | Other |
| ISSUER NAME | Institution short name with up to 19 characters. | |
| ISSUER STATUS | Status of the fund's issuer. | |
| | 1 | In foundation |
| | 2 | In bankruptcy proceedings |
| | 3 | Under administration |
| | 4 | Inactive at SIX Financial Information |
| | 5 | Active |
| | 6 | Inactive (inactive) |
| | 7 | Chapter 7 |
| | 8 | Chapter 11 |
| | 9 | In liquidation |
| | 10 | In debt restructuring liquidation |
| | 11 | In Chapter 11 Liquidation |
| | 12 | Stock exchange for reference purposes only |
| ISSUER TYPE | Type of the fund issuer. | |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|--|-------------------------------------|
| Variable | Description | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |
| | 9 | Price information provider |
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 99 | Other |
| ISSUER WEBSITE | Website of the fund's issuer. | |
| NUMBER OF OBSERVATIONS | Number of available observations for the fund. | |
| ORIGINAL ISSUER CODE | Code of the original issuer. | |
| ORIGINAL ISSUER DOMICILE | Country of domicile of the original issuer. | |
| ORIGINAL ISSUER NAME | Short name of the original issuer. | |
| STOCK EXCHANGE COUNTRY | Country of the stock exchange. | |
| STOCK EXCHANGE NAME | Name of the stock exchange. | |
| STOCK EXCHANGE TYPE | Type of the stock exchange. | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |

| Table 7: Variables in the EUROFIDAI Organized Markets mutual funds database (Code Search) | | |
|---|-------------|-------------------------------------|
| Variable | Description | |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |
| | 9 | Price information provider |
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 99 | Other |

2.3. Variables in the EUROFIDAI Organized Markets mutual funds database (Data Extraction)

| Table 8: Variables in the EUROFIDAI Organized Markets mutual funds database (Data Extraction) | |
|---|---|
| Variable | Description |
| ADJUSTMENT COEFFICIENT | This variable represents the adjustment coefficient for corporate actions (events) that have an impact on the prices. The adjustment coefficient allows value calculation adjusted for capital operations. It can be used to calculate the fund's return. |
| BEST ASK | Lowest quoted offer price from competing market makers or other sellers for a particular trading instrument. The ask price is the lowest price that a seller is willing to offer for an asset, and the best ask is the most favorable ask price offered at a given time for a given security. |
| BEST BID | Highest quoted bid for a particular security among all bids offered by competing market makers. The best bid is the highest price that an investor is willing to pay for an asset. |
| DAILY HIGHEST MEAN BID-ASK SPREAD | Daily highest arithmetic mean between bid rates and ask rates |
| DAILY HIGHEST PRICE | Highest price of the quotation day |
| DAILY LOWEST MEAN BID-ASK SPREAD | Daily lowest arithmetic mean between bid rates and ask rates |
| DAILY LOWEST PRICE | Lowest price of the quotation day |
| DATE QUOTATION | Quotation date |
| DIVIDEND CURRENCY | Currency of the dividend |
| DIVIDEND GROSS | Gross dividend represents the sum of the distributed dividend and the tax credit |
| DIVIDEND NET | Dividend distributed |
| NUMBER OF SHARES | Number of shares outstanding in the fund |
| PRICE AVERAGE | Average price of the quotation day. Arithmetical mean between various rates (for example bid and ask rates, high and low rates). |
| PRICE CLOSING | Closing price of the quotation day |
| PRICE LAST REFERENCE | Comparative value used by the SIX Swiss Exchange for making various calculations, such as opening price, delayed opening or stop trading. |
| PRICE OPENING | Opening price of the quotation day |
| PRICE QUOTED CURRENCY | Currency of the quoted price |
| TRADING VOLUME (SHARES) | Number of shares traded during the quotation day |
| TRADING VOLUME (VALUE) | Market value of transactions during the quotation day |
| YEARLY HIGHEST MEAN BID-ASK SPREAD | Yearly highest arithmetic mean between bid rates and ask rates |
| YEARLY HIGHEST TRADE PRICE | Yearly highest price at which a stock exchange transaction is settled |
| YEARLY LOWEST MEAN BID-ASK SPREAD | Yearly lowest arithmetic mean between bid rates and ask rates |
| YEARLY LOWEST TRADE PRICE | Yearly lowest price at which a stock exchange transaction is settled |

3. Complementary information

In addition to the above variables, the mutual funds database for OTC funds and funds listed on organized markets includes detailed complementary information under the heading "Further Information". The complementary information includes:

- General characteristics of the fund
- Classification of the fund
- Related institutions
- Fund fees
- Minimum amount of subscription
- Authorized country
- Fund investment policy
- Fund asset allocation
- Fund benchmark
- Tax / Regulation / Reporting

The following sections provide detailed information on these variables.

3.1. « General Characteristics » Variables

| Table 9: General Characteristics Variables | | |
|--|---|----------------------------|
| Variable | Description | |
| DATE CREATION | Creation date of the fund. | |
| DATE INFORMATION | Date at which information on this group of variables is obtained. | |
| DIVIDEND POLICY | Fund dividend policy. | |
| | 1 | Cumulative |
| | 2 | Distributive |
| | 3 | Mixed form |
| EUROFIDAI CODE | Fund's unique identification code attributed by EUROFIDAI. See Section 2.1 for EUROFIDAI mutual funds' code structure. | |
| EUROFIDAI PRIMARY CODE | Fund's primary code is obtained by removing the 5 last digits of its EUROFIDAI code. The primary code of the fund is defined as the EUROFIDAI code without the sub-codes of the associated stock exchange or of the currency in which its net asset value is expressed. The primary code was created to minimize the size of the results file. Indeed, a mutual fund can be traded on several stock exchanges or its net asset value can be expressed in different currencies (in this case, the fund has as many EUROFIDAI codes as stock exchanges and currencies). But, complementary information associated to the fund is not specific to a stock exchange or a currency. Therefore, instead of the EUROFIDAI code, this primary code is proposed in the results file. | |
| FUND CLOSED-END | Indicates whether the fund is a closed-end fund or not. The variable is equal to 0 if the fund is an open-end fund. The variable is equal to 1 if the fund is a closed-end fund. | |
| FUND MANAGER ADDRESS | Address of the fund manager. | |
| FUND MANAGER CITY | City/town of the fund manager. | |
| FUND MANAGER NAME | Name of the fund manager whenever available. | |
| FUND MANAGER POSTAL CODE | Postal code of the fund manager. | |
| FUND NAME | Name of the fund. | |
| FUND STATUS | Fund's status. | |
| | 1 | Not yet issued |
| | 4 | Inactive |
| | 7 | In liquidation/dissolution |
| | 8 | Active |
| | 9 | In default |
| FUND WEBSITE | Fund's website address. | |
| INVESTMENT TYPE | Type of fund investment. The type of investment briefly describes the class of securities in which the fund is invested. This variable is especially useful in the initial selection of funds. | |

Table 9: General Characteristics Variables

| Variable | Description | |
|----------|-------------|--|
| | 1 | Equities Fund/Equity unit trust |
| | 2 | Fixed interest sec. Fund/ bond Fund |
| | 3 | Money market / Currency Fund |
| | 4 | Convertible bond Fund |
| | 5 | Invest. objective Fund/Balanced Fund |
| | 6 | Hedge Fund |
| | 7 | Real estate investment Fund |
| | 8 | Industry Fund |
| | 9 | Index Fund |
| | 10 | Fund of Funds |
| | 11 | Country / Regional Fund |
| | 12 | Allroundfunds/Mixed Funds |
| | 13 | Equities & industry Fund |
| | 14 | Equities & country/regional Fund |
| | 15 | Bond & industry Funds |
| | 16 | Bond & country/regional Funds |
| | 17 | Guarantee fund/insurance Fund |
| | 18 | Pension Fund |
| | 19 | Equity/Bond Fund |
| | 20 | Sector-/Country-& Regional Fund |
| | 21 | Equity/Sector-/Country-& Regional Fund |
| | 22 | Bond/Sector-/Country-& Regional Fund |
| | 23 | Investment foundation claim |
| | 24 | Insurance Funds |
| | 25 | Bond & Equity/Country-& Regional Fund |
| | 26 | Mortgage Fund |
| | 27 | Futures/Options Funds |
| | 28 | Derivative Fund |
| | 29 | ETF |
| | 30 | Private Equity Fund |
| | 31 | Commodity Fund |

Table 9: General Characteristics Variables

| Variable | Description | |
|---------------------------------|--|---------------------------------------|
| | 32 | Fund of Hedge Funds |
| | 33 | Fund of Private Equity Funds |
| | 34 | Alternative Fund |
| | 35 | Digital Assets |
| | 99 | Other |
| ISSUER DOMICILE NAME | Country of domicile of the fund issuer. | |
| ISSUER EXPIRATION DATE | Date on which the fund issuer expired. | |
| ISSUER EXPIRATION REASON | Reason for which the fund issuer expired. | |
| | 1 | Rectification |
| | 2 | Merger |
| | 3 | Demerger |
| | 4 | Reorganisation |
| | 5 | Forced/debt restructuring liquidation |
| | 6 | Voluntary liquidation |
| | 7 | Change of domicile |
| | 8 | Change of name |
| | 9 | Trial |
| | 10 | Provocative deletion |
| | 11 | Change of legal form |
| ISSUER FULL NAME | Full name of the fund issuer. | |
| ISSUER IBEI CODE | IBEI (International Business Entity Identifier) code of the fund issuer. | |
| ISSUER LEGAL FORM | Legal form of the fund issuer. | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |
| | 9 | Price information provider |

Table 9: General Characteristics Variables

| Variable | Description | |
|----------------------|--------------------------------|--|
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 99 | Other |
| ISSUER NAME | Short name of the fund issuer. | |
| ISSUER STATUS | Status of the fund's issuer. | |
| | 1 | In foundation |
| | 2 | In bankruptcy proceedings |
| | 3 | Under administration |
| | 4 | Inactive at SIX Financial Information |
| | 5 | Active |
| | 6 | Inactive (inactive) |
| | 7 | Chapter 7 |
| | 8 | Chapter 11 |
| | 9 | In liquidation |
| | 10 | In debt restructuring liquidation |
| | 11 | In Chapter 11 Liquidation |
| | 12 | Stock exchange for reference purposes only |
| ISSUER TYPE | Type of the fund issuer. | |
| | 1 | Company |
| | 2 | Trading place/market |
| | 3 | State / territory |
| | 4 | Natural person |
| | 5 | Public corporation |
| | 6 | Investment fund/trust |
| | 7 | News agency |
| | 8 | Contributor |
| | 9 | Price information provider |
| | 10 | Technical trading place |
| | 11 | Aggregated or disaggregated markets |

| Table 9: General Characteristics Variables | | |
|--|--|--|
| Variable | Description | |
| | 12 | Government/Parliament |
| | 13 | SPV / SPE / SPI |
| | 99 | Other |
| ISSUER WEBSITE | Fund issuer's website address. | |
| ORIGINAL ISSUER NAME | Name of the original fund issuer. | |
| PRIVATE INVESTORS | Indicates whether the fund is intended for private investors or not. The variable is equal to N (NO) if the fund is not intended to private investors. The variable is equal to Y (YES) if the fund is intended to private investors. The variable is equal to U (undefined) if we cannot determine if the fund is intended to private investors or not. | |
| TARGET INVESTORS | Type of investors for whom the fund is intended. | |
| | 1 | Fund for institutional investors |
| | 2 | Fund for qualified investors |
| | 3 | Fund for institutional/qualified investors |
| | 4 | Fund with special terms |
| | 5 | Fund for limited circle of investors |
| | 6 | Spezialfonds |
| | 7 | Funds for private investors only |
| | 8 | Fund for accredited investors |
| | 9 | Fund for sophisticated investors |
| | 10 | Retail / No restrictions |

3.2. Fund investment types

The INVESTMENT TYPE NAME variable is available for both OTC traded funds and funds listed on Organized Markets. The variable provides a description for the type of fund investment: Equities fund, Country/Regional fund, Commodity Fund, etc. Funds can be successively associated with several types of investment, during a given sample period.

Note: Fund investment types need to be used with caution since they are not exhaustive and their definitions may vary from one country to another.

3.2.1. Investment Type: Summary Statistics

| Table 10: Investment Type for All Mutual Funds | | | |
|--|--|---|------------------------------|
| Investment Type | Number of trading line (Organized Markets) | Number of trading line (Over-the-counter Markets) | Total Number of trading line |
| Allroundfunds/Mixed Funds | 25012 | 59052 | 84064 |
| Alternative Fund | 12 | 216 | 228 |
| Bond & country/regional Funds | 19158 | 31851 | 51009 |
| Bond & Equity/Country-& Regional Fund | 1447 | 1727 | 3174 |
| Bond & industry Funds | 416 | 506 | 922 |
| Bond/Sector-/Country-& Regional Fund | 112 | 505 | 617 |
| Commodity Fund | 237 | 514 | 751 |
| Convertible bond Fund | 1573 | 2729 | 4302 |
| Country / Regional Fund | 1204 | 3391 | 4595 |
| Derivative Fund | 1412 | 2624 | 4036 |
| Digital Assets | 33 | 31 | 64 |
| Equities & country/regional Fund | 50085 | 70586 | 120671 |
| Equities Fund/Equity unit trust | 28368 | 52344 | 80712 |
| Equities & industry Fund | 11147 | 11167 | 22314 |
| Equity/Bond Fund | 5316 | 7707 | 13023 |
| Equity/Sector-/Country-& Regional Fund | 4982 | 6635 | 11617 |
| ETF | 237824 | 20311 | 258135 |
| Fixed interest sec. Fund/ bond Fund | 30115 | 73924 | 104039 |
| Fund of Funds | 10348 | 22438 | 32786 |
| Fund of Hedge Funds | 504 | 3461 | 3965 |
| Fund of Private Equity Funds | 39 | 59 | 98 |
| Futures/Options Funds | 270 | 867 | 1137 |
| Guarantee fund/insurance Fund | 1175 | 5919 | 7094 |
| Hedge Fund | 1520 | 12851 | 14371 |
| Index Fund | 5838 | 12434 | 18272 |
| Industry Fund | 1041 | 1816 | 2857 |
| Insurance Funds | 910 | 1163 | 2073 |

| Table 10: Investment Type for All Mutual Funds | | | |
|--|--|---|------------------------------|
| Investment Type | Number of trading line (Organized Markets) | Number of trading line (Over-the-counter Markets) | Total Number of trading line |
| Investment foundation claim | 188 | 1198 | 1386 |
| Invest. objective Fund/Balanced Fund | 2998 | 7350 | 10348 |
| Money market / Currency Fund | 3486 | 9210 | 12696 |
| Mortgage Fund | 12 | 49 | 61 |
| Other | 5129 | 16204 | 21333 |
| Pension Fund | 3871 | 3379 | 7250 |
| Private Equity Fund | 248 | 1283 | 1531 |
| Real estate investment Fund | 3034 | 3675 | 6709 |
| Sector-/Country-& Regional Fund | 284 | 415 | 699 |

3.3. « Classification » Variables

This group of variables relates to fund classification.

| Table 11: Classification Variables | | |
|------------------------------------|----------------------|--|
| Variable | Description | |
| CLASSIFICATION | Fund classification. | |
| | AZT | Investment Style |
| | AFG | Swiss Federal Investment Fund Act (inactive) |
| | EBK | Swiss Federal Banking Commission SFBC (new: FINMA) |
| | SFA | Swiss Funds Association - SFA |
| | ESTV | Federal Swiss Tax Administration |
| | EU | Fund market of the European Union |
| | ASSOG | Italian fund market - Assogestioni |
| | InvMG | German investment tax law InvMG (inactive) |
| | InvMGS | German investment law InvMGS (inactive) |
| | InvMGH | German Investment Modernisation Law |
| | VermBG | German Capital Formation Law |
| | LUX | Luxembourgian fund market |
| | CMVM | Portuguese fund market |
| | AMF | French fund market |
| | AMFFC | French fund market - special values |
| | CNMV | Spanish fund market |

| Table 11: Classification Variables | | |
|------------------------------------|--|---|
| Variable | Description | |
| | ATZ | Austrian fund market EU-Savings Tax |
| | AT | Austrian fund market |
| | FC | Danish fund market - FundCollect |
| | MSUS | U.S. fund market - Morningstar |
| | MSCA | Canadian fund market - Morningstar |
| | MSEUA | Europ./Asian fund market - Morningstar |
| | KAG | Swiss law collect.cap.investments |
| | IUG | LI-investment comp.law 19.5.05 |
| | MSAUS | Australian fund market - Morningstar |
| | MSIND | Indian fund market - Morningstar |
| | MSCHI | Chinese fund market - Morningstar |
| | MSNZL | New Zealand fund market - Morningstar |
| | MSUCE | US Closed End - Morningstar |
| | MSUFE | US ETF - Morningstar (inactive) |
| | EFC | European Fund Classification (inactive) |
| | LORG | Legal/Organisational Classification |
| | ARIA | French fund market - ARIA funds |
| | BE | Belgian fund market |
| | ESMA | European Securities and Markets Authority |
| | AMFAM | French fund market - Alternative management |
| | EUPFCL | Europerformance Fund Classification |
| | KAGB | German Capital Investment Code (KAGB) |
| | MSUSETF | US ETF - Morningstar |
| | EFC2012 | European Fund Classification (EFC) |
| CLASSIFICATION - SUBCLASS | Subclass of the general classification (large list of codes available upon request to our support team). | |
| COMPLEMENTARY INFORMATION | Complementary information on fund classification. | |
| COMPLEMENTARY INFORMATION | 1 | Verified |
| COMPLEMENTARY INFORMATION | 2 | Indicative |

3.4. « Related Institutions » Variables

This group of variables relates to institutions associated to the fund.

| Table 12: Related Institution Variables | | |
|---|-------------------------------|-------------------------|
| Variable | Description | |
| ISSUER CODE | Code gk of the fund's issuer. | |
| ISSUER NAME | Name of the fund's issuer. | |
| INSTITUTION ROLE | 1 | Fund management company |

| Table 12: Related Institution Variables | | |
|---|---|--------------------------------------|
| Variable | Description | |
| | 2 | Depository bank/Custodian |
| | 3 | Launcher / Subscription agent |
| | 4 | Auditor |
| | 5 | Representative |
| | 6 | Administrator |
| | 7 | Investment advisor |
| | 8 | Calculation agent |
| | 9 | Distribution / Selling agent |
| | 10 | Self managed fund |
| | 11 | Transfer agent |
| | 12 | Registrar |
| | 13 | Trustee |
| | 14 | Operating company |
| | 15 | Portfolio Manager/Investment Manager |
| | 16 | Onshore legal advisor |
| | 17 | Offshore legal advisor |
| | 18 | Regulatory body |
| | 19 | CDS Reference Entity |
| | 20 | Prime broker |
| | 21 | Promoter |
| | 22 | Domiciliation agent |
| | 23 | Sponsor / Originator (SPV) |
| | 24 | Subadvisor |
| | 25 | Paying Agent |
| | 26 | Provider Company |
| | 27 | Security Lending Counterparty |
| | 28 | Market Maker |
| | 29 | Collateral Manager |
| | 30 | Authorized Participant |
| | 31 | Swap Counterparty |
| | 99 | Other role (as descr. under Note) |
| RELATED INSTITUTION NAME | Institution related to the fund's issuer (code gk). | |

3.5. « Fees » Variables

This group of variables consists of fund fees. There are two types of fees: actual fees and planned fees.

| Table 13: Fees Variables | |
|--------------------------|----------------------------------|
| Variable | Description |
| CURRENT FEES | Current fees (amount or percent) |

| Table 13: Fees Variables | | |
|---|---|--|
| Variable | Description | |
| CURRENT FEES FREQUENCY | Frequency of current fees | |
| CURRENT FEES FREQUENCY UNIT (CODE) | Unit for the frequency of current fees (expressed as a code) | |
| CURRENT FEES FREQUENCY UNIT (NAME) | Unit of the current fees' frequency | |
| CURRENT FEES UNIT (CODE) | Unit of the current fees (expressed as a code) | |
| CURRENT FEES UNIT (NAME) | Unit of the current fees | |
| EFFECTIVE DATE PLANNED FEES | Date from which planned fees will apply | |
| FEES TYPE | Type of fund fees. This variable applies to current and planned fees. | |
| | 1 | Front-end-fee |
| | 2 | Repurchase charge |
| | 3 | Management fee |
| | 4 | All- in- fee |
| | 5 | Performance fee |
| | 6 | Switching fee |
| | 7 | Administration fee |
| | 8 | 12B-1-Fee |
| | 9 | Expense projections |
| | 10 | Reinvestment fee (in the same fund) |
| | 11 | Reinvestment fee (in associated fund) |
| | 12 | Total operating expense |
| | 13 | Custody fee |
| | 14 | Advisory fee |
| | 15 | Deferred Sales Charge |
| | 16 | Shareholder Service Fee |
| | 17 | Flat Fee |
| | 18 | Distribution fee |
| | 19 | Support fee |
| | 20 | Processsing fee |
| | 21 | Servicing fee |
| | 22 | High water mark |
| | 23 | Hurdle rate |
| | 24 | KIID Ongoing Charges Figure |
| | 25 | TER Total Expense Ratio |
| | 26 | IEV (Issuer Estimated Value) |
| | 27 | Distribution allowance |
| | 28 | Offering Premium |
| | 29 | Recurring distribution fee |
| | 30 | Quanto interest |
| | 31 | Retrocession |
| | 32 | Max one-off charges entry costs ex ante (MiFID-II/PRIIP) |
| | 33 | One-off charges exit costs ex ante (MiFID-II/PRIIP) |
| | 34 | Ongoing charges ex ante (MiFID-II/PRIIP) |

| Table 13: Fees Variables | | |
|--------------------------|-----|--|
| Variable | | Description |
| | 35 | All costs related to transactions ex ante (MiFID-II/PRIIP) |
| | 36 | Incidental costs ex ante (MiFID-II/PRIIP) |
| | 37 | Incidental costs/carried interest ex ante (MiFID-II/PRIIP) |
| | 38 | Reduction in yield (RIY) per year 1Y (PRIIP) |
| | 39 | Reduction in yield (RIY) per year 1/2 RHP (PRIIP) |
| | 40 | Reduction in yield (RIY) per year RHP (PRIIP) |
| | 41 | One-off charges entry costs ex post (MiFID-II) |
| | 42 | One-off charges exit costs ex post (MiFID-II) |
| | 43 | Ongoing charges ex post (MiFID-II) |
| | 44 | All costs related to transactions ex post (MiFID-II) |
| | 45 | Incidental costs ex post (MiFID-II) |
| | 46 | Gap Premium |
| | 47 | Management Fee ex ante (MiFID-II) |
| | 48 | Distribution Fee ex ante (MiFID-II) |
| | 49 | Management Fee ex post (MiFID-II) |
| | 50 | Distribution Fee ex post (MiFID-II) |
| | 51 | One-off charges maximum entry costs acquired ex ante (MiFID-II) |
| | 52 | One-off charges maximum exit costs acquired ex ante (MiFID-II) |
| | 53 | One-off charges exit costs structured securities prior to RHP ex ante (MiFID-II) |
| | 54 | structured securities ongoing costs ex post accumulated (MiFID-II) |
| | 55 | Net One-off cost Financial Instrument entry cost (MiFID-II) |
| | 56 | One-off cost Financial Instrument maximum entry cost Italy (MiFID-II) |
| | 57 | One-off cost Financial Instrument maximum exit cost Italy (MiFID-II) |
| | 58 | Financial Instrument Borrowing costs ex ante UK (MiFID-II) |
| | 59 | Financial Instrument Performance Fee costs ex ante (MiFID-II) |
| | 60 | Structured Securities Reference Price ex ante (Mi-FID-II) |
| | 61 | Net One-off cost Structured Securities entry cost ex post (MiFID-II) |
| | 62 | Financial Instrument Borrowing costs ex post UK (MiFID-II) |
| | 63 | Financial Instrument Performance Fee costs ex post (MiFID-II) |
| | 64 | Structured Securities Reference Price ex post (MiFID-II) |
| | 65 | Structured Securities Notional Reference Amount ex ante (MiFID-II) |
| | 66 | Structured Securities Notional Reference Amount ex post (MiFID-II) |
| | 67 | One-off Cost Financial Instrument Entry Cost Acquired (MiFID II) |
| | 68 | TER Excluding Performance Fee |
| | 69 | TER Including Performance Fee |
| | 70 | Trailer Fee |
| | 99 | Fees and charges |
| | 100 | One-off charges Portfolio entry costs |

| Table 13: Fees Variables | | |
|--|--|---|
| Variable | Description | |
| | 101 | One-off charges Portfolio entry costs acquired |
| | 102 | One-off portfolio exit cost at RHP |
| | 103 | One-off portfolio exit cost at 1 year |
| | 104 | One-off portfolio exit cost at half RHP |
| | 105 | One-off portfolio sliding exit cost indicator |
| | 106 | Ongoing portfolio other costs |
| | 107 | Ongoing portfolio management costs |
| | 108 | Ongoing portfolio transaction costs |
| | 109 | Existing performance fees |
| | 110 | Incidental portfolio performance fees |
| | 111 | Existing carried interest fees |
| | 112 | Incidental portfolio carried interest costs |
| | 113 | Total cost 1 year |
| | 114 | RIY 1 year |
| | 115 | Total cost half RHP |
| | 116 | RIY half RHP |
| | 117 | Total cost RHP |
| | 118 | RIY RHP |
| | 119 | One off costs Portfolio entry cost RIY |
| | 120 | One off costs Portfolio exit cost RIY |
| | 121 | Ongoing costs Portfolio transaction costs RIY |
| | 122 | Ongoing costs Other ongoing costs RIY |
| | 123 | Incidental costs Portfolio performance fees RIY |
| | 124 | Incidental costs Portfolio carried interests RIY |
| | 125 | All costs related to transactions ex ante UK (MiFID-II/PRIIP) |
| | 126 | All costs related to transactions ex post UK (MiFID-II/PRIIP) |
| | 127 | Waiting for SIX documentation |
| | 128 | Waiting for SIX documentation |
| | 129 | Waiting for SIX documentation |
| | 130 | Waiting for SIX documentation |
| MAX CURRENT FEES | Maximum current fees (amount or percent) | |
| MAX CURRENT FEES UNIT (CODE) | Unit of the maximum current fees (expressed as a code) | |
| MAX CURRENT FEES UNIT (NAME) | Unit of the maximum current fees | |
| MAX FREQUENCY | Frequency of maximum current fees | |
| MAX FREQUENCY UNIT (CODE) | Unit for the frequency of maximum current fees (expressed as a code) | |
| MAX FREQUENCY UNIT (NAME) | Unit of the maximum current fees' frequency | |
| MAX PLANNED FEES | Maximum planned fees (amount or percent) | |
| MAX PLANNED FEES UNIT (CODE) | Unit of the maximum planned fees (expressed as a code) | |
| MAX PLANNED FEES UNIT (NAME) | Unit of the maximum planned fees | |
| MAX PLANNED FREQUENCY | Frequency of maximum planned fees | |
| MAX PLANNED FREQUENCY UNIT (CODE) | Unit for the frequency of maximum planned fees (expressed as a code) | |

| Table 13: Fees Variables | |
|-----------------------------------|--|
| Variable | Description |
| MAX PLANNED FREQUENCY UNIT (NAME) | Unit of the maximum planned fees' frequency |
| MIN CURRENT FEES | Minimum current fees (amount or percent) |
| MIN CURRENT FEES UNIT (CODE) | Unit of the minimum current fees (expressed as a code) |
| MIN CURRENT FEES UNIT (NAME) | Unit of the minimum current fees |
| MIN FREQUENCY | Frequency of minimum current fees |
| MIN FREQUENCY UNIT (CODE) | Unit for the frequency of minimum current fees (expressed as a code) |
| MIN FREQUENCY UNIT (NAME) | Unit of the minimum current fees' frequency |
| MIN PLANNED FEES | Minimum planned fees (amount or percent) |
| MIN PLANNED FEES UNIT (CODE) | Unit of the minimum planned fees (expressed as a code) |
| MIN PLANNED FEES UNIT (NAME) | Unit of the minimum planned fees |
| MIN PLANNED FREQUENCY | Frequency of minimum planned fees |
| MIN PLANNED FREQUENCY UNIT (CODE) | Unit for the frequency of minimum planned fees (expressed as a code) |
| MIN PLANNED FREQUENCY UNIT (NAME) | Unit of the minimum planned fees' frequency |
| PLANNED FEES | Planned fees (amount or percent) |
| PLANNED FEES UNIT (CODE) | Unit of the planned fees (expressed as a code) |
| PLANNED FEES UNIT (NAME) | Unit of the planned fees |
| PLANNED FREQUENCY | Frequency of planned fees |
| PLANNED FREQUENCY UNIT (CODE) | Unit for the frequency of planned fees (expressed as a code) |
| PLANNED FREQUENCY UNIT (NAME) | Unit of the planned fees' frequency |

3.6. « Subscription » Variables

This group of variables relates to the minimum amount of subscription for a given fund.

| Table 14: Related Institution Variables | |
|---|---|
| Variable | Description |
| MIN SUBSCRIPTION AMOUNT | Minimal amount of subscription. |
| SUBSCRIPTION CURRENCY | Currency of the minimal subscription amount. |
| MIN SUBSCRIPTION TYPE | Type of minimum subscription. |
| | 1 Regular |
| | 2 IRA |
| | 3 AIP |
| | 4 Minimum investment for managed accounts |
| | 5 Minimum subscription amount % |
| | 99 Other |
| SUBSCRIPTION INCREMENT SIZE | The amounts which investors can subscribe to the fund are fixed by the fund. There is a minimum subscription amount. Larger subscription amounts are defined as the sum of the minimum subscription amount and the subscription increment size. Possible subscription amounts are (in ascending order): 1. the minimum subscription amount, 2. the minimum subscription amount plus the subscription increment size, 3. the |

| Table 14: Related Institution Variables | |
|---|--|
| Variable | Description |
| | minimum subscription amount plus two times the subscription increment size, etc. |

3.7. « Authorized Sale Country » Variables

This group of variables concerns countries in which the fund complies with the legal requirements for selling their financial products.

| Table 15: Authorized Sale Country Variables | |
|---|--|
| Variable | Description |
| SALES AUTHORIZATION COUNTRY | Country in which the fund complies with the legal requirements for selling its products. |
| SALES AUTHORIZATION VALIDITY DATE | Date of validity for the variable SALES AUTHORIZATION COUNTRY |

3.8. “Investment Policy” Database Content

This group of variables describes the fund investment policy.

| Table 16: Investment Policy Variables | |
|---------------------------------------|--|
| Variable | Description |
| INVEST AREA (CODE) | Geographical area in which the fund can invest (expressed as a code) |
| INVEST AREA (NAME) | Geographical area in which the fund can invest |
| INVEST CATEGORY | Financial asset category in which the fund may invest according to its initial statement (Equities, Bonds, Alternative funds, Money market papers, Derivatives, etc.). |
| INVEST PERCENT | Percentage of total fund investment which will be dedicated to a particular investment |
| INVEST PERCENT UNIT | Unit of measure of total fund investment dedicated to a particular investment |
| INVEST SECTOR (CODE) | Sector in which the fund can invest (expressed as a code) |
| INVEST SECTOR (NAME) | Sector in which the fund may invest according to its initial statement |
| MATURITY UNIT | Unit for the maturities (minimum or maximum) of the financial asset in which the fund can invest |
| MATURITY UNIT (NAME) | Maturity unit of the financial asset in which the fund may invest according to its initial statement |
| MAX INVEST PERCENT | Maximum percentage of total fund investment dedicated to a particular investment |
| MAX INVEST PERCENT UNIT | Unit of measure of the maximum percentage share unit of total fund investment dedicated to a particular investment |
| MAX MATURITY | Maximum maturity of the financial asset in which the fund can invest |
| MIN INVEST PERCENT | Minimum percentage of total fund investment dedicated to a particular investment |

| Table 16: Investment Policy Variables | |
|---------------------------------------|--|
| Variable | Description |
| MIN INVEST PERCENT UNIT | Unit of measure of the minimum percentage share unit of total fund investment dedicated to a particular investment |
| MIN MATURITY | Minimum maturity of the financial asset in which the fund can invest |
| POLICY DESCRIPTION | Detailed description of the investment policy. This description is an overview of the fund's investment objectives |
| POLICY VALIDITY DATE | Date at which the fund investment policy is valid |

3.9. "Fund Asset Allocation" Database Content

This group of variables describes the fund asset allocation.

| Table 17: Fund Asset Allocation Variables | |
|---|---|
| Variable | Description |
| ASSET ALLOCATION VALIDITY DATE | Date at which the fund asset allocation is valid |
| ASSET ALLOCATION DESCRIPTION | Description of the fund asset allocation |
| FIRM INVEST NAME | Name of the company in which the fund invests |
| INVEST INSTRUMENT NAME | Name of the financial asset in which the fund invests (i.e. equity) |
| INVEST CURRENCY (CODE) | Currency in which the fund invests (expressed as a code) |
| INVEST CURRENCY (NAME) | Explicit designation for the currency in which the fund invests |
| INVEST CATEGORY (CODE) | Financial asset category in which the fund invests (expressed as a code) |
| INVEST CATEGORY (NAME) | Explicit designation for the financial asset category in which the fund invests |
| INVEST SECTOR (CODE) | Sector in which the fund invests (expressed as a code) |
| INVEST SECTOR (NAME) | Explicit designation for the sector in which the fund invests |
| INVEST AREA (CODE) | Geographical area in which the fund invests (expressed as a code) |
| INVEST AREA (NAME) | Explicit designation for the geographical area in which the fund invests |
| MIN MATURITY | Minimum maturity of the financial asset in which the fund invests |
| MAX MATURITY | Maximum maturity of the financial asset in which the fund invests |
| MATURITY UNIT | Unit for the maturities (minimum or maximum) of the financial asset in which the fund invests |
| MATURITY UNIT (NAME) | Maturity unit of the financial asset in which the fund invests |
| INVEST PERCENT | Percentage of total fund investment which is dedicated to a particular investment |
| INVEST PERCENT UNIT | Unit of measure of the fund allocation to a particular investment |

3.10. “Benchmark” Database Content

This group of variables describes the fund benchmark.

| Table 18: Benchmark Variables | |
|-------------------------------|--|
| Variable | Description |
| VALOREN CODE BENCHMARK | VALOREN code identifying the benchmark of the fund |
| BENCHMARK NAME | Benchmark name of the fund (i.e. S&P 500, the Russell 2000, MSCI EAFA, FTSE 100) |
| WEIGHTING | Weighting of each index used by the benchmark |
| BENCHMARK DESCRIPTION | Description of the fund benchmark. This description is an overview of the benchmark(s) that a fund uses. Note that a fund can be measured against a combination of various benchmarks on the basis of a specific weighting scheme. |

3.11. “Tax / Regulation / Reporting” Database Content

This group of variables describes the Tax / Regulation / Reporting of mutual fund investors.

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|---|---------------------------------------|
| Variable | Description | |
| COUNTRY CODE | Code identifying the country in which taxes on mutual fund transactions are due | |
| COUNTRY NAME | Country in which taxes on mutual fund transactions are due | |
| REPORTING REGULATION | Name of the regulation rule that a mutual fund is subject to. | |
| | 1 | Nationality Declaration |
| | 2 | Weiss (inactive) |
| | 3 | Bluetenweiss (inactive) |
| | 4 | Reportable acc. to par. 10 WAG |
| | 5 | KESst-exempt due to report |
| | 6 | SEC-Rule 22c-2 |
| | 7 | TEFRA C |
| | 8 | TEFRA D |
| | 9 | Offered under Reg S |
| | 10 | Offered only to 144 -A-/QIB |
| | 11 | Foreign Ownership Limit (FOL) |
| | 12 | Restricted to Accredited Investors |
| | 13 | Sell only to both 144-A-/QIB & 3c7/QP |
| | 14 | Offered under Reg S and 3c7 |
| | 15 | Unitary Issue |
| | 16 | RRSP eligible |
| | 17 | RESP eligible |
| | 18 | TFSA eligible |
| | 19 | SEC-Rule 13 (f) |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|---|
| Variable | Description | |
| | 20 | KESt-Meldefonds |
| | 21 | Reporting |
| | 22 | Authorised |
| | 23 | Bond Fund |
| | 24 | DDS - Deeply Discounted Securities |
| | 25 | PAIF - Property Authorised Investment Fund |
| | 26 | Qualification as investment funds |
| | 27 | PRIIPs scope indicator |
| | 28 | UK PRIIPs scope indicator |
| | 30 | Holder identifiable |
| | 31 | Transparent funds under paragraph 5 InvStG (inactive) |
| | 33 | US-Tax form W-8 BEN |
| | 34 | US-Tax form W-9 |
| | 35 | Capital gain |
| | 36 | Eligible for PEA (shares savings plan) |
| | 41 | Risk Class 1 -KID SRRI UCITS- volatility intervals => 0% < 0.5% |
| | 42 | Risk Class 2 -KID SRRI UCITS- volatility intervals => 0.5% < 2% |
| | 43 | Risk Class 3 -KID SRRI UCITS- volatility intervals => 2% < 5% |
| | 44 | Risk Class 4 -KID SRRI UCITS- volatility intervals => 5% < 10% |
| | 45 | Risk Class 5 -KID SRRI UCITS- volatility intervals => 10% < 15% |
| | 46 | Risk Class 6 -KID SRRI UCITS- volatility intervals => 15% < 25% |
| | 47 | Risk Class 7 -KID SRRI UCITS- volatility intervals => 25% |
| | 48 | Grandfathering FWT |
| | 49 | Income Equalization Procedure (Germany) (inactive) |
| | 50 | Delivers interim gain (inactive) |
| | 51 | Financial innovations |
| | 52 | Tax-optimized money market funds |
| | 53 | Bail-in Power |
| | 54 | Swiss Tax Reporting Fund |
| | 55 | Statusbescheinigung nach § 7 Abs. 3 InvStG |
| | 56 | PIR Piano individuale di Risparmio |
| | 57 | Swedish Premium Pension System (PPM) |
| | 58 | EU Market Abuse Regulation |
| | 59 | Eligible for PERi (individual retirement/pension plan) |
| | 60 | SFTR: Collateral Security Type |
| | 61 | SFTR: Collateral Quality |
| | 62 | CRR: Capital Requirements Regulation |
| | 63 | EU Securitisation |
| | 101 | SNB-01:Money market instr., domestic issuers |
| | 102 | SNB-02:Med.-term bk-issued notes,dom.issuers |
| | 103 | SNB-03:Bonds issued by domestic issuers |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|--|
| Variable | Description | |
| | 104 | SNB-04:Public sector bonds,domestic issuers |
| | 105 | SNB-05:Bonds issued by the Swiss Federation |
| | 106 | SNB-06:Shares issued by domestic issuers |
| | 109 | SNB-09:Other secs.(excl.derivat.)dom.issuers |
| | 110 | SNB-10:Total of securities,domestic issuers |
| | 111 | SNB-11:Money-market instr.,foreign issuers |
| | 112 | SNB-12:Bonds issued by foreign issuers |
| | 113 | SNB-13:Public sector bonds,foreign issuers |
| | 114 | SNB-14:Shares issued by foreign issuers |
| | 117 | SNB-17:Other secs.(excl.derivat.)foreign iss. |
| | 118 | SNB-18:Total of securities,foreign issuers |
| | 121 | SNB-21:Structured prod.(I.32-36),domest.iss. |
| | 122 | SNB-22:Structured prod.(I.37-41),foreign iss. |
| | 123 | SNB-23:Coll.inv.units(I.24+26) domestic issuers |
| | 124 | SNB-24:CISA-Units, domestic issuers |
| | 125 | SNB-25:CISA-Units, domestic issuers, open-end |
| | 126 | SNB-26:Non-CISA-Units, domestic issuers |
| | 127 | SNB-27:Non-CISA-Units,domest.issuers,open-end |
| | 128 | SNB-28:Coll.inv.units w.strat. MMI,dom.issuers |
| | 129 | SNB-29:Coll.inv.units, foreign issuers |
| | 130 | SNB-30:Coll.inv.units,foreign issuers,open-end |
| | 131 | SNB-31:Coll.inv.uts w.strat.MMI,foreign issuers |
| | 132 | SNB-32:Leveraged products,domestic issuers |
| | 133 | SNB-33:Participation products,domestic issuers |
| | 134 | SNB-34:Yield-optimisation prod.,domest.issuers |
| | 135 | SNB-35:Capital-protection prod.,domest.issuers |
| | 136 | SNB-36:Other structured prod.domestic issuers |
| | 137 | SNB-37: Leveraged products,foreign issuers |
| | 138 | SNB-38:Participation products,foreign issuers |
| | 139 | SNB-39:Yield-optimisation prod.,foreign issuers |
| | 140 | SNB-40:Capital-protection prod.,foreign issuers |
| | 141 | SNB-41:Other structured prod.,foreign issuers |
| | 142 | SNB-42: SNB Bills |
| | 143 | Inv.Tax Act application - Transparent fund |
| | 144 | Inv.Tax Act application - Non-transparent fund (Tax basis absent) |
| | 145 | Inv.Tax Act application - Non-transparent fund, absence of tax information |
| | 146 | Inv.Tax Act application - Non-transp. fund, def. tax classific. not poss. at this time |
| | 147 | Inv.Tax Act application - Transparent fund (first financial year after 31.12.2003) |
| | 148 | Inv.Tax Act application - Transparent fund (set up before 01.01.2004) |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|--|
| Variable | Description | |
| | 149 | Inv.Tax Act application - Spec.invest.fund (acc.sect.15&16; § 6 is not applicable) |
| | 150 | Inc.equal. §5(1)3 (WM) |
| | 151 | Qualifying Corporate Bond |
| | 152 | Venture Capital Trust |
| | 153 | Building Society Shares |
| | 154 | Derivative on Gilt or QCB |
| | 155 | Eligible for PEA-SME |
| | 156 | Hong Kong SFC Authorized Products |
| | 157 | RDR Clean share class |
| | 158 | Notification date to freeze date of non-dematerialized/registered securities |
| | 159 | Restricted to Accredited Investors & 3c7/QP |
| | 160 | Fund is subject to capital yields tax on interest (§ 98 Abs. 1 Z 5 lit. b 1988) |
| | 161 | Tax reporting funds in accordance with § 98 EStG, ongoing data |
| | 162 | Tax reporting funds in accordance with § 98 EStG, annual data |
| | 163 | CSRC Authorized Products |
| | 170 | Individual Savings Account (ISA) |
| | 171 | Self-Invested Personal Pension (SIPP) |
| | 172 | Personal Equity Plan (PEP) |
| | 180 | Registered with local regulatory authority |
| | 200 | AIFMD: SEC_CSH_CODP |
| | 201 | AIFMD: SEC_CSH_COMP |
| | 202 | AIFMD: SEC_CSH_OTHD |
| | 203 | AIFMD: SEC_CSH_OTHC |
| | 204 | AIFMD: SEC_LEQ_IFIN |
| | 205 | AIFMD: SEC_LEQ_OTHR |
| | 206 | AIFMD: SEC_UEQ_UEQY |
| | 207 | AIFMD: SEC_CPN_INVG |
| | 208 | AIFMD: SEC_CPN_NIVG |
| | 209 | AIFMD: SEC_CPN_XXXX |
| | 210 | AIFMD: SEC_CPI_INVG |
| | 211 | AIFMD: SEC_CPI_NIVG |
| | 212 | AIFMD: SEC_CPI_XXXX |
| | 213 | AIFMD: SEC_SBD_EUBY |
| | 214 | AIFMD: SEC_SBD_EUBM |
| | 215 | AIFMD: SEC_SBD_NOGY |
| | 216 | AIFMD: SEC_SBD_NOGM |
| | 217 | AIFMD: SEC_SBD_EUGY |
| | 218 | AIFMD: SEC_SBD_EUGM |
| | 219 | AIFMD: SEC_MBN_MNPL |
| | 220 | AIFMD: SEC_CBN_INVG |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|----------------------|
| Variable | Description | |
| | 221 | AIFMD: SEC_CBN_NIVG |
| | 222 | AIFMD: SEC_CBN_XXXX |
| | 223 | AIFMD: SEC_CBI_INVG |
| | 224 | AIFMD: SEC_CBI_NIVG |
| | 225 | AIFMD: SEC_CBI_XXXX |
| | 226 | AIFMD: SEC_LON_LEVEL |
| | 227 | AIFMD: SEC_LON_OTHL |
| | 228 | AIFMD: SEC_SSP_SABS |
| | 229 | AIFMD: SEC_SSP_RMBS |
| | 230 | AIFMD: SEC_SSP_CMBS |
| | 231 | AIFMD: SEC_SSP_AMBS |
| | 232 | AIFMD: SEC_SSP_ABCP |
| | 233 | AIFMD: SEC_SSP_CDOC |
| | 234 | AIFMD: SEC_SSP_STRC |
| | 235 | AIFMD: SEC_SSP_SETP |
| | 236 | AIFMD: SEC_SSP_OTHS |
| | 237 | AIFMD: DER_EQD_FINI |
| | 238 | AIFMD: DER_EQD_OTHD |
| | 239 | AIFMD: DER_FID_FIXI |
| | 240 | AIFMD: DER_CDS_SNFI |
| | 241 | AIFMD: DER_CDS_SNSO |
| | 242 | AIFMD: DER_CDS_SNOT |
| | 243 | AIFMD: DER_CDS_INDX |
| | 244 | AIFMD: DER_CDS_EXOT |
| | 245 | AIFMD: DER_CDS_OTHR |
| | 246 | AIFMD: DER_FEX_INVIT |
| | 247 | AIFMD: DER_FEX_HEDG |
| | 248 | AIFMD: DER_FEX_XXXX |
| | 249 | AIFMD: DER_IRD_INTR |
| | 250 | AIFMD: DER_CTY_ECOL |
| | 251 | AIFMD: DER_CTY_ENNG |
| | 252 | AIFMD: DER_CTY_ENPW |
| | 253 | AIFMD: DER_CTY_ENOT |
| | 254 | AIFMD: DER_CTY_PMGD |
| | 255 | AIFMD: DER_CTY_PMOT |
| | 256 | AIFMD: DER_CTY_OTIM |
| | 257 | AIFMD: DER_CTY_OTLS |
| | 258 | AIFMD: DER_CTY_OTAP |
| | 259 | AIFMD: DER_CTY_OTHR |
| | 260 | AIFMD: DER_OTH_OTHR |
| | 261 | AIFMD: PHY_RES_RESL |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|--|--|
| Variable | Description | |
| | 262 | AIFMD: PHY_RES_COML |
| | 263 | AIFMD: PHY_RES_OTHR |
| | 264 | AIFMD: PHY_CTY_PCTY |
| | 265 | AIFMD: PHY_TIM_PTIM |
| | 266 | AIFMD: PHY_ART_PART |
| | 267 | AIFMD: PHY_TPT_PTPT |
| | 268 | AIFMD: PHY_OTH_OTHR |
| | 269 | AIFMD: CIU_OAM_MMFC |
| | 270 | AIFMD: CIU_OAM_AETF |
| | 271 | AIFMD: CIU_OAM_OTHR |
| | 272 | AIFMD: CIU_NAM_MMFC |
| | 273 | AIFMD: CIU_NAM_AETF |
| | 274 | AIFMD: CIU_NAM_OTHR |
| | 275 | AIFMD: CIU_XXX_MMFC |
| | 276 | AIFMD: CIU_XXX_AETF |
| | 277 | AIFMD: CIU_XXX_OTHR |
| | 278 | AIFMD: OTH_OTH_OTHR |
| | 279 | AIFMD: NTA_NTA_NOTA |
| | 300 | BVV2: alternative investment |
| | 301 | BVV2: diversified alternative investment |
| | 302 | BVV2: instrument contains alternative investments |
| | 303 | BVV2: alternative investment - confirmed |
| | 304 | BVV2: diversified alternative investment - confirmed |
| | 305 | BVV2: instrument contains alternative investments - confirmed |
| | 306 | BVV2: instrument contains no alternative investments - confirmed |
| | 307 | BVV2: Infrastructure Assets |
| | 308 | BVV2: Compliant Funds |
| | 400 | FinfraG |
| | 401 | Equivalence under Art. 30 |
| | 402 | Recognition under Art. 32 |
| | 403 | Endorsement under Art. 33 |
| | 404 | Authorisation under Art. 34 |
| | 405 | Registration under Art. 34 |
| REPORTING REGULATION APPLICABILITY | Name of the regulation rule status that applies to mutual fund transactions. | |
| | 1 | Liable/ applicable |
| | 2 | Potentially liable/ applicable |
| | 3 | Exempt/ not liable/applicable |
| | 4 | Exempt, subject to conditions |
| | 5 | Partly exempt |
| | 6 | Partly exempt, Venture Capital Trust |
| | 7 | Subject to review |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|--|
| Variable | Description | |
| | 8 | Liability position obscure |
| | 9 | No code applicable |
| | 10 | Progressive allowance, subject to conditions |
| | 11 | Potentially not liable/ applicable |
| | 12 | Liable/ applicable - confirmed |
| | 13 | Not applicable / liable; confirmed |
| | 14 | In |
| | 15 | Out |
| | 16 | Yes |
| | 17 | No |
| | 18 | In scope |
| | 19 | Exempt grandfathered |
| | 20 | Income equal. §5(1)3 as p. inv. leg., not only f. tax purp. (WM) |
| | 21 | Income equalization for tax purposes (WM) |
| | 22 | No income equalization (WM) |
| | 23 | To be announced (WM) |
| | 24 | Not relevant (WM) |
| | 25 | Income equal. §5(1)3 in connect. w. prof. certificate (WM) |
| | 26 | No income equal. §5(1)3 in connect. w. prof. certificate (WM) |
| | 27 | Not applicable |
| | 28 | Liable depending on the triggering underlying |
| | 29 | Exempt short-term |
| | 30 | In scope materially modified security |
| | 31 | Authorized |
| | 33 | In scope; confirmed |
| | 34 | Potentially in scope |
| | 35 | Out of scope / potentially in scope for combined transactions; confirmed |
| | 36 | Exempt qualified index |
| | 37 | Exempt qualified index; confirmed |
| | 38 | Qualified index |
| | 39 | In scope materially modified; confirmed |
| | 40 | Out of scope AMC; confirmed |
| | 41 | Exempt on IRS exception list for ETNs |
| | 42 | Reportable |
| | 43 | Potentially reportable |
| | 44 | Potentially reportable (AMC) |
| | 45 | Not reportable; confirmed |
| | 46 | Reportable; confirmed |
| | 47 | Exempt from Reporting; confirmed |
| | 48 | Qualified index safe harbour |
| | 49 | Out of scope |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|--|---|
| Variable | Description | |
| | 50 | Exempt from Reporting |
| | 51 | suspended |
| | 52 | Suspension Revoked |
| | 53 | Re-suspended |
| | 54 | From EU Level to TV Level |
| | 55 | From TV Level to EU Level |
| | 56 | Notified by Authority |
| | 57 | Withdrawn by Authority |
| | 58 | According to HK SFC - Hong Kong |
| | 59 | According to HK SFC - overseas |
| | 60 | Specific applicability depending on relevant law / regulation |
| | 61 | According to SFDR/Taxonomy |
| | 62 | According to SFDR/Taxonomy estimated |
| | 63 | Substitute for SFDR/Taxonomy data |
| | 64 | Potentially yes |
| | 65 | Potentially no |
| | 66 | Non-qualified index |
| | 67 | M - Material liquidity risk |
| | 68 | I - Illiquid |
| | 69 | L - no liquidity issue |
| | 70 | New PRIIPS methodology |
| | 71 | Full PRIIPS |
| | 72 | Other methodology |
| | 98 | Information not available |
| | 99 | Unknown |
| REPORTING REGULATION OBJECT | Name of of the target of the tax rule. | |
| | 1 | Capital gain |
| | 2 | Interest |
| | 3 | Dividend |
| | 4 | Transaction |
| | 5 | Limited Partnership |
| | 6 | Capital gain, personal income/PIL (inactive) |
| | 7 | Capital gain, personal income (inactive) |
| | 8 | Capital gain resulting from transformation (inactive) |
| | 9 | Capital gain, leverage product/FCIMT (inactive) |
| | 10 | Redemp.Prem.Bond non EEA |
| | 11 | Redemp.Prem.Bond EEA |
| | 12 | Redemp.Prem.Bond FRA |
| | 13 | Redemp.Prem.Bond FRA (01.10.84-01.01.87) |
| | 14 | Capital gain FWT (Purchase before 01.01.2009) |
| | 15 | Capital gain FWT (Purchase before 15.03.2007) |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|---|
| Variable | Description | |
| | 16 | Fund |
| | 17 | Bond / Structured Product |
| | 18 | EIS - Excluded Indexed Security |
| | 19 | Real estate |
| | 20 | Transfer |
| | 21 | SPV < 5 years, French Money Market |
| | 22 | Bonds and other instruments |
| | 23 | Leveraged products and FCIMT, French market (inactive) |
| | 24 | Leveraged products, non French market (inactive) |
| | 25 | Equities, Funds, SPV > 5 years |
| | 26 | Income resulting from bond transformation |
| | 27 | Unit trusts and mutual funds (HK SFC, Unlisted products) |
| | 28 | Investment-linked assurance schemes (HK SFC, Unlisted products) |
| | 29 | Structured investment products (HK SFC, Unlisted products) |
| | 30 | Mandatory provident funds (HK SFC, Unlisted products) |
| | 31 | Pooled retirement funds (HK SFC, Unlisted products) |
| | 32 | Unlisted shares and debentures (HK SFC, Unlisted products) (inactive) |
| | 33 | Investment-linked deposits (HK SFC, Unlisted products) (inactive) |
| | 34 | Paper gold schemes (HK SFC, Unlisted products) |
| | 35 | Exchange-traded funds (HK SFC, Listed products) |
| | 36 | Real estate investment trusts (HK SFC, Listed products) |
| | 37 | Closed-end funds (HK SFC, Listed products) |
| | 38 | Listed shares and debentures (HK SFC, Listed products) |
| | 39 | Leveraged products |
| | 40 | Dividend equivalent payment acc. Section 871(m) |
| | 41 | Positive target market (Y) |
| | 42 | Negative target market (N) |
| | 43 | Neutral target market |
| | 44 | Sales transaction |
| | 47 | Swiss security |
| | 48 | Foreign security |
| | 49 | Derivative |
| | 50 | Sales transaction (purchases after 01.01.2018) |
| | 51 | Investmentfonds i.S.d § 1 InvStG |
| | 52 | Spezial-Investmentfonds i.S.d § 26 InvStG |
| | 53 | Altersvorsorgevermoegensfonds i.S.d § 53 InvStG |
| | 54 | Leveraged and Inverse Products (HK SFC, Listed products) |
| | 55 | Open-Ended Fund Companies (HK SFC, Listed products) |
| | 56 | Open-Ended Fund Companies (HK SFC, Unlisted products) |
| | 57 | IUP acc.to CH-Fed.Tax.Admin, mod.diff.tax |
| | 58 | Non-IUP acc.to CH-Fed.Tax.Admin. |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|---|
| Variable | Description | |
| | 59 | IUP acc. to CH-Fed.Tax.Admin, pure diff.tax |
| | 60 | Fund Distribution |
| | 61 | IUP, pure diff. tax |
| | 62 | GOVS |
| | 63 | SUNS |
| | 64 | FIDE |
| | 65 | NFID |
| | 66 | SEPR |
| | 67 | MEQU |
| | 68 | OEQU |
| | 69 | OTHR |
| | 70 | INVG |
| | 71 | NIVG |
| | 72 | NOTR |
| | 73 | ESMA Main Index |
| | 74 | Component of an ESMA Main Index |
| | 75 | NOAP |
| | 76 | Own funds / Regulatory capital |
| | 77 | Eligible liabilities (Senior unsecured) |
| | 78 | Risk Retention |
| | 80 | Structured instrument |
| | 81 | Convertible bond / CoCo |
| | 82 | Bond with warrant(s) attached |
| | 83 | Exchange traded note/commodity (ETN/ETC) |
| | 84 | Issued by special purpose vehicle (SPV) |
| | 85 | Asset/Mortgage backed security (ABS/MBS) |
| | 86 | Make whole call provision |
| | 87 | Inflation linked/indexed bond |
| | 88 | Insurance linked security (ILS) |
| | 89 | Dual currency bond |
| | 90 | Loan participation note (LPN) |
| | 91 | Exposure to reference value(s) |
| | 92 | Interest cap and/or floor |
| | 93 | Collective investment vehicle / UCITS |
| | 94 | Collective investment vehicle / non-UCITS |
| | 95 | Convertible share |
| | 96 | Leveraged product / Mini-Future |
| | 97 | Listed option |
| | 98 | Listed future |
| | 99 | Diversified index |
| | 100 | Share |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|---|---|
| Variable | Description | |
| | 101 | Security |
| | 102 | Equity REIT |
| | 103 | Unit trust REIT |
| | 104 | Alternative Investmentfonds (AIF) |
| TAX NAME | Name of the tax due on mutual fund investment : | |
| | 1 | Lots, tickets and bonuses (old) |
| | 2 | Other income |
| | 3 | French shares (old) |
| | 4 | Shares/Income with discount |
| | 5 | General (old) |
| | 6 | Venture capital company (capital gains tax) |
| | 7 | French negotiable claims |
| | 8 | Non-negotiable claims EEA |
| | 9 | Bonds issued before 01.10.84 |
| | 10 | Bonds issued between 01.10.84 and 31.12.86 |
| | 11 | French bonds issued from 01.01.87 |
| | 12 | Other french incomes (treasury bills, certificate of deposit) |
| | 13 | French bonds issued in France or abroad |
| | 14 | Foreign non-negotiable claims |
| | 15 | Capital appreciation fund |
| | 16 | Special events |
| | 17 | Issue discount |
| | 18 | Redemption surplus |
| | 19 | Non-taxable income to be declared |
| | 20 | Gains from selling sec. after merger/acq. |
| | 21 | Investment fund related products |
| | 22 | Capital contribution principle |
| | 23 | (06) Dividend by US corp.gen. (inactive) |
| | 24 | Dividend tax share earning |
| | 25 | Dividend tax free earning |
| | 26 | Dividend tax capital earning |
| | 27 | Dividend tax free share earning |
| | 28 | Dividend tax optional capital earning |
| | 29 | Dividend tax optional share earning |
| | 30 | Dividend pension tax factor |
| | 33 | Investment fund (AT) |
| | 34 | Dividend |
| | 35 | Partially qualified dividend |
| | 36 | Qualified dividend |
| | 37 | EU-retention tax/exchange of information |
| | 38 | Foreign bonds |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|---|
| Variable | Description | |
| | 39 | Non-qualified dividend |
| | 44 | French shares and UCITS (w/o disc.) |
| | 45 | SICOMI/ with discount/ reportable/ taxable |
| | 46 | Foreign shares and bonds |
| | 47 | French Shares and UCITS (double disc.) |
| | 48 | Foreign shares and european fund 'coordonnees' |
| | 49 | Taxable French shares and funds |
| | 50 | Capital repayment, indemnity, lot. |
| | 51 | EEA bonds |
| | 52 | Non-negotiable claims EU with PIL |
| | 53 | Foreign shares (double discount) |
| | 54 | Negotiable debt securities EU |
| | 55 | Shs.OPVCM FR,tax-/reportable,double disc./CI restr |
| | 56 | Venture capital company (net inc.risky portf.-sec. ex.) |
| | 57 | A0_____Non taxable inc.out capital Reserves |
| | 58 | A5_____Inc.,distr. liq., taxable Canada |
| | 59 | A6_____Inc.,distr.liqu.,taxable Belgium |
| | 60 | B0_____Inc.shares/part.cert.Belgian cy |
| | 61 | B01_____Inc. shares/part.cert.BE cy /1.1.94 |
| | 62 | D321_____Int. loans Belg.cred.inst., >28/2/90 |
| | 63 | D321 M____Int. loans D321 quoted int.reimburse |
| | 64 | D421_____Int. gov.,prov.,mun. loans, >28/2/90 |
| | 65 | D421 M____Int. loans D421 quoted int.reimburse |
| | 66 | E331_____Int. loans fin. inst., >28/2/90 |
| | 67 | E331 M____Int. loans E331 quoted int.reimburse |
| | 68 | E341_____Int. loans issued >28/2/90 |
| | 69 | E341 M____Int. loans E341 quoted int.reimburse |
| | 70 | GB12_____Ordinary income foreign shares |
| | 71 | GB15_____Inc.shares French investment company |
| | 72 | GE11_____Int.for. tax deducted at source |
| | 73 | GE21_____Int.for. no tax deducted at source |
| | 74 | H0_____Inc.Belg.com.inv.fds.,sep. valued |
| | 75 | H1_____Inc.foreign com.inv.fds.,sep. valued |
| | 76 | E 041_____Int. loans issued after 1/12/62 |
| | 77 | Non taxable income, not to be declared |
| | 78 | Interest proportion for Belgium (inactive) |
| | 79 | Taxable with convention |
| | 80 | Taxable without convention |
| | 81 | Non taxable in country of origin |
| | 82 | Local taxes |
| | 83 | No local taxes |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|--|
| Variable | Description | |
| | 84 | Index and basket certificates |
| | 85 | Self-verification reporting/non-report. fonds AT |
| | 86 | Investment funds |
| | 87 | Venture capital company (capital gains tax) |
| | 88 | French negotiable claims |
| | 89 | Non-negotiable claims EEA |
| | 90 | Bonds issued between 01.10.84 and 31.12.86 |
| | 91 | French bonds issued from 01.01.87 |
| | 92 | Other french incomes (treasury bills, certificate of deposit) |
| | 93 | French bonds issued in France or abroad |
| | 94 | Foreign non-negotiable claims |
| | 95 | Gains from selling sec. after merger/acq. |
| | 96 | Foreign bonds |
| | 97 | French shares and UCITS - w/o discount |
| | 98 | Foreign shares - w/o discount |
| | 99 | Unknown |
| | 100 | French Shares and UCITS - discount |
| | 101 | EEA bonds |
| | 102 | Foreign shares - discount |
| | 103 | Venture capital company (net inc.risky portf.-sec. ex.) |
| | 104 | FTT liable |
| | 105 | FTT not liable - securities issue |
| | 106 | FTT not liable - Intra-group TRX |
| | 107 | FTT depending on shares delivered |
| | 108 | One-time interest payoff Bondfloor |
| | 109 | UCITS - gains with deduction for holding period |
| | 110 | UCITS - gains without deduction for holding period |
| | 111 | Betrag fuer Privatanleger mit Option |
| | 112 | Betrag fuer Privatanleger ohne Option |
| | 113 | Betrag fuer Betriebsvermoegen mit Option |
| | 114 | Betrag fuer Betriebsvermoegen ohne Option |
| | 115 | Betrag fuer Betriebsvermoegen einer juristischen Person |
| | 116 | Betrag fuer Stiftung |
| | 117 | Betrag fuer Privatanleger mit Option Selbstnachweis |
| | 118 | Betrag fuer Privatanleger ohne Option Selbstnachweis |
| | 119 | Betrag fuer Betriebsvermoegen mit Option Selbstnachweis |
| | 120 | Betrag fuer Betriebsvermoegen ohne Option Selbstnachweis |
| | 121 | Betrag fuer Betriebsvermoegen einer juristischen Person Selbstnachweis |
| | 122 | Betrag fuer Stiftung Selbstnachweis |
| | 123 | Event without deduction of Swiss withholding tax |
| | 124 | BE-retention tax/exchange of information |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|--|--|
| Variable | Description | |
| | 125 | Dividend Equivalent Payment |
| | 126 | Capital Gain |
| | 127 | Real Estate Fund with direct property holding |
| | 128 | Return of capital |
| TAX OBJECT | Object of taxation on mutual fund transactions. | |
| | See REPORTING REGULATION OBJECT | |
| TAX STATUS | Tax status that applies to mutual fund transactions. | |
| | 1 | Liable/ applicable |
| | 2 | Potentially liable/ applicable |
| | 3 | Exempt/ not liable/applicable |
| | 4 | Exempt, subject to conditions |
| | 5 | Partly exempt |
| | 6 | Partly exempt, Venture Capital Trust |
| | 7 | Subject to review |
| | 8 | Liability position obscure |
| | 9 | No code applicable |
| | 10 | Progressive allowance, subject to conditions |
| | 11 | Potentially not liable/ applicable |
| | 12 | Liable/ applicable - confirmed |
| | 13 | Not applicable / liable; confirmed |
| | 14 | In |
| | 15 | Out |
| | 16 | Yes |
| | 17 | No |
| | 18 | In scope |
| | 19 | Exempt grandfathered |
| | 20 | Income equal. §5(1)3 as p. inv. leg., not only f. tax purp. (WM) |
| | 21 | Income equalization for tax purposes (WM) |
| | 22 | No income equalization (WM) |
| | 23 | To be announced (WM) |
| | 24 | Not relevant (WM) |
| | 25 | Income equal. §5(1)3 in connect. w. prof. certificate (WM) |
| | 26 | No income equal. §5(1)3 in connect. w. prof. certificate (WM) |
| | 27 | Not applicable |
| | 28 | Liable depending on the triggering underlying |
| | 29 | Exempt short-term |
| | 30 | In scope materially modified security |
| | 31 | Authorized |
| | 33 | In scope; confirmed |
| | 34 | Potentially in scope |
| | 35 | Out of scope / potentially in scope for combined transactions; confirmed |

| Table 19: Tax / Regulation / Reporting Variables | | |
|--|-------------|---|
| Variable | Description | |
| | 36 | Exempt qualified index |
| | 37 | Exempt qualified index; confirmed |
| | 38 | Qualified index |
| | 39 | In scope materially modified; confirmed |
| | 40 | Out of scope AMC; confirmed |
| | 41 | Exempt on IRS exception list for ETNs |
| | 42 | Reportable |
| | 43 | Potentially reportable |
| | 44 | Potentially reportable (AMC) |
| | 45 | Not reportable; confirmed |
| | 46 | Reportable; confirmed |
| | 47 | Exempt from Reporting; confirmed |
| | 48 | Qualified index safe harbour |
| | 49 | Out of scope |
| | 50 | Exempt from Reporting |
| | 51 | suspended |
| | 52 | Suspension Revoked |
| | 53 | Re-suspended |
| | 54 | From EU Level to TV Level |
| | 55 | From TV Level to EU Level |
| | 56 | Notified by Authority |
| | 57 | Withdrawn by Authority |
| | 58 | According to HK SFC - Hong Kong |
| | 59 | According to HK SFC - overseas |
| | 60 | Specific applicability depending on relevant law / regulation |
| | 61 | According to SFDR/Taxonomy |
| | 62 | According to SFDR/Taxonomy estimated |
| | 63 | Substitute for SFDR/Taxonomy data |
| | 64 | Potentially yes |
| | 65 | Potentially no |
| | 66 | Non-qualified index |
| | 67 | M - Material liquidity risk |
| | 68 | I - Illiquid |
| | 69 | L - no liquidity issue |
| | 70 | New PRIIPS methodology |
| | 71 | Full PRIIPS |
| | 72 | Other methodology |
| | 98 | Information not available |
| | 99 | Unknown |

How to extract data from the EUROFIDAI mutual funds database?

The procedure to extract data is the same for the two market segments (over-the-counter and organized markets). Extraction of price or net asset value time-series and other information on a fund is done using the EUROFIDAI code of the fund.

Warning: ISIN codes can change over time. We strongly recommend using EUROFIDAI codes to get the full history of a fund.

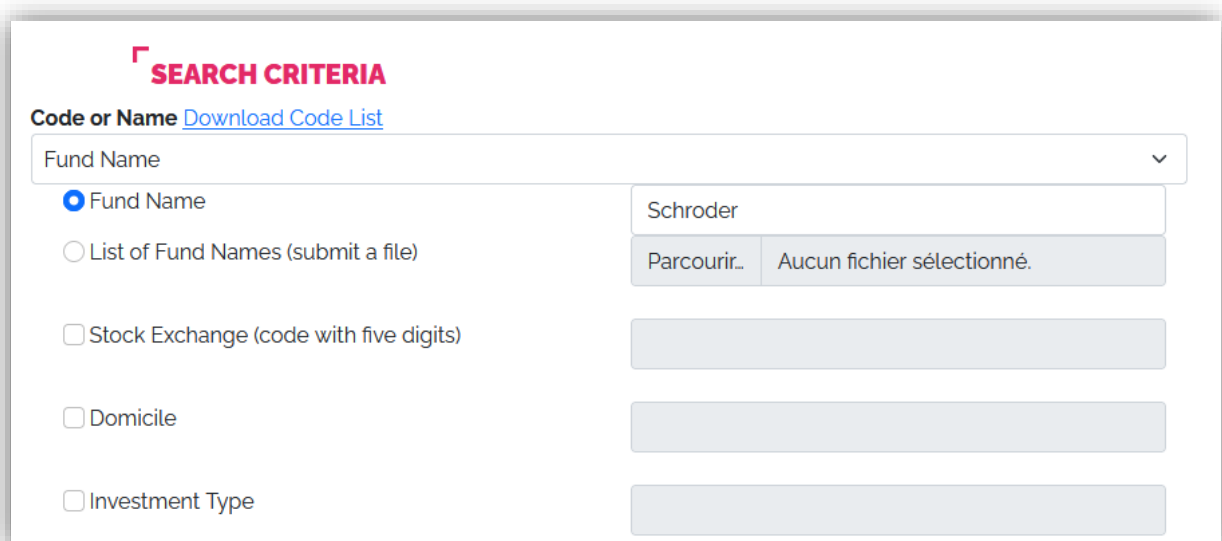
Step 1: How to find EUROFIDAI codes (“Code search” tab)

There are two ways to find a EUROFIDAI code:

- via a complete list of funds (over-the-counter and organized markets) in our database (see “Click here for Code list” under the “Code search” and “Data extraction” tab).
- via the “Code search” tab (Figure 1 & 2), by typing (part of) a fund name or issuer name or an ISIN code (the search can be extended to a list of stock or company names or a list of ISIN codes).

If you don’t have the Eurofidai Code of the fund, click on “Click here for Code list” which will download two files (xlsx and csv) with the corresponding Eurofidai code.

Figure 1: Code search



The screenshot shows the 'SEARCH CRITERIA' section of the EUROFIDAI website. It features a 'Code or Name' dropdown menu with a 'Download Code List' link. Below this, there are four search criteria options, each with a corresponding input field:

- ☒ Fund Name: The input field contains 'Schroder'.
- ☐ List of Fund Names (submit a file): The input field contains 'Parcourir...' and 'Aucun fichier sélectionné.'
- ☐ Stock Exchange (code with five digits): The input field is empty.
- ☐ Domicile: The input field is empty.
- ☐ Investment Type: The input field is empty.

Note: Users may optimize their search by specifying additional search criteria. See **Figure 2** for funds traded OTC and **Figure 3** for funds traded on organized markets.

Figure 2: Code search – information on funds traded over-the-counter

EXTRACTION RESULTS

- ☒ Date First Available Data
- ☒ Date Last Available Data
- ☒ Eurofidai Code
- ☒ Fund Name
- ☐ Fund Status
- ☐ Inception Date
- ☐ Investment Type
- ☐ Isin Code
- ☐ Isin Code Beginning Date
- ☐ Issuer Code
- ☐ Issuer Domicile
- ☐ Issuer Expiration Date
- ☐ Issuer Expiration Reason
- ☐ Issuer Legal Form
- ☐ Issuer Name
- ☐ Issuer Status
- ☐ Issuer Type
- ☐ Issuer Website
- [select all](#)

- ☐ Net Asset Value Currency (ISO)
- ☒ Number of observations
- ☐ Original Issuer Code
- ☐ Sicovam Code
- ☐ Valoren Code
- ☐ Valoren Code Expiration Date
- ☐ Valoren Code Expiration Reason

Search

Figure 3: Code search – information on funds traded on organized markets

EXTRACTION RESULTS

- ☒ Date First Available Data
- ☒ Date Last Available Data
- ☒ Eurofidai Code
- ☐ Fund Name
- ☐ Fund Status
- ☐ Inception Date
- ☐ Investment Type
- ☐ Isin Code
- ☐ Isin Code Beginning Date
- ☐ Issuer Code
- ☐ Issuer Domicile
- ☐ Issuer Expiration Date
- ☐ Issuer Expiration Reason
- ☐ Issuer Legal Form
- ☐ Issuer Name
- ☐ Issuer Status
- ☐ Issuer Type
- ☐ Issuer Website
- [select all](#)

- ☒ Number of observations
- ☐ Original Issuer Code
- ☐ Sicovam Code
- ☐ Stock Exchange Country
- ☐ Stock Exchange Name
- ☐ Stock Exchange Type
- ☐ Valoren Code
- ☐ Valoren Code Expiration Date
- ☐ Valoren Code Expiration Reason

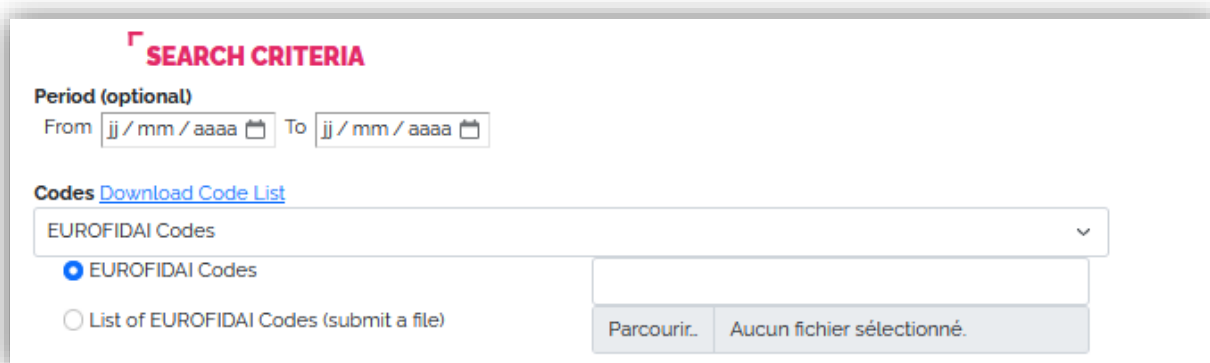
Search

Step 2: Extract price and net asset value time series

Under the “Data extraction” tab (**Figure 4**), use the EUROFIDAI code (or a list of codes in a txt file with one code per row) to extract price or net asset value time series for funds traded over-the-counter (**Figure 5**) and funds traded on organized markets (**Figure 6**).

You can narrow down your search to a specific time period. Otherwise, the data is downloaded for the whole period for which prices are available

Figure 4: Data extraction



SEARCH CRITERIA

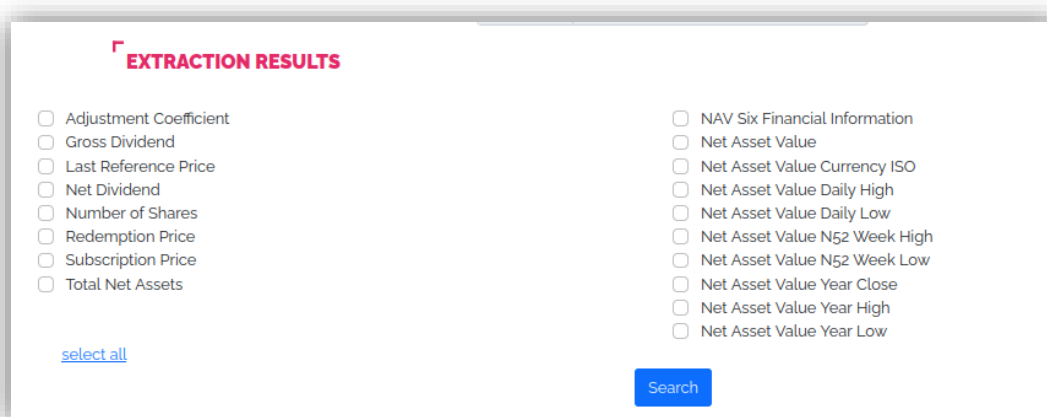
Period (optional)
From To

Codes [Download Code List](#)

☒ EUROFIDAI Codes

☐ List of EUROFIDAI Codes (submit a file)

Figure 5: Data extraction - data available for funds traded over-the-counter



EXTRACTION RESULTS

☐ Adjustment Coefficient

☐ Gross Dividend

☐ Last Reference Price

☐ Net Dividend

☐ Number of Shares

☐ Redemption Price

☐ Subscription Price

☐ Total Net Assets

☐ NAV Six Financial Information

☐ Net Asset Value

☐ Net Asset Value Currency ISO

☐ Net Asset Value Daily High

☐ Net Asset Value Daily Low

☐ Net Asset Value N52 Week High

☐ Net Asset Value N52 Week Low

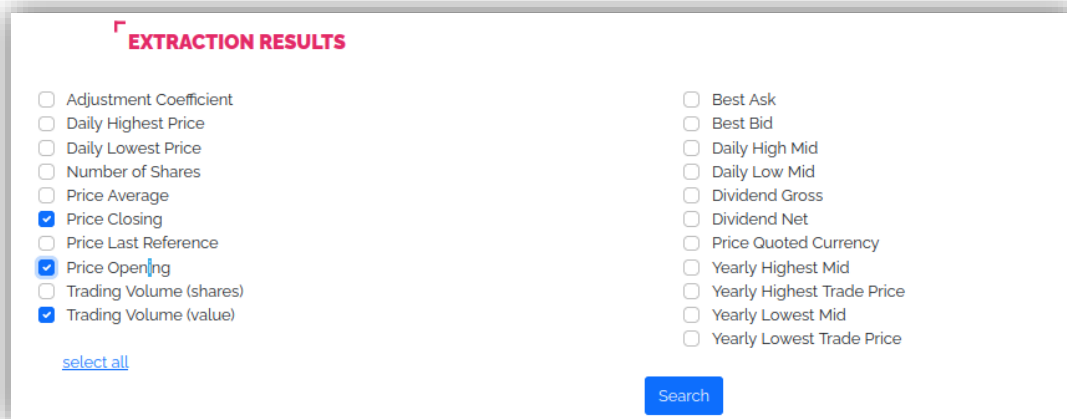
☐ Net Asset Value Year Close

☐ Net Asset Value Year High

☐ Net Asset Value Year Low

[select all](#)

Figure 6: Data extraction - data available for funds traded on organized markets



EXTRACTION RESULTS

- ☐ Adjustment Coefficient
- ☐ Daily Highest Price
- ☐ Daily Lowest Price
- ☐ Number of Shares
- ☐ Price Average
- ☒ Price Closing
- ☐ Price Last Reference
- ☒ Price Opening
- ☐ Trading Volume (shares)
- ☒ Trading Volume (value)
- ☐ Best Ask
- ☐ Best Bid
- ☐ Daily High Mid
- ☐ Daily Low Mid
- ☐ Dividend Gross
- ☐ Dividend Net
- ☐ Price Quoted Currency
- ☐ Yearly Highest Mid
- ☐ Yearly Highest Trade Price
- ☐ Yearly Lowest Mid
- ☐ Yearly Lowest Trade Price

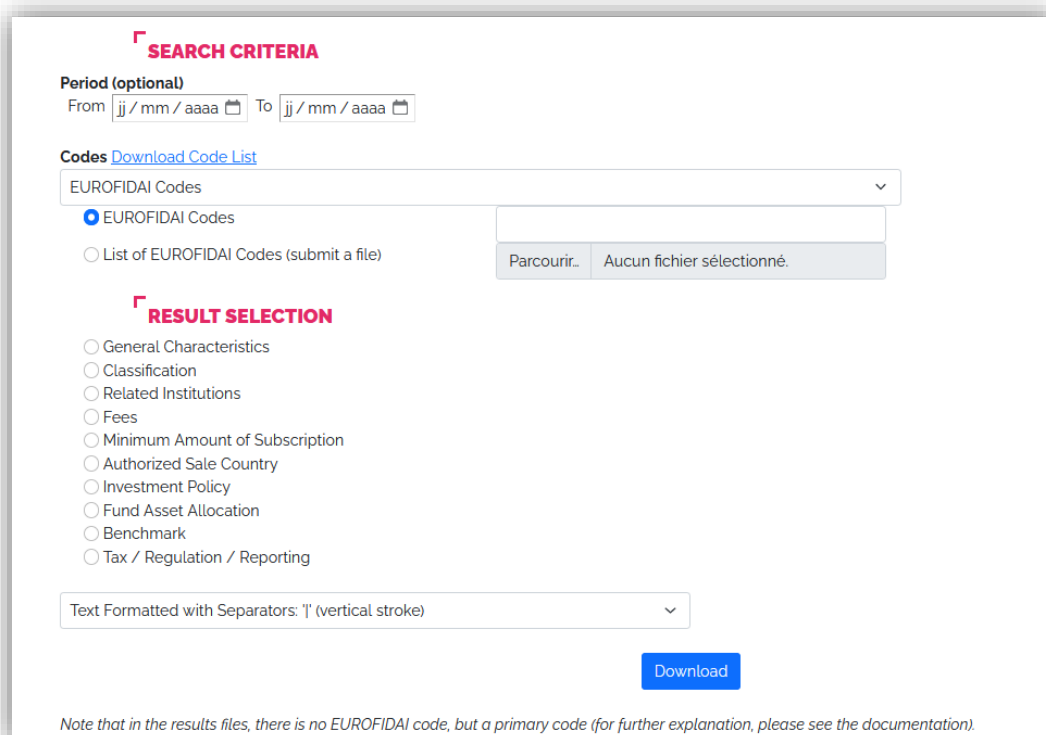
[select all](#)

[Search](#)

Step 3: Extract complementary information

Under the “Complementary information” tab, use the EUROFIDAI code (or a list of codes in a txt file with one code per row) to get information on fund characteristics (see **Figure 7**). The variables are the same for mutual funds traded over-the-counter and on organized markets. You can narrow down your search to a specific time period. Otherwise, the data is downloaded for the whole period where prices are available.

Figure 7: Extraction of further information



SEARCH CRITERIA

Period (optional)
From To

Codes [Download Code List](#)
EUROFIDAI Codes

☒ EUROFIDAI Codes
☐ List of EUROFIDAI Codes (submit a file)

[Parcourir...](#) [Aucun fichier sélectionné.](#)

RESULT SELECTION

- ☐ General Characteristics
- ☐ Classification
- ☐ Related Institutions
- ☐ Fees
- ☐ Minimum Amount of Subscription
- ☐ Authorized Sale Country
- ☐ Investment Policy
- ☐ Fund Asset Allocation
- ☐ Benchmark
- ☐ Tax / Regulation / Reporting

Text Formatted with Separators:

[Download](#)

Note that in the results files, there is no EUROFIDAI code, but a primary code (for further explanation, please see the documentation).