



eurofidai  
CNRS UPS 3390

## DATA DESCRIPTIONS GUIDE

**MUTUAL FUNDS**

DAILY DATABASE



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## Read Me - Update of April 2016

- The mutual funds Europe/Asia database (over-the-counter and organized markets) has been **updated through August 2015** (for prices, net asset values and all information relative to the funds).

- The database has been enriched:

→ On Organized market fund database: with **1 709 new funds** and 8 974 034 **additional observations**. The database concern 58 008 **mutual funds** on 124 162 trading line. The total number of observations is 92 512 956 covering 77 **geographical regions**.

→ On Over-the-counter fund database: with **14 779 new funds** and 20 624 743 **additional observations**. The database concern **272 502 mutual funds** on 286 157 trading line. The total number of observations is 227 892 267 covering 89 **geographical regions** and 75 currencies.

# EUROFIDAI MUTUAL FUNDS

## DAILY DATABASE

The EUROFIDAI European mutual funds daily database is **designed for research** and, for this reason, offers a large variety of variables.

- In the first part, the EUROFIDAI database provides the net asset value and associated variables for traded funds and OTC market funds. The **prices** consists of net asset values, redemption prices and subscription prices.
- In the second part, we also offer stock exchange prices for listed funds. The **prices** consists of opening, closing, lowest and highest prices.

The EUROFIDAI European mutual funds daily database consists of **a large sample of funds offering a wide variety of situations in terms of investment type and domicile.**

The prices database is supplemented by different variables defining characteristics of funds.

### Notification!

On January 1, 2012, we introduced a **new EUROFIDAI code** that ensures consistency of the code among instruments proposed by EUROFIDAI. This code is the fund's unique identification attributed by EUROFIDAI. For more information about the new EUROFIDAI code structure, please read section 1.2.2 for funds quoted over the counter and section 1.3.2 for funds listed on organized markets.

For your extraction, please use the **csv file available on the EUROFIDAI website for codes and additional information.** Do not hesitate to **contact us if you have any questions** about this new EUROFIDAI code.

## 1. Procedures for users

The users' procedures are the same for the two types of markets (over-the-counter and organized markets).

### **First step** (linked to the "Codes Research" tab)

A EUROFIDAI code is necessary to extract the data about mutual funds. Users must first find a EUROFIDAI code via the "Codes research" page. This can be accomplished by finding the fund name or the ISIN code and can be extended to a list of fund names or a list of ISIN codes. To select the EUROFIDAI code that most closely matches the user's request, the "Codes research" page proposes information about funds (such as fund nature, type of investment, name or domicile of fund's issuer...). If users already have a EUROFIDAI code (or a list of EUROFIDAI codes), the "Codes research" page also provides information specific to the fund.

Users can obtain the complete list of all funds available in our database on our Internet site. Users can also obtain the list of funds available for a specific issuer's domicile or a particular type of fund investment, or both. In order to do that, users can select only the issuer's domicile or the type of fund investment, or both.

### **Second step** (linked to the "Data extraction" tab)

With the EUROFIDAI code, information on prices can be extracted (net asset values, redemption and subscription prices for funds on over-the-counter markets and lowest, highest, opening and closing prices for funds on organized markets). This data extraction can be done using a list of EUROFIDAI codes.

Moreover, the research period can be defined by users. By default, if no period is specified, we consider the entire period.

### **Third step** (linked to the "Further information" tab)

With the EUROFIDAI code, information on fund characteristics can be extracted. These complementary variables are the same for over-the-counter markets and organized markets. This data extraction can be done using a list of EUROFIDAI codes.

Moreover, the research period can be defined by users. By default, if no period is specified, we consider the entire period.

## 2. Over the Counter

### 2.1. Overall Description

For over-the-counter markets, the data proposed by EUROFIDAI concerns **272 502 mutual funds** on 286 157 trading line. The total number of observations for these markets is 227 892 267. A single mutual fund on OTC market may be trade in various currencies thus generating one trading line by currency. Data items are for open-end and closed-end mutual funds. For the vast majority of these funds, net asset value is expressed in euro. However, some funds are denominated in U.S. dollars, pound sterling or 72 other currencies. Note that the funds are domiciled in 89 countries.

This description concerns only funds on the over-the-counter markets.

**Table 1: Domiciliation of mutual funds for over-the-counter markets<sup>1</sup>**

<b>Domicile</b>	<b>Number of mutual funds trading line</b>	<b>Number of observations</b>	<b>Period of availability</b>	
<b>Andorra</b>	18	10200	23/07/2008	31/08/2015
<b>Anguilla</b>	3	6	30/06/2004	31/12/2010
<b>Antigua and Barbuda</b>	1	4	31/12/2005	31/03/2007
<b>Australia</b>	927	367705	04/01/1994	31/08/2015
<b>Austria</b>	5126	5255560	05/04/1993	31/08/2015
<b>Bahamas</b>	311	14910	30/09/1998	31/08/2015
<b>Bahrain</b>	6	2464	09/11/2010	25/08/2015
<b>Bangladesh</b>	3	185	04/09/2011	01/09/2013
<b>Belgium</b>	5853	3511721	15/04/1991	31/08/2015
<b>Belize</b>	9	3435	23/03/2011	31/08/2015
<b>Bermuda</b>	2118	110226	07/03/1996	31/08/2015
<b>Brazil</b>	18	18	26/02/2009	14/11/2011
<b>Bulgaria</b>	6	46	14/01/2008	17/11/2010
<b>Canada</b>	175	37255	17/01/1986	31/08/2015
<b>Cape Verde</b>	1	1073	22/02/2011	20/07/2015
<b>Cayman Islands</b>	12246	647641	05/03/1990	31/08/2015
<b>China</b>	3616	2275529	27/08/2004	31/08/2015
<b>Cook Islands</b>	26	1873	02/07/2007	31/08/2015
<b>Croatia</b>	2	76	18/09/2003	27/10/2009
<b>Curacao</b>	104	37469	01/09/1985	31/08/2015

<sup>1</sup> The domicile “country” mentioned here is the fund’s issuer.

<b>Cyprus</b>	19	3916	04/01/1999	31/08/2015
<b>Czech Republic</b>	28	14544	08/12/1994	22/06/2015
<b>Denmark</b>	991	705616	13/12/1989	31/08/2015
<b>Estonia</b>	89	54325	09/05/1996	31/08/2015
<b>European Union</b>	2	812	20/02/2009	31/10/2011
<b>Finland</b>	1687	1684781	11/03/2002	31/08/2015
<b>France</b>	30851	28960562	21/01/1980	31/08/2015
<b>Gambia</b>	1	315	14/09/2001	24/12/2003
<b>Germany</b>	3499	3881852	20/08/1990	31/08/2015
<b>Gibraltar</b>	27	2318	31/12/2002	14/08/2015
<b>Greece</b>	13	6630	04/01/1999	31/08/2015
<b>Guatemala</b>	8	5823	01/01/1999	28/08/2015
<b>Guernsey</b>	2401	534868	30/03/1993	31/08/2015
<b>Hong Kong</b>	320	127268	01/11/1993	31/08/2015
<b>Hungary</b>	43	5961	16/11/1995	05/08/2015
<b>India</b>	2774	2480396	03/11/1993	31/08/2015
<b>Indonesia</b>	131	36769	20/04/2004	31/08/2015
<b>Ireland</b>	24274	15936748	29/01/1982	31/08/2015
<b>Isle of Man</b>	725	346041	30/09/2000	31/08/2015
<b>Israel</b>	2	313	07/01/2004	14/03/2013
<b>Italy</b>	5187	5427556	25/02/1993	31/08/2015
<b>Japan</b>	6068	8406933	14/09/2001	31/08/2015
<b>Jersey</b>	1716	496160	28/02/1985	31/08/2015
<b>Kiribati</b>	1	1	10/11/2009	10/11/2009
<b>Kuwait</b>	2	6	28/02/2010	30/06/2010
<b>Latvia</b>	47	34525	01/01/2007	31/08/2015
<b>Liechtenstein</b>	1715	765371	03/04/1987	31/08/2015
<b>Lithuania</b>	41	15563	01/01/2007	31/08/2015
<b>Luxembourg</b>	95770	78522936	08/01/1980	31/08/2015
<b>Malaysia</b>	706	791845	03/01/1995	28/08/2015
<b>Malta</b>	567	166735	05/12/2000	31/08/2015
<b>Mauritius</b>	139	34894	22/01/1996	31/08/2015
<b>Mexico</b>	5	631	15/10/2004	25/10/2010
<b>Monaco</b>	56	34371	07/07/1995	31/08/2015
<b>Morocco</b>	405	353851	29/03/1996	28/08/2015
<b>Netherlands</b>	1242	1382866	03/01/1986	31/08/2015
<b>Netherlands Antilles</b>	7	6580	01/09/1985	31/12/2011
<b>New Zealand</b>	72	24573	01/09/2003	31/08/2015
<b>Norway</b>	592	636742	09/04/1997	31/08/2015
<b>Oman</b>	2	1537	10/11/2010	30/08/2015
<b>Pakistan</b>	32	14004	14/11/1994	05/06/2006
<b>Panama</b>	39	5232	01/09/1985	31/08/2015
<b>Philippines</b>	3	1294	15/02/1996	28/08/2015
<b>Poland</b>	103	27824	26/11/1996	31/08/2015
<b>Portugal</b>	14	2865	04/01/1999	28/08/2015

<b>Qatar</b>	1	18	05/07/2001	22/11/2001
<b>Romania</b>	12	905	21/09/2004	28/05/2010
<b>Russian Federation</b>	226	27676	24/03/2014	31/08/2015
<b>Saint Kitts and Nevis</b>	1	1	29/08/2008	29/08/2008
<b>Saint Vincent and the Grenadines</b>	52	2067	10/08/2004	31/08/2015
<b>Seychelles</b>	3	56	31/05/2012	31/08/2015
<b>Singapore</b>	532	334518	03/03/1989	31/08/2015
<b>Slovakia</b>	13	1340	03/07/1995	31/08/2015
<b>Slovenia</b>	14	6760	14/07/2004	31/08/2015
<b>South Africa</b>	346	8492	09/03/2006	30/06/2014
<b>South Korea</b>	18992	15699514	18/09/1992	31/08/2015
<b>Spain</b>	9618	16145104	01/01/1999	31/08/2015
<b>Sweden</b>	1287	860670	18/05/1998	31/08/2015
<b>Switzerland</b>	5378	5731066	01/01/1980	31/08/2015
<b>Taiwan, Province of China</b>	1432	1429797	05/10/1992	31/08/2015
<b>Thailand</b>	76	63484	06/03/1989	31/08/2015
<b>Tunisia</b>	66	133349	02/01/1995	31/08/2015
<b>Turkey</b>	1	482	27/09/2007	31/08/2009
<b>United Arab Emirates</b>	6	1280	18/11/2010	31/08/2015
<b>United Kingdom</b>	20713	19846854	01/01/1980	31/08/2015
<b>United States</b>	11311	4751832	01/09/1985	31/08/2015
<b>Viet Nam</b>	1	74	20/05/2015	31/08/2015
<b>Virgin Islands, British</b>	3186	253338	05/01/1990	31/08/2015
<b>Unknown</b>	2622	2515191	07/05/1982	31/12/2010

**Table 2: Currency denomination of mutual funds for over-the-counter markets**

Denomination	Eurofidai Currency Code	Number of mutual funds trading line	Number of observations	Period of availability	
<b>Australian Dollar</b>	88	1890	839728	27/12/1990	31/08/2015
<b>Baht</b>	904	76	62622	06/03/1989	31/08/2015
<b>Belgian Franc (old)</b>	120	10	2743	31/12/1996	31/12/1998
<b>Bermudian Dollar</b>	130	7	821	06/01/1998	26/08/2015
<b>Brazilian Real</b>	155	21	784	26/02/2009	31/08/2015
<b>Canadian Dollar</b>	184	532	341468	17/01/1986	31/08/2015
<b>Cayman Islands Dollar</b>	202	7	649	31/05/2000	17/05/2010
<b>Chilean Peso</b>	212	1	849	07/07/2011	28/08/2015
<b>Colombian Peso</b>	230	1	64	02/06/2015	31/08/2015
<b>Cuban Peso</b>	260	1	30	10/06/2011	30/12/2011
<b>Czech Koruna</b>	898	799	307042	08/12/1994	31/08/2015
<b>Danish Krone</b>	272	1117	779756	20/10/1989	31/08/2015
<b>Deutsche Mark (old)</b>	47	53	26112	16/11/1988	31/12/1998
<b>Dong</b>	964	1	74	20/05/2015	31/08/2015



<b>ECU (old)</b>	932	35	9430	27/12/1989	31/12/1998
<b>El Salvador Colon</b>	824	1	1223	19/09/2000	06/03/2006
<b>Euro</b>	814	128049	120273266	30/12/1983	31/08/2015
<b>Forint</b>	474	172	72654	16/11/1995	31/08/2015
<b>French Franc (old)</b>	369	2079	1123932	21/01/1980	31/12/1998
<b>Gold</b>	993	1	1	27/05/2011	27/05/2011
<b>Hong Kong Dollar</b>	470	749	407897	27/06/1991	31/08/2015
<b>Iceland Krona</b>	514	8	3692	26/09/2001	11/06/2012
<b>Indian Rupee</b>	356	2769	2473303	03/11/1993	31/08/2015
<b>Italian Lira (old)</b>	525	14	5394	03/07/1991	31/12/1998
<b>Kroon</b>	933	24	6323	09/05/1996	29/12/2010
<b>Kuna</b>	977	3	77	18/09/2003	27/10/2009
<b>Kuwaiti Dinar</b>	550	6	44	28/02/2010	29/07/2010
<b>Latvian Lats (old)</b>	941	2	1258	09/11/2010	28/12/2013
<b>Leu (old)</b>	796	5	150	21/09/2004	17/06/2005
<b>Lev</b>	161	11	3841	14/01/2008	31/08/2015
<b>Lithuanian Litas</b>	939	29	8585	18/11/2010	28/11/2014
<b>Luxembourg Franc (old)</b>	576	7	621	24/01/1990	14/04/1999
<b>Malaysian Ringgit</b>	594	691	790788	03/01/1995	28/08/2015
<b>Mexican Peso</b>	484	15	2214	30/04/2002	31/08/2015
<b>Moroccan Dirham</b>	608	415	355818	29/03/1996	28/08/2015
<b>Namibia Dollar</b>	22	3	3	07/04/2010	07/04/2010
<b>Netherlands Guilder (old)</b>	730	13	4680	04/01/1993	31/12/1998
<b>Netherl.Antillian Guilder</b>	70	1	53	31/05/2000	31/07/2007
<b>New Israeli Sheqel</b>	519	18	7101	07/01/2004	31/08/2015
<b>New Taiwan Dollar</b>	366	1133	1370343	05/10/1992	31/08/2015
<b>New Zealand Dollar</b>	686	198	96836	16/06/1998	31/08/2015
<b>North Korean Won</b>	246	1	1	30/03/2012	30/03/2012
<b>Norwegian Krone</b>	662	1325	1069633	09/04/1997	31/08/2015
<b>Nuevo sol</b>	746	6	12	06/01/2012	09/01/2012
<b>Offshore Renminbi Yuan</b>	221	215	53794	30/12/2011	31/08/2015
<b>Pakistan Rupee</b>	714	32	14004	14/11/1994	05/06/2006
<b>Philippine Peso</b>	748	3	1294	15/02/1996	28/08/2015
<b>Pound Sterling</b>	402	34923	27588220	01/01/1980	31/08/2015
<b>Qatari Rial</b>	769	2	449	21/04/2011	28/08/2015
<b>Rand</b>	20	491	50348	21/05/1997	31/08/2015
<b>Renminbi Yuan</b>	220	3983	2314523	27/08/2004	31/08/2015
<b>Rial Omani</b>	618	2	1537	10/11/2010	30/08/2015
<b>Romanian Leu</b>	797	24	12662	11/10/2004	31/08/2015
<b>Rupiah</b>	500	131	33668	17/12/1993	31/08/2015
<b>Russian Ruble</b>	860	230	30528	06/10/2009	31/08/2015
<b>Schilling (old)</b>	92	3	486	06/03/1998	30/12/1998
<b>Singapore Dollar</b>	846	1829	1085342	03/03/1989	31/08/2015
<b>Slovak Koruna (inactive as of 01.01.09)</b>	899	21	5288	03/07/1995	12/12/2008

Solomon Isl. Dollar	808	1	888	16/07/2007	31/12/2010
Spanish Peseta (old)	310	7	1481	03/10/1995	31/12/1998
Swedish Krona	864	2783	1893818	14/01/1986	31/08/2015
Swiss Franc	1	11176	8678018	03/01/1980	31/08/2015
Taka	110	3	185	04/09/2011	01/09/2013
Tala	812	7	187	30/04/2012	31/08/2015
Thai Baht offshore	914	3	584	09/01/2009	16/02/2012
Tolar (old)	975	3	726	14/07/2004	27/10/2006
Tunisian Dinar	924	111	152375	02/01/1995	27/08/2015
Turkish Lira	921	26	22037	12/12/1994	31/08/2015
Turkish Lira (old)	928	2	188	07/08/2002	30/06/2003
UAE Dirham	105	14	5177	20/04/2012	31/08/2015
US Dollar	333	59072	28784165	29/01/1982	31/08/2015
Won	248	18978	15699370	18/09/1992	31/08/2015
Yen	534	9145	10788208	01/01/1980	31/08/2015
Zloty	753	637	220656	16/06/1997	31/08/2015
Zloty (old)	752	1	146	26/11/1996	07/08/1997

## 2.2. Database Structure

The various data types are summarized in the following table.

A new EUROFIDAI code ensures the consistency of the code among instruments proposed by EUROFIDAI. This code is the fund's unique identification attributed by EUROFIDAI. The EUROFIDAI code has a specific structure: it is made up of fifteen numeric digits, of which the first three numbers correspond to the type of instrument (for funds, the first three numbers are always 200); the seven middle digits correspond to a unique identifier; the last five digits represent the code of the net asset value's currency for funds quoted over the counter or the stock exchange for funds quoted on organized markets.

### **Example for funds quoted over the counter**

The Eurofidai code of the fund *BNP Paribas BI Euro* invested in equities for specific sectors and countries is "200 0144567 30814": "200" corresponds to a fund, "0144567" to the fund's unique identifier, and "30814" represents the currency, here the euro. Note that for the funds whose prices were transferred to another currency denomination, we assign them the currency code in correspondence with the last currency denomination. For example, a fund which used to be quoted in French franc and now is quoted in euro is assigned a currency code of 30814 (the Eurofidai code of the euro currency). For net asset value of fund (NAV), we specify the currency denomination for every quote.

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<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>EUROFIDAI_CODE</b> <i>(CODE_EUROFIDAI)</i>	This code identification is the fund's unique identification code attributed by EUROFIDAI. Please see above for more information on the code structure.
<b>BEGDATE_EUROFIDAI_CODE</b> <i>(DEBDISPO_CODE_EUROFIDAI)</i>	This variable is the first date at which a non-missing price is available for the corresponding identification code. When extracting the data, it is recommended to refer to this date of data availability rather than to the date of inception. Indeed, the date of data availability is a controlled variable, corresponding perfectly to the reality of the database.
<b>ENDDATE_EUROFIDAI_CODE</b> <i>(FINDISPO_CODE_EUROFIDAI)</i>	This variable is the last date at which a non-missing price is available for the corresponding identification code. To know the depth of the observation sample, it is best to use this date rather than the expiration date.
<b>NUMBER_OBSERVATIONS</b> <i>(NB_OBSERVATIONS)</i>	Number of available observations for the fund
<b>ISIN_CODE</b> <i>(CODE_ISIN)</i>	ISIN code identifying the fund (note that some ISIN codes are copyrighted, particularly those with the prefix "AN", "BM", "BS", "CA", "KY "US" or "VG")
<b>BEGDATEAV_ISIN_CODE</b> <i>(DEB_CODE_ISIN)</i>	First date at which the ISIN code is valid
<b>ENDDATEAV_ISIN_CODE</b> <i>(FIN_CODE_ISIN)</i>	Last date at which the ISIN code is valid
<b>ISIN_CODE_STATUS</b> <i>(STATUT_CODE_ISIN)</i>	Code for the status of the ISIN code
<b>ISIN_CODE_STATUS_NAME</b> <i>(LIBELLE_STATUT_CODE_ISIN)</i>	Explicit designation for the status of the ISIN code (1 for "Reserved", 2 for "Active", 3 for "Deleted", 4 for "Reactivated" or 5 for "Change pending")
<b>SICOVAM_CODE</b> <i>(CODE_SICOVAM)</i>	SICOVAM code identifying the fund

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>VALOREN_CODE</b>  <i>(CODE_VALOREN)</i>	VALOREN code identifying the fund
<b>FUND_NAME</b>  <i>(NOM_FONDS)</i>	Name of the fund
<b>INVESTMENT_TYPE</b>  <i>(TYPE_INVESTISSEMENT)</i>	Type of fund investment (expressed as a code). The type of investment briefly describes the class of securities in which the fund is invested. This variable is especially useful in the initial selection of funds.
<b>INVESTMENT_TYPE_NAME</b>  <i>(LIBELLE_TYPE_INVESTISSEMENT)</i>	Explicit designation for the type of fund investment (for example, "Equities fund", "Commodity Fund" or "Country/ Regional fund").
<b>INCEPTION_DATE</b>  <i>(DATE_CREATION_FONDS)</i>	Inception date of the fund
<b>VALOREN_CODE_EXPIRATION_DATE</b>  <i>(DATE_EXPIRATION_CODE_VALOREN)</i>	Date at which the VALOREN code expired
<b>VALOREN_CODE_EXPIRATION_REASON</b>  <i>(RAISON_EXPIRATION_CODE_VALOREN)</i>	Reason for which the VALOREN code expired (expressed as a code)
<b>VALOREN_CODE_EXPIRATION_REASON_NAME</b>  <i>(LIBELLE_RAISON_EXPIRATION_CODE_VALOREN)</i>	Explicit designation for the reason for which the fund expired or will expire (for example, "Maturity", "Early Redemption" or "Provocative deletion" )
<b>FUND_STATUS</b>  <i>(STATUT_FONDS)</i>	Fund's status (expressed as a code)
<b>FUND_STATUS_NAME</b>  <i>(LIBELLE_STATUT_FONDS)</i>	Explicit designation for the fund's status (for example, "active" or "inactive" )
<b>ISSUER_CODE</b>  <i>(CODE_EMETTEUR)</i>	Code of the fund's issuer
<b>ISSUER_NAME</b>  <i>(NOM_EMETTEUR)</i>	Short name of the fund issuer. The fund issuer is a particular structure, different from the management company itself

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>ISSUER_DOMIICILE</b> <i>(DOMICILE_EMETTEUR)</i>	Domicile of the fund issuer
<b>ISSUER_WEBSITE</b> <i>(SITE_WEB_EMETTEUR)</i>	Website of the fund issuer
<b>ISSUER_TYPE</b> <i>(TYPE_EMETTEUR)</i>	Type of fund issuer (expressed as a code)
<b>ISSUER_TYPE_NAME</b> <i>(LIBELLE_TYPE_EMETTEUR)</i>	Explicit designation for the type of fund issuer (for example, "Company" or "Investment fund/trust")
<b>ISSUER_STATUS</b> <i>(STATUT_EMETTEUR)</i>	Status of the fund issuer (expressed as a code)
<b>ISSUER_STATUS_NAME</b> <i>(LIBELLE_STATUT_EMETTEUR)</i>	Explicit designation for the status of the fund issuer (for example, "active", "inactive" or "in liquidation"). In case of inactivity, it refines the description of the fund's issuer.
<b>ISSUER_LEGAL_FORM</b> <i>(FORME_LEGALE_EMETTEUR)</i>	Legal form of the fund issuer (expressed as a code)
<b>ISSUER_LEGAL_FORM_NAME</b> <i>(LIBELLE_FORME_LEGALE_EMETTEUR)</i>	Explicit designation for the legal form of the fund issuer : for example, "Corporation", "Contract (Mutual Funds, FCP)", "Co. with fixed capital (SICAF)" or "Co. with variable capital (UIT, SICAV)"
<b>ISSUER_EXPIRATION_DATE</b> <i>(DATE_EXPIRATION_EMETTEUR)</i>	Date at which the issuer code expired
<b>ISSUER_EXPIRATION_REASON</b> <i>(RAISON_EXPIRATION_EMETTEUR)</i>	Reason for which the fund issuer expired (expressed as a code)
<b>ISSUER_EXPIRATION_REASON_NAME</b> <i>(LIBELLE_RAISON_EXPIRATION_EMETTEUR)</i>	Explicit explanation for the reason for which the fund issuer expired (for example, "Rectification", "Merger" or "Change of name")
<b>ORIG_ISSUER_CODE</b> <i>(CODE_EMETTEUR_ORIG)</i>	Code of the original fund issuer

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>ORIG_ISSUER_NAME</b>  <i>(NOM_EMETTEUR_ORIG)</i>	Short name of the original fund issuer
<b>ORIG_ISSUER_DOMICILE</b>  <i>(DOMICILE_EMETTEUR_ORIG)</i>	Domicile of the original fund issuer
<b>DATE</b>  <i>(DATE)</i>	Date
<b>NET_ASSET_VALUE</b>  <i>(VALEUR_LIQUIDATIVE)</i>	Net asset value is defined as the ratio between the total value of the fund portfolio and the number of fund shares outstanding. The frequency of this variable is daily.
<b>NAV_CURRENCY</b>  <i>(DEVISE_VL)</i>	Currency of net asset value (expressed as a code). The currency of the net asset value is the same as the currency of the redemption price or of the subscription price.
<b>NAV_CURRENCY_NAME</b>  <i>(LIBELLE_DEVISE_VL)</i>	Explicit designation for the currency of net asset value
<b>SUBSCRIPTION_PRICE</b>  <i>(PRIX_SOUSCRIPTION)</i>	Subscription price is the price at which the shareholders purchase their shares. The frequency of this variable is daily. Subscription price is defined as the sum of net asset value and purchase fees. A purchase fee differs from a front-end sales load, because a purchase fee is paid to the fund (not to a broker). It is generally used to defray fund costs associated with the purchase.
<b>REDEMPTION_PRICE</b>  <i>(PRIX_RACHAT)</i>	Redemption price is the price at which the shareholders sell their shares. The frequency of this variable is daily. Redemption price is defined as the difference between net asset value and redemption fees (or back-end load fees). A redemption fee is paid directly to the fund: for this reason, it isn't considered to be a sales load. A redemption fee is generally imposed to defray fund costs associated with a shareholder's redemption.
<b>NB_SHARES</b>  <i>(NB_PARTS)</i>	Number of shares outstanding for the fund

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>TOTAL_NET_ASSETS</b>  <i>(ACTIF_NET)</i>	Total net assets are defined as multiplying the net asset value and the number of shares
<b>DIVIDEND</b>  <i>(DIVIDENDE)</i>	Dividend distributed
<b>GROSS_DIVIDEND</b>  <i>(DIVIDEND_BRUT)</i>	The gross dividend represents the sum of the distributed dividend and the tax credit
<b>DIVIDEND_CURRENCY</b>  <i>(DEVISE_DIVIDENDE)</i>	Currency of dividend (expressed as a code)
<b>DIVIDEND_CURRENCY_NAME</b>  <i>(LIBELLE_DEVISE_DIVIDENDE)</i>	Explicit designation for the dividend currency
<b>VALUE_OBS</b>  <i>(OBS_VALEUR)</i>	<p>Indicates if the net asset value, the subscription price and the redemption price have to be used with precaution (expressed as a code). In some cases we noticed some incoherence between net asset value, redemption price or subscription price. We consider that there are inconsistencies when the net asset value is higher than the subscription price or when it is lower than the redemption price. These differences can often be explained: it may be a human error (made by the data provider) during the integration of values in the database. The typical error is an error of positioning of the comma, thus multiplying values by a thousand or more.</p> <p>This value is equal to 1 if the redemption price has to be used with precaution, to 2 if it is the subscription price, 3 if it is the net asset value, 4 if we can't determine if the problem results from the net asset value, the redemption price or the subscription price and is equal to 5 if the incoherence comes from a problem of rounded value.</p>
<b>VALUE_OBS_NAME</b>  <i>(LIBELLE_OBS_VALEUR)</i>	Explicit designation of the reason for which the net asset value, the subscription price and the redemption price have to be used with precaution.

Variable (English name) <i>(French name)</i>	Short description
<b>ADJUST_COEF</b> <i>(COEF_AJUST)</i>	Adjustment coefficient for corporate actions (events) that have an impact on the net asset value, the subscription price or the redemption price. The adjustment coefficient allows value calculation adjusted for capital operations. It can be used to calculate the fund's return.

## 3. Organized Markets

### 3.1. Overall Description

For organized markets, data proposed by EUROFIDAI concern **58 008 mutual funds on 124 162** trading line. A single mutual fund on Organized Market may be trade in various stock exchanges thus generating one trading line by stock exchanges. The total number of observations for these markets is 92 512 956. These funds are listed on European stock exchanges.

**Table 3: Statistics on mutual funds for organized markets**

Domicile	Number of stock exchanges	Number of mutual funds trading line	Number of observations	Period of Availability	
Australia	2	260	196078	05/04/1993	31/08/2015
Austria	5	321	33314	01/09/1985	31/08/2015
Bahrain	1	3	11	27/07/2014	06/08/2014
Bangladesh	1	14	11131	20/09/2000	24/12/2014
Belgium	3	178	74466	05/03/1996	31/08/2015
Bosnia and Herzegovina	2	25	12738	24/06/2009	31/08/2015
Bulgaria	1	37	6962	14/02/2003	31/08/2015
China	2	718	417695	10/10/2005	31/08/2015
Croatia	3	20	7571	02/10/2003	28/08/2015
Cyprus	1	16	6423	22/05/1997	31/08/2015
Czech Republic	1	4	5371	08/06/2000	12/09/2007
Denmark	3	1010	954750	28/03/1990	31/08/2015
Estonia	1	1	1438	11/06/1998	29/12/2006
Finland	1	4	3747	11/02/2002	31/08/2015
France	9	15571	6576730	24/06/1986	31/08/2015
Germany	25	47920	49283905	02/02/1987	31/08/2015
Greece	1	5	5719	08/10/2004	31/08/2015
Hong Kong	3	206	165934	05/11/1992	31/08/2015
Hungary	3	283	61361	04/02/2002	31/08/2015



<b>Iceland</b>	1	4	1568	15/07/1998	27/08/2015
<b>India</b>	4	463	102947	09/03/1999	31/08/2015
<b>Indonesia</b>	2	12	3133	18/12/2007	31/08/2015
<b>Ireland</b>	6	2087	505618	18/05/1995	31/08/2015
<b>Isle of Man</b>	1	4	344	07/01/2014	25/08/2015
<b>Italy</b>	3	3590	2430707	19/09/1997	31/08/2015
<b>Japan</b>	8	519	254153	08/01/1986	31/08/2015
<b>Kazakhstan</b>	1	1	29	27/01/2010	03/07/2010
<b>Lebanon</b>	1	5	631	30/09/1998	22/04/2013
<b>Liechtenstein</b>	1	1	1	31/07/2015	31/07/2015
<b>Lithuania</b>	1	5	972	03/02/2011	31/08/2015
<b>Luxembourg</b>	12	13937	12339636	01/09/1985	31/08/2015
<b>Malaysia</b>	3	24	16501	07/07/1994	28/08/2015
<b>Malta</b>	3	45	10024	11/10/2000	31/10/2011
<b>Netherlands</b>	5	1506	957745	03/01/1986	31/08/2015
<b>New Zealand</b>	1	46	62911	01/07/1996	31/08/2015
<b>Norway</b>	1	17	16545	04/03/2005	31/08/2015
<b>Pakistan</b>	2	52	51038	14/07/2000	31/08/2015
<b>Philippines</b>	3	5	2368	23/04/2003	28/08/2015
<b>Poland</b>	2	99	52969	16/06/1997	31/08/2015
<b>Portugal</b>	2	36	12840	14/01/1999	31/08/2015
<b>Romania</b>	2	22	8657	29/09/2008	31/08/2015
<b>Russian Federation</b>	11	1104	359009	30/03/2007	31/08/2015
<b>Serbia</b>	1	2	176	21/08/2008	28/05/2015
<b>Singapore</b>	7	226	100824	30/01/1990	31/08/2015
<b>Slovakia</b>	2	2	226	23/03/2011	25/08/2015
<b>Slovenia</b>	2	34	5225	26/02/2002	15/07/2015
<b>South Korea</b>	5	624	234770	14/07/2000	31/08/2015
<b>Spain</b>	8	9128	6253618	14/04/1993	31/08/2015
<b>Sri Lanka</b>	1	1	526	24/06/2013	31/08/2015
<b>Sweden</b>	7	2925	135737	30/10/2000	31/08/2015
<b>Switzerland</b>	26	4365	2565353	01/09/1985	31/08/2015
<b>Taiwan, Province of China</b>	5	93	49125	05/06/1997	31/08/2015
<b>Thailand</b>	5	101	38162	03/03/1997	31/08/2015
<b>Turkey</b>	1	17	26078	14/01/2005	31/08/2015
<b>Ukraine</b>	4	340	21591	21/01/2008	31/08/2015
<b>United Arab Emirates</b>	1	1	258	28/01/2014	31/08/2015
<b>United Kingdom</b>	56	16115	8057483	01/09/1985	31/08/2015
<b>Viet Nam</b>	2	8	8114	15/11/2004	31/08/2015

## 3.2. Database Structure

The various data types are summarized in the following table (it includes all variables of files obtained from codes research and data extraction).

A EUROFIDAI code ensures consistency of the code between instruments proposed by EUROFIDAI. This code identification is the fund's unique identification code attributed by EUROFIDAI.

The EUROFIDAI code has a specific structure: it is made up of fifteen numeric digits, of which the first three numbers correspond to the type of instrument (for funds, the first number is always 2); the seven middle digits correspond to a unique identifier; the last five digits represent the code of the net asset value's stock exchange for funds listed on organized markets (they represent the currency for funds quoted on over-the-counter markets).

### **Example for funds listed on organized markets**

The Eurofidai code of the regional fund BNP Paribas OBAM is "200 0086570 10038": "200" corresponds to a fund; "0086570" corresponds to the unique fund's identifier and "10038" represents the stock exchange, here Euronext Amsterdam, Netherlands.

Variable (English name) <i>(French name)</i>	Short description
<b>EUROFIDAI_CODE</b> <i>(CODE_EUROFIDAI)</i>	This is the fund's unique identification code attributed by EUROFIDAI. The EUROFIDAI code has a specific structure: it is made up of fifteen numeric digits, of which the last five digits represent the code of the fund's stock exchange as attributed by SIX Financial Information. For funds, the first number of the EUROFIDAI code is 2.
<b>BEGDATE_EUROFIDAI_CODE</b> <i>(DEBDISPO_CODE_EUROFIDAI)</i>	This variable is the first date at which a non-missing price is available for the corresponding identification code. When extracting the data, it is recommended to refer to this date of data availability rather than the date of inception. Indeed, the date of data availability is a controlled variable, corresponding perfectly to the reality of the database.

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>ENDDATE_EUROFIDAI_CODE</b>  <i>(FINDISPO_CODE_EUROFIDAI)</i>	This variable is the last date at which a non-missing price is available for the corresponding identification code. To know the depth of the observation sample, it is best to use this date rather than the expiration date.
<b>NUMBER_OBSERVATIONS</b>  <i>(NB_OBSERVATIONS)</i>	Number of available observations for the fund
<b>ISIN_CODE</b>  <i>(CODE_ISIN)</i>	ISIN code identifying the fund (note that some ISIN codes are copyrighted, particularly those with the prefix "AN", "BM", "BS", "CA", "KY "US" or "VG")
<b>BEGDATEAV_ISIN_CODE</b>  <i>(DEB_CODE_ISIN)</i>	First date at which the ISIN code is valid
<b>ENDDATEAV_ISIN_CODE</b>  <i>(FIN_CODE_ISIN)</i>	Last date at which the ISIN code is valid
<b>ISIN_CODE_STATUS</b>  <i>(STATUT_CODE_ISIN)</i>	Code for the status of the ISIN code
<b>ISIN_CODE_STATUS_NAME</b>  <i>(LIBELLE_STATUT_CODE_ISIN)</i>	Explicit designation for the status of the ISIN code (1 for "Reserved", 2 for "Active", 3 for "Deleted", 4 for "Reactivated" or 5 for "Change pending")
<b>SICOVAM_CODE</b>  <i>(CODE_SICOVAM)</i>	SICOVAM code identifying the fund
<b>VALOREN_CODE</b>  <i>(CODE_VALOREN)</i>	VALOREN code identifying the fund
<b>FUND_NAME</b>  <i>(NOM_FONDS)</i>	Name of the fund
<b>INVESTMENT_TYPE</b>  <i>(TYPE_INVESTISSEMENT)</i>	Type of fund investment (expressed as a code). The type of investment briefly describes the class of securities in which the fund is invested. This variable is especially useful in the initial selection of funds.
<b>INVESTMENT_TYPE_NAME</b>  <i>(LIBELLE_TYPE_INVESTISSEMENT)</i>	Explicit designation for the type of fund investment (for example, "Equities fund", "Commodity Fund" or "Country/ Regional fund").
<b>INCEPTION_DATE</b>  <i>(DATE_CREATION_FONDS)</i>	Inception date of the fund

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>VALOREN_CODE_EXPIRATION_DATE</b> <i>(DATE_EXPIRATION_CODE_VALOREN)</i>	Date at which the issuer code expired
<b>VALOREN_CODE_EXPIRATION_REASON</b> <i>(RAISON_EXPIRATION_CODE_VALOREN)</i>	Reason for which the VALOREN code expired (expressed as a code)
<b>VALOREN_CODE_EXPIRATION_REASON_NAME</b> <i>(LIBELLE_RAISON_EXPIRATION_CODE_VALOREN)</i>	Explicit designation for the reason for which the VALOREN code expired (for example, "Maturity", "Early Redemption" or "Provocative deletion" )
<b>FUND_STATUS</b> <i>(STATUT_FONDS)</i>	Fund's status (expressed as a code)
<b>FUND_STATUS_NAME</b> <i>(LIBELLE_STATUT_FONDS)</i>	Explicit designation for the fund's status (for example, "active" or "inactive" )
<b>ISSUER_CODE</b> <i>(CODE_EMETTEUR)</i>	Issuer code
<b>ISSUER_NAME</b> <i>(NOM_EMETTEUR)</i>	Short name of the fund issuer. The fund issuer is a particular structure, different from the management company itself.
<b>ISSUER_DOMICILE</b> <i>(DOMICILE_EMETTEUR)</i>	Domicile of the fund issuer
<b>ISSUER_TYPE</b> <i>(TYPE_EMETTEUR)</i>	Type of the fund issuer (expressed as a code)
<b>ISSUER_TYPE_NAME</b> <i>(LIBELLE_TYPE_EMETTEUR)</i>	Explicit designation for the type of the fund issuer (for example, "Company" or "Investment fund/trust")
<b>ISSUER_STATUS</b> <i>(STATUT_EMETTEUR)</i>	Status of the fund issuer (expressed as a code)
<b>ISSUER_STATUS_NAME</b> <i>(LIBELLE_STATUT_EMETTEUR)</i>	Explicit designation for the status of the fund issuer (for example, "active", "inactive" or "in liquidation")
<b>ISSUER_LEGAL_FORM</b> <i>(FORME_LEGALE_EMETTEUR)</i>	Legal form of the fund issuer (expressed as a code)

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>ISSUER_LEGAL_FORM_NAME</b>  <i>(LIBELLE_FORME_LEGALE_EMETTEUR)</i>	Explicit designation for the legal form of the fund issuer : for example, "Corporation", "Contract (Mutual Funds, FCP)", "Co. with fixed capital (SICAF)" or "Co. with variable capital (UIT, SICAV)"
<b>ISSUER_WEBSITE</b>  <i>(SITE_WEB_EMETTEUR)</i>	Website of the fund's issuer
<b>ISSUER_EXPIRATION_DATE</b>  <i>(DATE_EXPIRATION_EMETTEUR)</i>	Date at which the issuer code expired
<b>ISSUER_EXPIRATION_REASON</b>  <i>(RAISON_EXPIRATION_EMETTEUR)</i>	Reason for which the fund issuer expired (expressed as a code)
<b>ISSUER_EXPIRATION_REASON_NAME</b>  <i>(LIBELLE_RAISON_EXPIRATION_EMETTEUR)</i>	Explicit explanation for the reason for which the fund issuer expired (for example, "Rectification", "Merger" or "Change of name")
<b>ORIG_ISSUER_CODE</b>  <i>(CODE_EMETTEUR_ORIG)</i>	Code of the original issuer
<b>ORIG_ISSUER_NAME</b>  <i>(NOM_EMETTEUR_ORIG)</i>	Short name of the original issuer
<b>ORIG_ISSUER_DOMICILE</b>  <i>(DOMICILE_EMETTEUR_ORIG)</i>	Domicile of the original issuer
<b>STOCK_EXCHANGE</b>  <i>(PLACE_COTATION)</i>	Stock exchange (expressed as a code)
<b>STOCK_EXCHANGE_NAME</b>  <i>(NOM_PLACE_COTATION)</i>	Explicit designation for the stock exchange
<b>STOCK_EXCHANGE_TYPE</b>  <i>(TYPE_PLACE_COTATION)</i>	Type of the stock exchange (expressed as a code)
<b>STOCK_EXCHANGE_TYPE_NAME</b>  <i>(LIBELLE_TYPE_PLACE_COTATION)</i>	Explicit designation for the type of the stock exchange: for example, "Trading place/market"

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>STOCK_EXCHANGE_COUNTRY_NAME</b> <i>(NOM_PAYS_PLACE_COTATION)</i>	Country of the stock exchange
<b>QUOTATION_DATE</b> <i>(DATE_COTATION)</i>	Quotation date
<b>OPENING_PRICE</b> <i>(COURS_OUVERTURE)</i>	Opening price
<b>CLOSING_PRICE</b> <i>(COURS_CLOTURE)</i>	Closing price
<b>LOWEST_PRICE</b> <i>(COURS_PLUS_BAS)</i>	Lowest price
<b>HIGHEST_PRICE</b> <i>(COURS_PLUS_HAUT)</i>	Highest price
<b>MEAN_PRICE</b> <i>(COURS_MOYEN)</i>	Average price
<b>ESTIMATED_PRICE</b> <i>(COURS_ESTIME)</i>	Stock price which, according to the various situations, can be defined in a different way
<b>ESTIMATED_PRICE_INDIC</b> <i>(INDIC_COURS_ESTIME)</i>	Code associated with the choice made for the estimated price
<b>ESTIMATED_PRICE_INDIC_NAME</b> <i>(LIBELLE_INDIC_COURS_ESTIME)</i>	Explicit designation of the choice made for the estimated price
<b>PRICE_OBS</b> <i>(OBS_COURS)</i>	Indicates if the lowest or highest prices have to be used with precaution (expressed as a code). More precisely, this variable is equal to 1001 if the lowest price is not displayed. If for instance, the lowest (highest) price is higher (lower) than a price such as opening price, this variable is equal to 1002.
<b>PRICE_OBS_NAME</b> <i>(LIBELLE_OBS_COURS)</i>	Explicit designation for comments on lowest and highest prices

Variable (English name) <i>(French name)</i>	Short description
<b>CURRENCY</b> <i>(DEVISE)</i>	Currency of price and dividend (expressed as a code)
<b>CURRENCY_NAME</b> <i>(LIBELLE_DEVISE)</i>	Explicit designation for the currency of price and dividend
<b>SHARES_NUMBER</b> <i>(NB_TITRES)</i>	Number of shares outstanding for the fund
<b>SHARES_NUMBER_OBS</b> <i>(OBS_NB_TITRES)</i>	Indicates if the number of fund shares has to be used with precaution (expressed as a code). This variable is equal to 51 if the number of shares is very low and to 52 if, more precisely, the number of shares is low, compared to the volume.
<b>SHARES_NUMBER_OBS_NAME</b> <i>(LIBELLE_OBS_NB_TITRES)</i>	Explicit designation for comments on the number of fund shares
<b>VOL_NUMBER_SHARES</b> <i>(VOL_TRANSACTION_TITRES)</i>	Number of shares traded during the day
<b>VOL_OBS</b> <i>(OBS_VOLUME)</i>	Indicates if the number of shares traded during the day has to be used with precaution (expressed as a code). This variable indicates whether the volume is high, compared to the number of shares.
<b>VOL_OBS_NAME</b> <i>(LIBELLE_OBS_VOLUME)</i>	Explicit designation for comments on the number of shares during the day
<b>VOL_CURRENCY</b> <i>(VOL_TRANSACTION_DEVISES)</i>	Amount traded during the day
<b>DIVIDEND</b> <i>(DIVIDENDE)</i>	Dividend distributed
<b>GROSS_DIVIDEND</b> <i>(DIVIDENDE_BRUT)</i>	The gross dividend represents the sum of the distributed dividend and the tax credit

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>ADJUST_COEF</b> <i>(COEF_AJUST)</i>	This variable represents the adjustment coefficient for corporate actions (events) that have an impact on the prices. The adjustment coefficient allows value calculation adjusted for capital operations. It can be used to calculate the fund's return.

## 4. General Database Description

This general description concerns all funds (for over-the-counter and organized markets). During the period, funds can be successively associated with several types of investment (because they can change their investment nature).

**Table 4: Type of investment for mutual funds**

<b>Type of investment</b>	<b>Number of trading line (Organized Markets)</b>	<b>Number of trading line (Over-the- counter Markets)</b>	<b>Total Number of trading line</b>
<b>Allroundfunds/Mixed Funds</b>	10011	26118	36129
<b>Bond &amp; country/regional Funds</b>	4278	15154	19432
<b>Bond &amp; Equity/Country-&amp; Regional Fund</b>	834	1214	2048
<b>Bond &amp; industry Funds</b>	107	160	267
<b>Bond/Sector- /Country-&amp; Regional Fund</b>	64	270	334
<b>Commodity Fund</b>	44	209	253
<b>Convertible bond Fund</b>	360	1151	1511
<b>Country / Regional Fund</b>	953	2772	3725
<b>Derivative Fund</b>	333	1650	1983
<b>Equities &amp; country/regional Fund</b>	20731	37093	57824
<b>Equities &amp; industry Fund</b>	3700	5228	8928
<b>Equities Fund/Equity unit trust</b>	9154	21236	30390
<b>Equity/Bond Fund</b>	2317	3806	6123



<b>Equity/Sector- /Country-&amp; Regional Fund</b>	2848	5022	7870
<b>ETF</b>	28187	6051	34238
<b>Fixed interest sec. Fund/ bond Fund</b>	10177	30424	40601
<b>Fund of Funds</b>	4535	11330	15865
<b>Fund of Hedge Funds</b>	400	3419	3819
<b>Fund of Private Equity Funds</b>		34	34
<b>Futures/Options Funds</b>	76	370	446
<b>Guarantee fund/ insurance Fund</b>	1050	5709	6759
<b>Hedge Fund</b>	1310	11260	12570
<b>Index Fund</b>	1109	3702	4811
<b>Industry Fund</b>	458	2155	2613
<b>Insurance Funds</b>	345	715	1060
<b>Invest. objective Fund/Balanced Fund</b>	1653	3843	5496
<b>Investment foundation claim</b>	3	825	828
<b>Money market / Currency Fund</b>	2200	6092	8292
<b>Mortgage Fund</b>	5	30	35
<b>Other</b>	5057	14898	19955
<b>Pension Fund</b>	840	1039	1879
<b>Private Equity Fund</b>	35	103	138
<b>Real estate investment Fund</b>	1134	1586	2720
<b>Sector-/Country-&amp; Regional Fund</b>	72	205	277
<b>Unknown</b>	12404	63288	75692

## 5. COMPLEMENTARY VARIABLES FOR DESCRIPTION OF MUTUAL FUNDS

Complementary variables providing additional information about the funds are also available. They appear in a tab entitled "Further Information". Proposed variables complete the funds characteristics presented previously and enter in the same structure. In particular, we integrate variables concerning investment policy for mutual funds. These new variables allow detailed examination of securities in which funds may invest. The description of the investment is then very precise: the portfolio built by the fund can be analyzed under various angles. Its sector and geographic breakdown, names of various securities (equities, bonds, derivatives...), their weight in the portfolio, and possibly their maturity (in the case of bonds) are supplied. From the same structure, investment choices actually made by the fund are included in the "fund asset allocation" database.

Furthermore, other complementary variables are added: in particular, different types of fund fees. Other complementary variables indicate whether the investment fund complies with the legal requirements for being sold in a particular country. Moreover, we can also qualify the type of public the fund addresses. A variable indicating whether the fund is open or closed is proposed.

These complementary variables are available for over-the-counter markets and for organized markets (refer to the associated pages of our website for over-the-counter markets and other for organized markets).

### Notification!

For the "Further information", the results file contains a specific code, called "primary code". This primary code corresponds to the EUROFIDAI code without the stock exchange or the currency in which the net asset value' fund is expressed. Indeed, "further information" is valid for a fund whatever its associated stock exchange or the currency of its net asset value. This primary code exists only for "further information".

#### Example:

Two examples were given in the previous sections to illustrate the structure of EUROFIDAI code for funds quoted on over-the-counter markets (p.12) and for funds listed on organized markets (p.18).

To obtain these funds primary code, you just have to remove the 5 last digits which were indicating the stock exchange or the currency. Consequently, for the fund BNP Paribas BI Euro quoted over the counter, the primary code is "200 0144567" and for the fund BNP Paribas OBAM listed on organized markets, the primary code is "200 0086570".

The data types are summarized in the following tables.

Variable (English name) (French name)	Short description
<b>PRIMARY_CODE</b> (CODE_PRIMAIRE)	The primary code of the fund is defined as the EUROFIDAI code without the sub-codes of the associated stock exchange or of the currency in which its net asset value is expressed. <i>The primary code was created to minimize the size of the results file. Indeed, a mutual fund can be traded on several stock exchanges or its net asset value can be expressed in different currencies (in this case, the fund has as many EUROFIDAI codes as stock exchanges and currencies). But, complementary information associated to the fund is not specific to a stock exchange or a currency. Therefore, instead of the EUROFIDAI code, this primary code is proposed in the results file.</i>
<b>INFOS_DATE</b> (DATE_INFOS)	Date at which information on this group of variables is obtained

## 5.1. “General Characteristics” Database Structure

Some of the variables proposed in the "Codes research" tab are provided for a longer time interval in the “General Characteristics” database.

Variable (English name) (French name)	Short description
<b>FUND_NAME</b> (NOM_FONDS)	Name of the fund
<b>INCEPTION_DATE</b> (DATE_CREATION_FONDS)	Inception date of the fund
<b>INVESTMENT_TYPE</b> (TYPE_INVESTISSEMENT)	Type of fund’s investment (expressed as a code)
<b>INVESTMENT_TYPE_NAME</b> (LIBELLE_TYPE_INVESTISSEMENT)	Explicit designation for the type of fund investment (for example, “Equities fund”, “Country/Regional fund” or “Commodity Fund”)
<b>FUND_STATUS</b> (STATUT_FONDS)	Status of the funds (expressed as a code)

Variable (English name) <i>(French name)</i>	Short description
<b>FUND_STATUS_NAME</b> <i>(LIBELLE_STATUT_FONDS)</i>	Explicit designation for the fund's status (for example, "Active" or "Inactive")
<b>DIVIDEND_POLICY</b> <i>(POLITIQUE_DIIVDENDE)</i>	Policy of dividend distribution for the fund (expressed as a code)
<b>DIVIDEND_POLICY_NAME</b> <i>(LIBELLE_POLITIQUE_DIIVDENDE)</i>	Explicit designation for the policy of dividend distribution: it can be "cumulative" or "distributive".
<b>FUND_WEBSITE</b> <i>(SITE_WEB_FONDS)</i>	Website of the fund
<b>CLOSED_FUND</b> <i>(FONDS_FERME)</i>	Indicates whether the fund is a closed-end fund or not. If the variable is equal to 0, the fund is an open-end fund. If the variable is equal to 1, the fund is a closed-end fund
<b>PRIVATE_INVESTOR</b> <i>(INVESTISSEUR_PRIVÉ)</i>	Indicates whether the fund is intended for private investors or not. If the variable is equal to N (no), the fund isn't intended for private investors. If the variable is equal to Y (yes), the fund is intended for private investors. If the variable is equal to U (undefined), we cannot determine if the fund is intended for private investors or not.
<b>TARGET_INVESTOR</b> <i>(INVESTISSEUR_CIBLE)</i>	Type of investors for whom the fund is intended (expressed as a code)
<b>TARGET_INVESTOR_NAME</b> <i>(LIBELLE_INVESTISSEUR_CIBLE)</i>	Explicit designation of type of investors for whom the fund is intended. For example, this variable corresponds to "Fund for institutional investors", "Fund for limited circle of investors" or "Fund for private investors only".
<b>FUND_MANAGER_NAME</b> <i>(NOM_GERANT)</i>	Name of the fund manager. Data on the name of the fund manager are less numerous than other variables for the fund manager.
<b>FUND_MANAGER_ADDRESS</b> <i>(ADRESSE_GERANT)</i>	Address of the fund manager
<b>FUND_MANAGER_POSTAL_CODE</b> <i>(CODE_POSTAL_GERANT)</i>	Postal code of the fund manager

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>FUND_MANAGER_TOWN</b> <i>(VILLE_GERANT)</i>	City/town of the fund manager
<b>ISSUER_DOMICILE</b> <i>(DOMICILE_EMETTEUR)</i>	Domicile of the fund issuer (expressed as a code)
<b>ISSUER_DOMICILE_NAME</b> <i>(LIBELLE_DOMICILE_EMETTEUR)</i>	Explicit designation for the domicile of the fund issuer
<b>ISSUER_FULL_NAME</b> <i>(NOM_COMPLET_EMETTEUR)</i>	Full name of the fund issuer
<b>ISSUER_NAME</b> <i>(NOM_EMETTEUR)</i>	Short name of the fund issuer
<b>ISSUER_TYPE</b> <i>(TYPE_EMETTEUR)</i>	Type of the fund issuer (expressed as a code)
<b>ISSUER_TYPE_NAME</b> <i>(LIBELLE_TYPE_EMETTEUR)</i>	Explicit designation for the type of the fund issuer (for example, "Company" or "Investment fund/trust")
<b>ISSUER_STATUS</b> <i>(STATUT_EMETTEUR)</i>	Status of the fund issuer (expressed as a code)
<b>ISSUER_STATUS_NAME</b> <i>(LIBELLE_STATUT_EMETTEUR)</i>	Explicit designation for the status of the fund issuer (for example, "active", "inactive" or "in liquidation")
<b>ISSUER_LEGAL_FORM</b> <i>(FORME_LEGALE_EMETTEUR)</i>	Legal form of the fund issuer (expressed as a code)
<b>ISSUER_LEGAL_FORM_NAME</b> <i>(LIBELLE_FORME_LEGALE_EMETTEUR)</i>	Explicit designation for the legal form of the fund issuer : for example, "Corporation", "Contract (Mutual Funds, FCP)", "Co. with fixed capital (SICAF)" or "Co. with variable capital (UIT, SICAV)"
<b>ISSUER_WEBSITE</b> <i>(SITE_WEB_EMETTEUR)</i>	Website of the fund's issuer

Variable (English name) (French name)	Short description
<b>ISSUER_EXPIRATION_DATE</b> (DATE_EXPIRATION_EMETTEUR)	Date on which the fund issuer expired
<b>ISSUER_EXPIRATION_REASON</b> (RAISON_EXPIRATION_EMETTEUR)	Reason for which the fund issuer expired (expressed as a code)
<b>ISSUER_EXPIRATION_REASON_NAME</b> (LIBELLE_RAISON_EXPIRATION_EMETTEUR)	Explicit designation of the reason for which the fund issuer expired (for example, "Rectification", "Merger" or "Change of name")
<b>ORIG_ISSUER_FULL_NAME</b> (NOM_COMPLET_EMETTEUR_ORIG)	Full name of the original fund issuer
<b>ISSUER_IBEI_CODE</b> (CODE_IBEI_EMETTEUR)	IBEI (International Business Entity Identifier) code of the fund issuer

## 5.2. "Classification" Database Structure

The second group of variables relates to fund classification.

Variable (English name) (French name)	Short description
<b>CLASSIFICATION_CODE</b> (CODE_CLASSIFICATION)	Every classification corresponds to a code.
<b>GENERAL_CLASSIFICATION</b> (CLASSIFICATION_GENERALE)	General classification. More precisely, <ul style="list-style-type: none"> <li>- <b>"Investment Style"</b>: These overlapping codes represent mainly a special usage in the Funds sector which may be utilized and accepted world-wide. An overlapping code may also have a primarily national/supranational significance accepted and used world-wide.</li> <li>- <b>"Swiss Federal Investment Fund Act (inactive)"</b>: Codes provided within this scheme are based on an implicit/explicit legal framework for Switzerland.</li> <li>- <b>"Swiss Federal Banking Commission SFBC (new: FINMA)"</b>: Codes provided within this scheme are based on an implicit/explicit legal, regulatory framework for</li> </ul>

Switzerland and/or are based on a regulation issued by a recognized fund association in that country.

- **“Swiss Funds Association – SFA”**: Codes provided within this scheme are based on an implicit/explicit legal, regulatory framework for Switzerland and/or are based on a regulation issued by a recognised fund association in that country.

- **“Federal Swiss Tax Administration”**: Codes provided within this scheme are based on an implicit/explicit legal, regulatory framework for Switzerland and/or are based on a regulation issued by a recognised fund association in that country.

- **“Fund market of the European Union”**: Codes provided within this scheme for the fund market of the European Union are based on an implicit/explicit legal framework for the member states of the European Union.

- **“Italian fund market – Assogestioni”**: Codes provided within this scheme for the Italian fund market are based on an implicit/explicit legal framework for Italy according to Assogestioni (Italian Association of the Investment Management Industry).

- **“German investment tax law InvMG (inactive)”**: Codes provided within this scheme are based on an implicit/explicit legal framework for Germany.

- **“German investment law InvMGs”**: Codes provided within this scheme are based on an implicit/explicit legal framework for Germany.

- **“German Investment Modernisation Law”**: Codes provided within this scheme are based on an implicit/explicit legal framework for Germany.

- **“German Capital Formation Law”**: Codes provided within this scheme are based on an implicit/explicit legal framework for Germany.

- **“Luxembourgian fund market”**: Codes provided within this scheme for the Luxembourgian fund market are based on an implicit/explicit legal framework for Luxembourg.

- **“Portuguese fund market”**: Codes provided within this scheme for the Portuguese fund market are based on an implicit/explicit legal, regulatory framework for Portugal and/or are based on a regulatory issued by a recognised fund association in that country, according to the

Portuguese Securities Market Commission CMVM (Comissao do Mercado de Valores Mobiliarios).

- **“French fund market”**: Codes provided within this scheme for the French fund market are based on an implicit/explicit legal framework for France and are legal codes according to the French Stock Exchange Authority AMF (Autorite des Marches Financiers).

- **“French fund market - special values”**: Codes provided within this scheme for the French fund market are based on an implicit/explicit legal framework for France and are specific legal codes of the French fund market issued by the French Stock Exchange Authority AMF (Autorite des Marches Financiers) regarding 'fonds communs de placement'.

- **“Spanish fund market”**: Codes provided within this scheme for the Spanish fund market are based on an implicit/explicit legal framework for Spain and are legal codes of the Spanish fund market according to the Spanish stock market regulator CNMV (Comision Nacional del Mercado de Valores).

- **“Austrian fund market EU-Savings Tax”**: Codes provided within this scheme for the Austrian fund market are based on an implicit/explicit legal framework for Austria and are legal codes of the Austrian fund market according to the EU-Savings Tax.

- **“Austrian fund market”**: Codes provided within this scheme for the Austrian fund market are based on an implicit/explicit legal framework for Austria.

- **“Danish fund market - FundCollect”**: These codes for the Danish fund market are assigned, provided and maintained as a standard exclusively by FundCollect A/S Data provider.

- **“U.S. fund market - Morningstar”**: These codes for the U.S. Open End fund market are assigned, provided and maintained as a standard exclusively by Morningstar.

- **“Canadian fund market - Morningstar”**: These codes for the Canadian fund market are assigned, provided and maintained as a standard exclusively by Morningstar.

- **“Europ./Asian fund market - Morningstar”**: These codes for the European/Asian fund market are assigned, provided and maintained as a standard exclusively by Morningstar.



	<ul style="list-style-type: none"> <li>- <b>“Swiss law collect.cap.investments”</b>: Codes provided within this scheme are based on an implicit/explicit legal framework for Switzerland.</li> <li>- <b>“LI-investment comp.law 19.5.05”</b>: Codes provided within this scheme are based on an implicit/explicit legal framework for the principality of Liechtenstein.</li> <li>- <b>“Australian fund market - Morningstar”</b>: These codes for the Australian fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“Indian fund market - Morningstar”</b>: These codes for the Indian fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“Chinese fund market - Morningstar”</b>: These codes for the Chinese fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“New Zealand fund market - Morningstar”</b>: These codes for the New Zealand fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“US Closed End - Morningstar”</b>: These codes for the U.S. Closed End Fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“US ETF - Morningstar”</b>: These codes for the U.S. ETF fund market are assigned, provided and maintained as a standard exclusively by Morningstar.</li> <li>- <b>“Legal/Organisational Classification”</b>: Classification to define the organisational and/or legal form of an investment fund.</li> <li>- <b>“French fund market - ARIA funds”</b>: ARIA (A Regle d'Investissement Allegees) codes provided within this scheme for the French fund market are based on a legal framework for France and are access alternative investments.</li> <li>- <b>“Belgian fund market”</b>: Classification of funds according to Belgian right (arrete royal 18 avril 1997), such as PRIFONDS.</li> </ul>
<p><b>SUBCLASS</b> <i>(SOUS_CLASSE)</i></p>	<p>Subclass of the general classification (more detailed presentation)</p>
<p><b>COMP_INFO</b></p>	<p>Additional information</p>

(INFO\_COMP)

### 5.3. “Related Institutions” Database Structure

The third group of variables relates to institutions associated to the fund.

Variable (English name) (French name)	Short description
<b>ISSUER_CODE</b> (CODE_EMETTEUR)	Code of the fund's issuer
<b>ISSUER_NAME</b> (NOM_EMETTEUR)	Name of the fund's issuer
<b>RELATED_INSTITUTION</b> (INSTITUTION_LIEE)	Institution related to the fund's issuer
<b>ROLE_TYPE</b> (TYPE_ROLE)	<p>Description of the institution's role relative to the fund's issuer. This role can be of several types. The institution's role can be:</p> <ul style="list-style-type: none"> <li>- <b>Fund management:</b> institution whose exclusive subject and purpose is to manage an investment fund.</li> <li>- <b>Depositary bank/Custodian:</b> the fund assets e.g. of a Swiss investment fund must be deposited with a bank (if the fund management is not a bank itself) that is in charge of the issuance and redemption of the units and the handling of payments of the fund. According to Swiss law (AFG) the custodian bank jointly with the fund management is the party to the contract with the investor.</li> <li>- <b>Auditor:</b> person or agency auditing the fund administration and the activities of the fund management.</li> <li>- <b>Agent bank:</b> bank that acts as the representative of a foreign investment fund e.g. in Switzerland and is in charge of the advertising activities in or from that country.</li> <li>- <b>Administrator</b></li> <li>- <b>Investment advisor:</b> counsellor providing investment advice</li> <li>- <b>Calculation agent</b></li> </ul>

**- Distribution/Selling agent**

- **Self administered fund:** fund does not have a separate outside fund management but administers itself.

- **Transfer agent:** agent appointed by a corporation (issuer), to maintain records of changes in ownership of stock or bondholders, to cancel old securities and issue certificates and to ensure that new offerings of registered securities are not over-issued. See also 'registrar' below (especially in the US, if securities offered for sale are bonds). In Switzerland, the issuing company normally serves as its own transfer agent (shareholders' ledger).

- **Registrar:** usually this is a commercial bank authorized by an issuer of securities to keep current files of the owners of a bond issue of the number of stock shares or securities cancelled and reissued (e.g. ADRs, book entry securities), to ensure that no more than the authorized amount of stock is in circulation and protect the holders' interests while performing a service for the corporation. For bonds, it certifies that a bond is a corporation's genuine debt obligation. See also 'transfer agent' above. In Switzerland, the issuing company normally serves as its own registrar (shareholders' ledger).

- **Trustee:** a trustee is usually charged with administering and investing trust property (as contractually laid down in the trust deed), holding collateral for the benefit of bondholders, acting as nominee (holder of record for securities) or as bankruptcy trustee (to handle the assets of a debtor in bankruptcy) or in similar contractual functions. In Switzerland, most of these services are usually provided by the lead manager.

**- Portfolio Manager/Chief Investment Officer**

**- Onshore legal advisor**

**- Offshore legal advisor**

**- Regulatory body**

- **Prime broker:** a large bank or securities firm that provides various administrative, back-office and financing services to hedge funds and other professional investors. Prime brokers can provide a wide variety of services, including trade reconciliation (clearing and settlement), custody services, risk management, margin financing, securities lending for the purpose of carrying out short sales, record keeping, and investor reporting. A prime brokerage relationship doesn't preclude hedge funds from carrying out

	trades with other brokers, or even employing others as prime brokers.  <b>- Domiciliation agent</b>
<b>COMP_INFO</b> <i>(INFO_COMP)</i>	Additional information
<b>INFOS_DATE</b> <i>(DATE_INFOS)</i>	Date at which information on this group of variables is obtained

## 5.4. “Subscription” Database Structure

The fourth group of variables relates to the minimum amount of subscription.

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>SUBSCRIPTION_AMOUNT</b> <i>(MONTANT_SOUSCRIPTION)</i>	Minimal amount of subscription
<b>SUBSCRIPTION_CURRENCY</b> <i>(DEVISE_SOUSCRIPTION)</i>	Currency of the minimal subscription amount (expressed as a code)
<b>SUBSCRIPTION_CURRENCY_NAME</b> <i>(LIBELLE_DEVISE_SOUSCRIPTION)</i>	Explicit designation for the currency of the minimal subscription amount
<b>MIN_SUBSCRIPTION_TYPE</b> <i>(TYPE_MIN_SOUSCRIPTION)</i>	Type of minimum subscription (expressed as a code)
<b>MIN_SUBSCRIPTION_TYPE_NAME</b> <i>(LIBELLE_TYPE_MIN_SOUSCRIPTION)</i>	Explicit designation for the type of minimum subscription
<b>SUBSCRIPTION_INCREMENT_SIZE</b> <i>(MONTANT_INCREMENT_SOUSCRIPTION)</i>	The amounts which investors can subscribe to the fund are fixed by the fund. There is a minimum subscription amount. The higher subscription amounts are defined as the sum of the minimum subscription amount and the subscription increment size. In other words, possible subscription amounts are (in ascending order): the minimum subscription amount, the sum of the minimum subscription amount and the subscription increment size, the sum of the minimum subscription amount and two times the subscription increment size...

## 5.5. “Authorized Sale Country” Database Structure

The fifth group of variables concerns countries in which the fund complies with the legal requirements for being sold.

Variable (English name) <i>(French name)</i>	Short description
<b>SALES_AUTHORIZATION_COUNTRY</b> <i>(PAYS_AUTORISATION_VENTE)</i>	Country in which the fund complies with the legal requirements for being sold (in some cases, several countries are cited). This variable is expressed as a code.
<b>SALES_AUTHORIZATION_COUNTRY_NAME</b> <i>(LIBELLE_PAYS_AUTORISATION_VENTE)</i>	Explicit designation for the country in which the fund complies with the legal requirements for being sold
<b>SALES_AUTHORIZATION_VALIDITY_DATE</b> <i>(DATE_VALIDITE_AUTORISATION_VENTE)</i>	Date of validity for the variable SALES_AUTHORIZATION_COUNTRY

## 5.6. “Fees” Database Structure

The sixth group of variables consists of fund fees. There are two types of fees: actual fees and planned fees. By definition, planned fees have not yet become effective.

<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>FEES_TYPE</b>  <i>(TYPE_FRAIS)</i>	Type of fund fees (expressed as a code). This variable applies to current and planned fees.
<b>FEES_TYPE_NAME</b>  <i>(LIBELLE_TYPE_FRAIS)</i>	Explicit designation for the type of current and planned fees. For example, "Front-end-fee", "Management fee", "Performance fee", "Switching fee" or "All-in-fee".
<b>MIN_CURRENT_FEES</b>  <i>(FRAIS_ACT_MIN)</i>	Minimum current fees (amount or percent)
<b>MIN_CURRENT_FEES_UNIT</b>  <i>(UNITE_FRAIS_ACT_MIN)</i>	Unit of the minimum current fees (expressed as a code)
<b>MIN_CURRENT_FEES_UNIT_NAME</b>  <i>(LIBELLE_UNITE_FRAIS_ACT_MIN)</i>	Explicit designation for the unit of the minimum current fees
<b>CURRENT_FEES</b>  <i>(FRAIS_ACT)</i>	Current fees (amount or percent)
<b>CURRENT_FEES_UNIT</b>  <i>(UNITE_FRAIS_ACT)</i>	Unit of the current fees (expressed as a code)
<b>CURRENT_FEES_UNIT_NAME</b>  <i>(LIBELLE_UNITE_FRAIS_ACT)</i>	Explicit designation for the unit of the current fees
<b>MAX_CURRENT_FEES</b>  <i>(FRAIS_ACT_MAX)</i>	Maximum current fees (amount or percent)
<b>MAX_CURRENT_FEES_UNIT</b>  <i>(UNITE_FRAIS_ACT_MAX)</i>	Unit of the maximum current fees (expressed as a code)
<b>MAX_CURRENT_FEES_UNIT_NAME</b>  <i>(LIBELLE_UNITE_FRAIS_ACT_MAX)</i>	Explicit designation for the unit of the maximum current fees
<b>EFFECTIVE_DATE_PREV</b>  <i>(DATE_EFFECTIVE_PREV)</i>	Date from which planned fees will apply
<b>MIN_PREV_FEES</b>  <i>(FRAIS_MIN_PREV)</i>	Minimum planned fees (amount or percent)

<b>MIN_PREV_FEES_UNIT</b> <i>(UNITE_FRAIS_MIN_PREV)</i>	Unit of the minimum planned fees (expressed as a code)
<b>MIN_PREV_FEES_UNIT_NAME</b> <i>(LIBELLE_UNITE_FRAIS_MIN_PREV)</i>	Explicit designation for the unit of the minimum planned fees
<b>PREV_FEES</b> <i>(FRAIS_PREV)</i>	Planned fees (amount or percent)
<b>PREV_FEES_UNIT</b> <i>(UNITE_FRAIS_PREV)</i>	Unit of the planned fees (expressed as a code)
<b>PREV_FEES_UNIT_NAME</b> <i>(LIBELLE_UNITE_FRAIS_PREV)</i>	Explicit designation for the unit of the planned fees
<b>MAX_PREV_FEES</b> <i>(FRAIS_MAX_PREV)</i>	Maximum planned fees (amount or percent)
<b>MAX_PREV_FEES_UNIT</b> <i>(UNITE_FRAIS_MAX_PREV)</i>	Unit of the maximum planned fees (expressed as a code)
<b>MAX_PREV_FEES_UNIT_NAME</b> <i>(LIBELLE_UNITE_FRAIS_MAX_PREV)</i>	Explicit designation for the unit of the maximum planned fees
<b>CURRENT_FREQ</b> <i>(FREQ_ACT)</i>	Frequency of current fees
<b>CURRENT_FREQ_UNIT</b> <i>(UNITE_FREQ_ACT)</i>	Unit for the frequency of current fees (expressed as a code)
<b>CURRENT_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_ACT)</i>	Explicit designation for the unit of the current fees' frequency
<b>MIN_FREQ</b> <i>(FREQ_MIN)</i>	Frequency of minimum current fees
<b>MIN_FREQ_UNIT</b> <i>(UNITE_FREQ_MIN)</i>	Unit for the frequency of minimum current fees (expressed as a code)
<b>MIN_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_MIN)</i>	Explicit designation for the unit of the minimum current fees' frequency

<b>MAX_FREQ</b> <i>(FREQ_MAX)</i>	Frequency of maximum current fees
<b>MAX_FREQ_UNIT</b> <i>(UNITE_FREQ_MAX)</i>	Unit for the frequency of maximum current fees (expressed as a code)
<b>MAX_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_MAX)</i>	Explicit designation for the unit of the maximum current fees' frequency
<b>PREV_FREQ</b> <i>(FREQ_PREV)</i>	Frequency of planned fees
<b>PREV_FREQ_UNIT</b> <i>(UNITE_FREQ_PREV)</i>	Unit for the frequency of planned fees (expressed as a code)
<b>PREV_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_PREV)</i>	Explicit designation for the unit of the planned fees' frequency
<b>MIN_PREV_FREQ</b> <i>(FREQ_MIN_PREV)</i>	Frequency of minimum planned fees
<b>MIN_PREV_FREQ_UNIT</b> <i>(UNITE_FREQ_MIN_PREV)</i>	Unit for the frequency of minimum planned fees (expressed as a code)
<b>MIN_PREV_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_MIN_PREV)</i>	Explicit designation for the unit of the minimum planned fees' frequency
<b>MAX_PREV_FREQ</b> <i>(FREQ_MAX_PREV)</i>	Frequency of maximum planned fees
<b>MAX_PREV_FREQ_UNIT</b> <i>(UNITE_FREQ_MAX_PREV)</i>	Unit for the frequency of maximum planned fees (expressed as a code)
<b>MAX_PREV_FREQ_UNIT_NAME</b> <i>(LIBELLE_UNITE_FREQ_MAX_PREV)</i>	Explicit designation for the unit of the maximum planned fees' frequency

## 5.7. “Investment Policy” Database Structure

The seventh group of variables describes the fund investment policy.



<b>Variable (English name)</b>  <i>(French name)</i>	<b>Short description</b>
<b>POLICY_VALIDITY_DATE</b>  <i>(DATE_VALIDITE_POL)</i>	Date at which the fund investment policy is valid
<b>POLICY_DESCRIPTION</b>  <i>(DESCRIPTION_POLITIQUE)</i>	Detailed description of the investment policy. This description is an overview of the fund's investment objectives.
<b>FIRM_INVEST_NAME</b>  <i>(NOM_ENTREPRISE_INVEST)</i>	Explicit designation for the company in which the fund may invest according to its initial statement
<b>INVEST_INSTRUMENT_NAME</b>  <i>(LIBELLE_INSTRUMENT_INVEST)</i>	Name of the financial asset in which the fund may invest according to its initial statement. For example, in the case of equity, this variable is the name associated with this equity.
<b>INVEST_CURRENCY</b>  <i>(DEVISE_INVEST)</i>	Currency in which the fund may invest according to its initial statement (expressed as a code)
<b>INVEST_CURRENCY_NAME</b>  <i>(LIBELLE_DEVISE_INVEST)</i>	Explicit designation for the currency in which the fund may invest according to its initial statement
<b>INVEST_CATEGORY</b>  <i>(CATEGORIE_INVEST)</i>	Financial asset category in which the fund may invest according to its initial statement (expressed as a code)
<b>INVEST_CATEGORY_NAME</b>  <i>(LIBELLE_CATEGORIE_INVEST)</i>	Explicit designation for the financial asset category in which the fund may invest according to its initial statement. For example, "Equity instruments", "Debt instruments", "Money market papers" or "Derivatives".
<b>INVEST_SECTOR</b>  <i>(SECTEUR_INVEST)</i>	Sector in which the fund may invest according to its initial statement (expressed as a code)
<b>INVEST_SECTOR_NAME</b>  <i>(LIBELLE_SECTEUR_INVEST)</i>	Explicit designation for the sector in which the fund may invest according to its initial statement
<b>INVEST_AREA</b>  <i>(ZONE_INVEST)</i>	Geographical area in which the fund may invest according to its initial statement (expressed as a code)
<b>INVEST_AREA_NAME</b>  <i>(LIBELLE_ZONE_INVEST)</i>	Explicit designation for the geographical area in which the fund may invest according its initial statement

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>MIN_MATURITY</b> <i>(MATURITE_MIN)</i>	Minimum maturity of the financial asset in which the fund may invest according to its initial statement
<b>MAX_MATURITY</b> <i>(MATURITE_MAX)</i>	Maximum maturity of the financial asset in which the fund may invest according to its initial statement
<b>MATURITY_UNIT</b> <i>(MATURITE_UNITE)</i>	Unit for the maturities (minimum or maximum) of the financial asset in which the fund may invest according to its initial statement
<b>MATURITY_UNIT_NAME</b> <i>(LIBELLE_MATURITE_UNITE)</i>	Explicit designation for the maturity unit of the financial asset in which the fund may invest according to its initial statement
<b>PART</b> <i>(PART)</i>	Share of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>PART_UNIT</b> <i>(UNITE_PART)</i>	Share unit of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>PART_UNIT_NAME</b> <i>(LIBELLE_UNITE_PART)</i>	Explicit designation for the share unit of total fund investment which is dedicated to this particular investment according to the fund's initial statement
<b>MIN_PART</b> <i>(PART_MIN)</i>	Minimum share of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>MIN_PART_UNIT</b> <i>(UNITE_PART_MIN)</i>	Minimum share unit of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>MIN_PART_UNIT_NAME</b> <i>(LIBELLE_UNITE_PART_MIN)</i>	Explicit designation for the minimum share unit of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>MAX_PART</b> <i>(PART_MAX)</i>	Maximum share of total fund investment which will be dedicated to this particular investment according to the fund's initial statement
<b>MAX_PART_UNIT</b> <i>(UNITE_PART_MAX)</i>	Maximum share unit of total fund investment which will be dedicated to this particular investment according to the fund's initial statement

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>MAX_PART_UNIT_NAME</b> <i>(LIBELLE_UNITE_PART_MAX)</i>	Explicit designation for the maximum share unit of total fund investment which will be dedicated to this particular investment according to the fund's initial statement

## 5.8. “Fund Asset Allocation” Database Structure

The eighth group of variables describes the fund asset allocation.

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>ASSET_ALLOCATION_VALIDITY_DATE</b> <i>(DATE_VALIDITE_COMPO)</i>	Date at which the fund asset allocation is valid
<b>ASSET_ALLOCATION_DESCRIPTION</b> <i>(DESCRIPTION_COMPOSITION)</i>	Detailed description of the fund asset allocation
<b>FIRM_INVEST_NAME</b> <i>(NOM_ENTREPRISE_INVEST)</i>	Explicit designation for the company in which the fund invests
<b>INVEST_INSTRUMENT_NAME</b> <i>(LIBELLE_INSTRUMENT_INVEST)</i>	Name of the financial asset in which the fund invests. For example, in the case of equity, this variable is the name associated with this equity.
<b>INVEST_CURRENCY</b> <i>(DEVISE_INVEST)</i>	Currency in which the fund invests (expressed as a code)
<b>INVEST_CURRENCY_NAME</b> <i>(LIBELLE_DEVISE_INVEST)</i>	Explicit designation for the currency in which the fund invests
<b>INVEST_CATEGORY</b> <i>(CATEGORIE_INVEST)</i>	Financial asset category in which the fund invests
<b>INVEST_CATEGORY_NAME</b> <i>(LIBELLE_CATEGORIE_INVEST)</i>	Explicit designation for the financial asset category in which the fund invests
<b>INVEST_SECTOR</b> <i>(SECTEUR_INVEST)</i>	Sector in which the fund invests (expressed as a code)

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>INVEST_SECTOR_NAME</b> <i>(LIBELLE_SECTEUR_INVEST)</i>	Explicit designation for the sector in which the fund invests
<b>INVEST_AREA</b> <i>(ZONE_INVEST)</i>	Geographical area in which the fund invests (expressed as a code)
<b>INVEST_AREA_NAME</b> <i>(LIBELLE_ZONE_INVEST)</i>	Explicit designation for the geographical area in which the fund invests
<b>MIN_MATURITY</b> <i>(MATURITE_MIN)</i>	Minimum maturity of the financial asset in which the fund invests
<b>MAX_MATURITY</b> <i>(MATURITE_MAX)</i>	Maximum maturity of the financial asset in which the fund invests
<b>MATURITY_UNIT</b> <i>(MATURITE_UNITE)</i>	Unit for the maturities (minimum or maximum) of the financial asset in which the fund invests
<b>MATURITY_UNIT_NAME</b> <i>(LIBELLE_MATURITE_UNITE)</i>	Explicit designation for the maturity unit of the financial asset in which the fund invests
<b>PART</b> <i>(PART)</i>	Share of total fund investment which is dedicated to this particular investment
<b>PART_UNIT</b> <i>(UNITE_PART)</i>	Share unit of total fund investment which is dedicated to this particular investment
<b>PART_UNIT_NAME</b> <i>(LIBELLE_UNITE_PART)</i>	Explicit designation for the share unit of total fund investment which is dedicated to this particular investment

## 5.9. “Benchmark” Database Structure

The tenth group of variables describes the fund benchmark.

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>VALOREN_CODE_BENCHMARK</b> <i>(CODE_VALOREN_BENCHMARK)</i>	VALOREN code identifying the benchmark of the fund
<b>BENCHMARK_NAME</b> <i>(NOM_BENCHMARK)</i>	Explicit designation of the benchmark of the fund (in most cases, the broad market or market-segment indices are used, for example the S&P 500, the Russell 2000, the MSCI EFAA or the FTSE 100)
<b>DESCRIPTION_BENCHMARK</b> <i>(BENCHMARK_DESCRIPTION)</i>	Detailed description of the fund benchmark. This description is an overview of the benchmark(s) that a fund uses. Note that a fund can be measured against a combination of various benchmarks on the basis of a specific weighting scheme.
<b>WEIGHTING</b> <i>(POIDS)</i>	Weighting of each index used by the benchmark

## 5.10. “Taxability” Database Structure

The eleventh group of variables describes the taxability of mutual fund investors.

<b>Variable (English name)</b> <i>(French name)</i>	<b>Short description</b>
<b>COUNTRY_CODE</b> <i>(CODE_PAYS)</i>	Code identifying the country in which taxes on mutual fund transactions are due
<b>COUNTRY_NAME</b> <i>(NOM_PAYS)</i>	Country in which taxes on mutual fund transactions are due
<b>CURRENT_TAX_NAME</b> <i>(NOM_TAXE_COURANTE)</i>	Explicit designation of the tax due on mutual fund investment, which includes the following <ul style="list-style-type: none"> <li>- capital gain tax</li> <li>- stamp duty</li> <li>- PTM Levy</li> <li>- redemption premium tax</li> <li>- withholding tax</li> <li>- withholding tax with strip VVPR</li> <li>- taxable security in CH/LI – Equities</li> <li>- taxable security in CH/LI – Fund unit</li> <li>- tax credit</li> </ul>

Variable (English name) <i>(French name)</i>	Short description
<b>CURRENT_TAX_APPLICABILITY_NAME</b> <i>(NOM_APPLICATION_TAX_COURANT)</i>	Explicit designation of the tax status that applies to mutual fund transactions, which includes the following <ul style="list-style-type: none"> <li>- liable/applicable</li> <li>- potentially liable/applicable</li> <li>- exempt/not liable/applicable</li> <li>- Progressive exemption, subject to conditions</li> <li>- Exempt, subject to conditions</li> </ul>
<b>CURRENT_TAX_OBJECT_NAME</b> <i>(NOM_OBJET_TAXE_COURANTE)</i>	Explicit designation of the target of taxation on mutual fund transactions, which includes the following <ul style="list-style-type: none"> <li>- capital gain</li> <li>- capital gain, leverage product and FCIMT</li> <li>- capital gain, personal income</li> <li>- dividend</li> <li>- interest</li> <li>- redemption premium, bond EEA</li> <li>- redemption premium, bond FRA</li> <li>- redemption premium, bond non EEA</li> <li>- transaction</li> </ul>
<b>REPORTING_REGULATION_NAME</b> <i>(NOM_REPORTING_REGULATION)</i>	Explicit designation of the tax rule that a mutual fund is subject to.
<b>REPORTING_REGULATION_APPLICABILITY_NAME</b> <i>(NOM_APPLICATION_REPORTING_REGULATION)</i>	Explicit designation of the tax rule status that applies to mutual fund transactions.
<b>REPORTING_REGULATION_OBJECT_NAME</b> <i>(NOM_OBJET_REPORTING_REGULATION)</i>	Explicit designation of the target of the tax rule.

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